



# CS 1550

Week 5 – Lab 2 Synchronization with XV6

Teaching Assistant  
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# CS 1550 – Lab 2

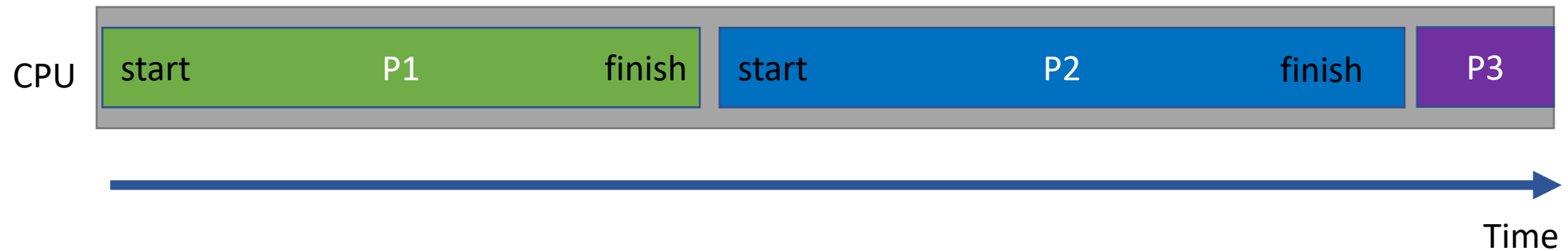
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- **Due:** Friday, October 4<sup>th</sup> @11:59pm

# Locks – Processes without sharing CPU

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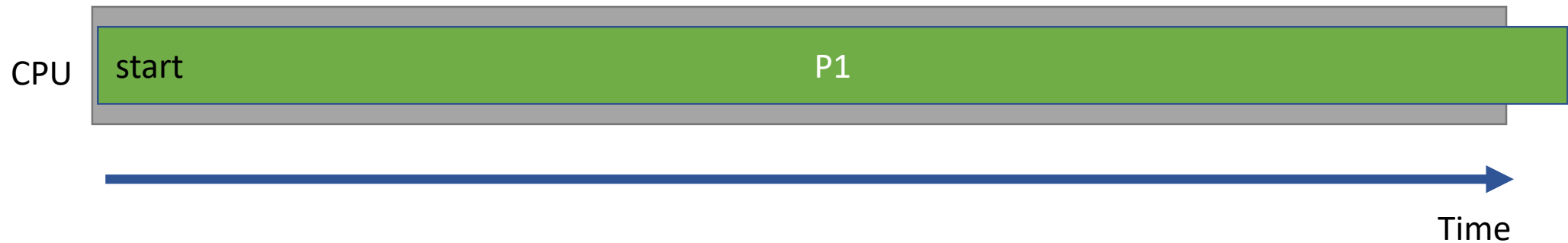
- **OS** chooses another processes to execute once the first finishes



# Locks – Processes without sharing CPU

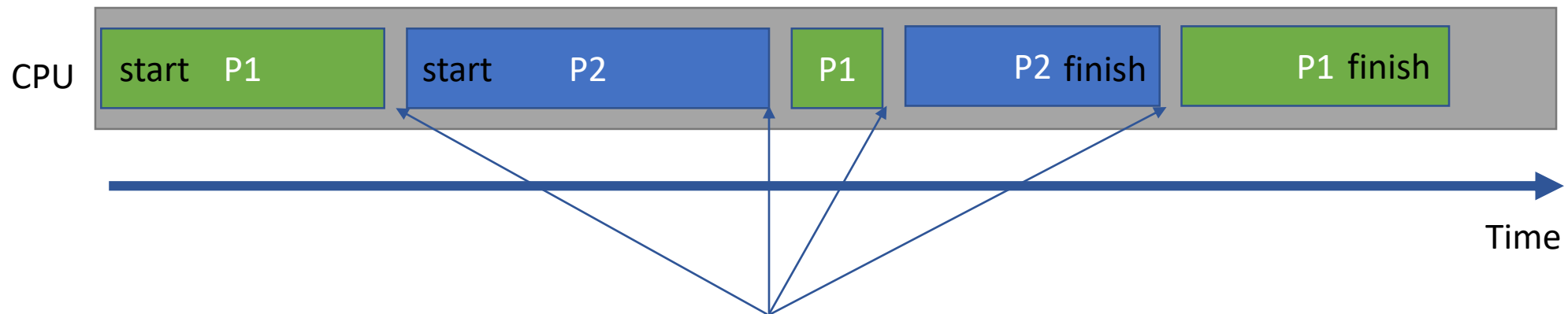
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- What if P1 is a big process?



# Locks – Processes sharing CPU

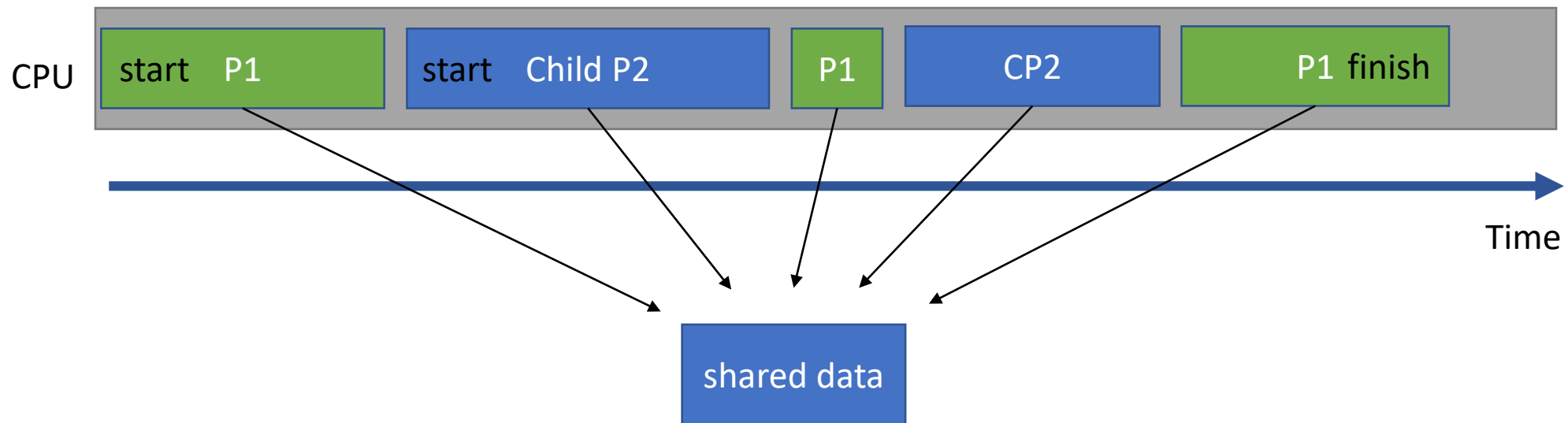
- Solution switch processes during their execution.



What is the little gap?  
The OS **Scheduler**

# Locks – Processes sharing CPU

- What happens in Parent-Child Process scenario?
- How to keep integrity/correctness on race conditions?



# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};  
  
struct list *list = 0;  
  
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

# Locks – Processes sharing CPU

---

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```

CPU

P1

P1 stops here the  
OS switches to P2

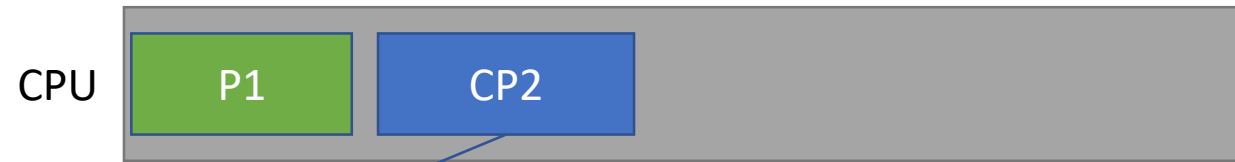


# Locks – Processes sharing CPU

```
struct list {  
    int data;  
    struct list *next;  
};
```

```
struct list *list = 0;
```

```
void  
insert(int data) {  
    struct list *l;  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
}
```



P2 gets the same reference to the same block of data of list and overwrites it

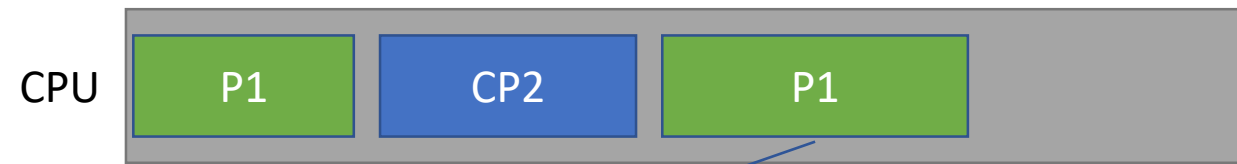
P1 stopped

# Locks – Processes sharing CPU

```
struct list {
    int data;
    struct list *next;
};

struct list *list = 0;

void
insert(int data) {
    struct list *l;
    l = malloc(sizeof *l);
    l->data = data;
    l->next = list;
    list = l;
}
```



When P1 comes back it will have written the wrong data

**Race condition:** A race condition is an undesirable condition that happened when having multiple processes running on a piece of data which does not use any exclusive locks to control access.

# Locks – Processes sharing CPU

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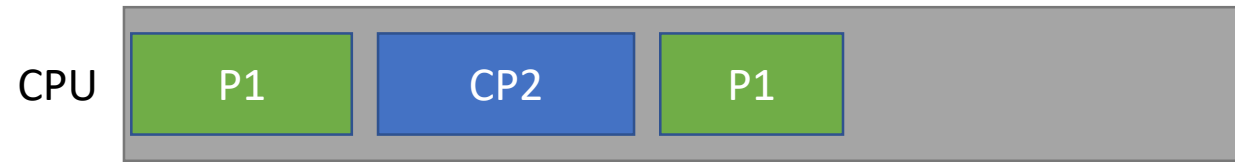
- Sharing CPU among processes
- Ensuring data integrity/correctness
- Ensure that a **critical section** of your code is only executed by one process

# Locks – Processes sharing CPU

---

```
struct list *list = 0;  
struct lock listlock;
```

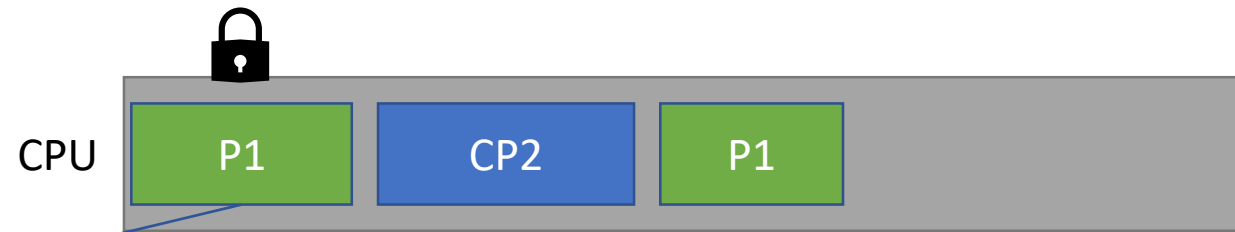
```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```

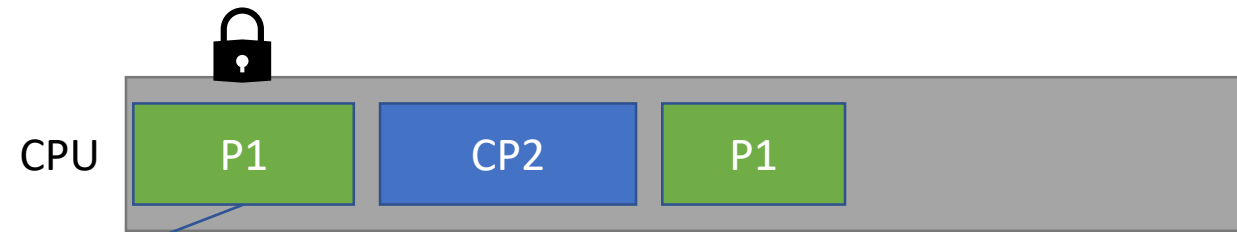


P1 gets locks the lock

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;  
  
    acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



P1 gets locks the lock

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
        acquire(&listlock);
```

```
        l = malloc(sizeof *l);
```

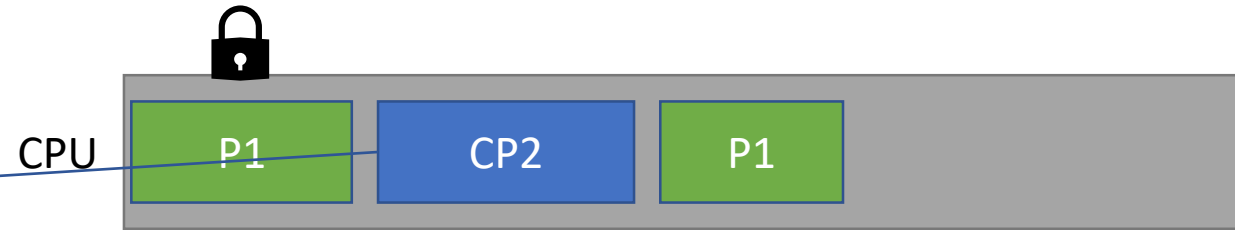
```
P1 stopped    l->data = data;
```

```
        l->next = list;
```

```
        list = l;
```

```
        release(&listlock);
```

```
}
```



When the OS schedule CP2

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
    acquire(&listlock);  
    l = malloc(sizeof *l);
```

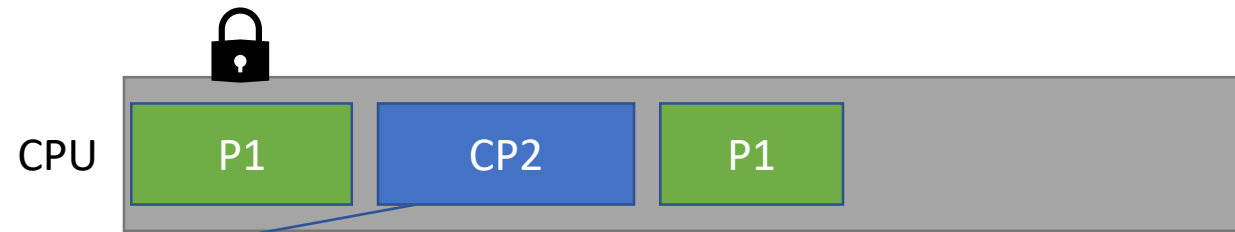
```
P1 stopped l->data = data;
```

```
    l->next = list;
```

```
    list = l;
```

```
    release(&listlock);
```

```
}
```



It will try to get the lock but won't.

It will be constantly try to get it ( in a loop).  
Until the OS switches back to P1



# Locks – Processes sharing CPU

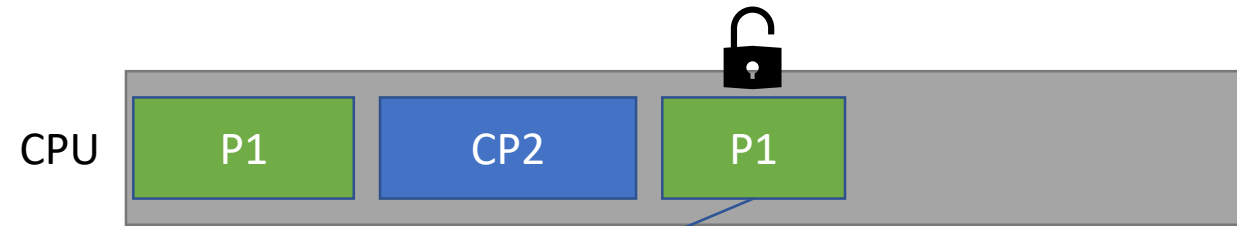
```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{
```

```
    struct list *l;
```

```
CP2 stopped  acquire(&listlock);  
             l = malloc(sizeof *l);  
             l->data = data;  
             l->next = list;  
             list = l;  
             release(&listlock);
```

```
}
```



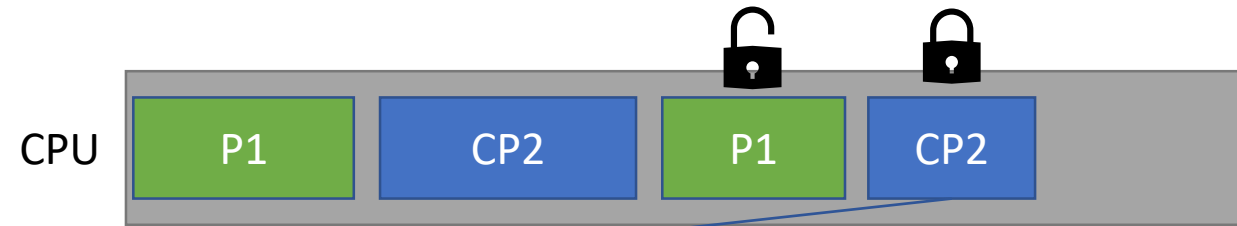
P1 release the lock P2 will finally be able to execute, once scheduled

# Locks – Processes sharing CPU

```
struct list *list = 0;  
struct lock listlock;
```

```
void  
insert(int data)  
{  
    struct list *l;
```

```
    CP2 proceeds acquire(&listlock);  
    l = malloc(sizeof *l);  
    l->data = data;  
    l->next = list;  
    list = l;  
    release(&listlock);  
}
```



P1 release the lock P2 will finally be able to execute, once scheduled

# Locks – Processes sharing CPU

---

- SpinLock

```
Void  
acquire(struct spinlock *lk)  
{  
    while(!lk->locked)  
        ; /* busy wait */  
    lk->locked = 1;  
}
```

- Keep spinning until find lock is released
- But we can have the same issue as before
- We need to check and lock **atomically**

# Locks – Processes sharing CPU

---

- XV6 relies on a special 386 hardware instruction, `xchg`
- Atomically check and change a register value
  - `xchg(&lk->locked, 1)`

# Locks – Processes sharing CPU

---

- Swap a word in memory with the contents of a register
- In acquire function:
  - loop xchg instruction
  - Each round atomically read lock and set the lock to 1

```
void  
acquire(struct spinlock *lk)  
{  
    pushcli(); // disable interrupts to  
    avoid deadlock.
```

...

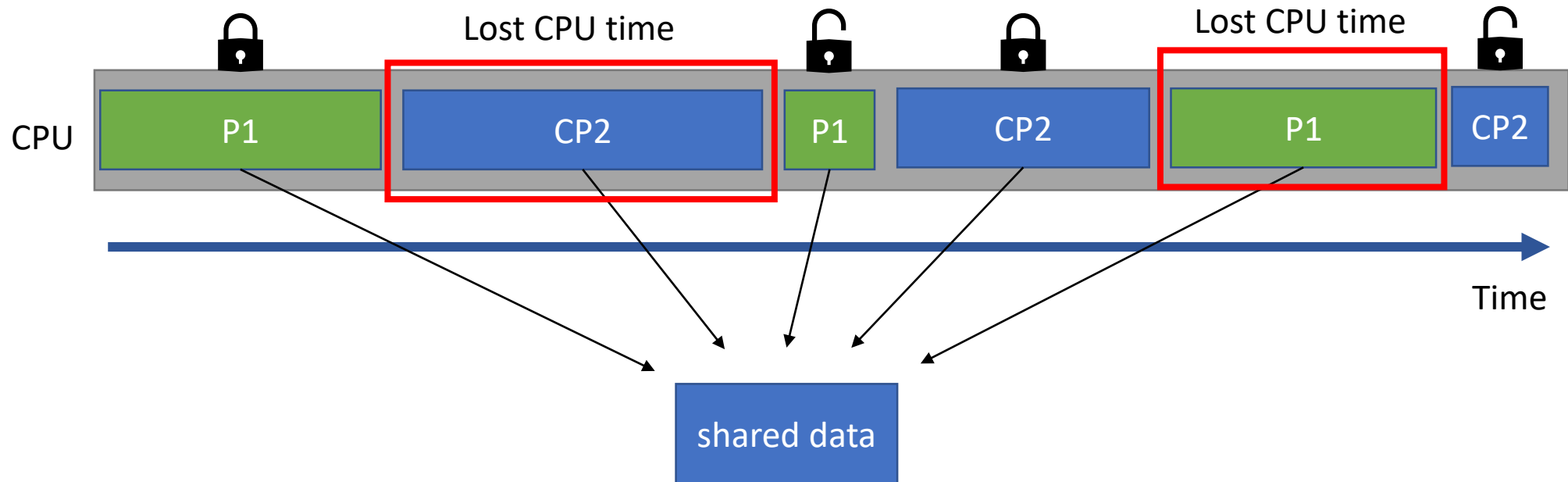
```
// The xchg is atomic.  
    while(xchg(&lk->locked, 1) != 0);
```

...

```
// Record info about lock acquisition for  
debugging.  
    lk->cpu = mycpu();  
    getcallerpcs(&lk, lk->pcs);  
}
```

# Locks – Processes sharing CPU

- But the we have another issue
  - Busy waiting



# Locks – Processes sharing CPU

---

- Spin Lock
  - Busy waiting
  - Useful for short critical sections
    - E.g. increment a counter, access an array element, etc.
  - Not useful, when the period of wait is unpredictable or will take a long time
    - E.g. read page from disk

# Locks – Processes sharing CPU

---

- Sleep Locks
  - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes



# Locks – Processes sharing CPU

---

- Sleep Locks
  - For code need to hold a lock for a long time (read/write to disk)
- Avoids the schedule of “spin locked” processes

```
void
acquiresleep(struct sleeplock *lk)
{
    acquire(&lk->lk) ;
    while (lk->locked) {
        sleep(lk, &lk->lk);
    }
    lk->locked = 1;
    lk->pid = myproc()->pid;
    release(&lk->lk) ;
}
```

```
void
releasesleep(struct sleeplock *lk)
{
    acquire(&lk->lk) ;
    lk->locked = 0;
    lk->pid = 0;
    wakeup(lk);
    release(&lk->lk) ;
}
```

# Locks – Processes sharing CPU

---

- Put one process to sleep waiting for event
- Mark current process as sleeping
- Call **sched()** to release the processor

```
void
sleep(void *chan, struct spinlock *lk)
{
    struct proc *p = myproc();
    ...
    p->state = SLEEPING;

    sched() ;
    ...
}
```

*Sanity Checks*

- *Must be a current process*
- *Must have been passed a lock*

- Put one process to sleep waiting for event
- Mark current process as sleeping
- Call **sched()** to release the processor

```
void
sleep(void *chan, struct spinlock *lk)
{
    struct proc *p = myproc();

    if(p == 0)
        panic("sleep");

    if(lk == 0)
        panic("sleep without lk");

    if(lk != &ptable.lock){
        acquire(&ptable.lock);
        release(lk);
    }
    p->chan = chan;
    p->state = SLEEPING;

    sched();
    p->chan = 0;
    if(lk != &ptable.lock){
        release(&ptable.lock);
        acquire(lk);
    }
}
```

*Hold the ptable·lock,  
it is safe to release lk*

# Locks – Processes sharing CPU

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- Wake up process when event happened
- Mark a waiting process as runnable

```
static void
wakeup(void *chan)
{
    acquire(&ptable.lock);
    wakeup1(chan);
    release(&ptable.lock);
}
```

```
static void
wakeup1(void *chan)
{
    struct proc *p;
    for(p = ptable.proc; p < &ptable.proc[NPROC]; p++)
        if(p->state == SLEEPING && p->chan == chan)
            p->state = RUNNABLE;
}
```



# CS 1550

Week 5 – Lab 2 Synchronization with XV6

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