



Review Article

# Evolution of the meta-neurosurgeon: A systematic review of the current technical capabilities, limitations, and applications of augmented reality in neurosurgery

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## ABSTRACT

**Background:** Augmented reality (AR) applications in neurosurgery have expanded over the past decade with the introduction of headset-based platforms. Many studies have focused on either preoperative planning to tailor the approach to the patient's anatomy and pathology or intraoperative surgical navigation, primarily realized as AR navigation through microscope oculars. Additional efforts have been made to validate AR in trainee and patient education and to investigate novel surgical approaches. Our objective was to provide a systematic overview of AR in neurosurgery, provide current limitations of this technology, as well as highlight several applications of AR in neurosurgery.

**Methods:** We performed a literature search in PubMed/Medline to identify papers that addressed the use of AR in neurosurgery. The authors screened three hundred and seventy-five papers, and 57 papers were selected, analyzed, and included in this systematic review.

**Results:** AR has made significant inroads in neurosurgery, particularly in neuronavigation. In spinal neurosurgery, this primarily has been used for pedicle screw placement. AR-based neuronavigation also has significant applications in cranial neurosurgery, including neurovascular, neurosurgical oncology, and skull base neurosurgery. Other potential applications include operating room streamlining, trainee and patient education, and telecommunications.

**Conclusion:** AR has already made a significant impact in neurosurgery in the above domains and has the potential to be a paradigm-altering technology. Future development in AR should focus on both validating these applications and extending the role of AR.

**Keywords:** Augmented reality, Education, Neurosurgery, Simultaneous localization and mapping (SLAM)

## INTRODUCTION

Augmented reality (AR) has had increasing use within the medical community and has vast clinical potential. By providing surgeons with the ability to superimpose patient imaging directly

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onto the surgical field in real-time, AR permits multimodal synthesis of diverse patient data streams.

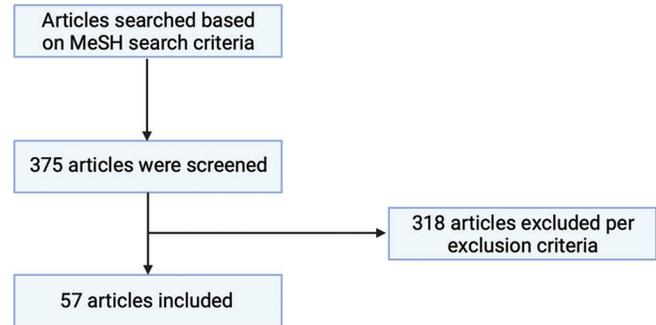
For this review, AR is defined as integrating *interactive* digital content within the user's physical environment.<sup>[9,12,14,36]</sup> *Pseudo-AR*, however, involves content displayed within the real-world environment that is not interactive. In the operative environment, image presentation in AR has two formats. Heads-up displays (HUDs) project the overlaid virtual image from the computer system into the oculars of the surgical microscope. Head-mounted displays (HMDs), however, are headset-based devices that utilize a translucent visor which the surgeon can see the computer-generated overlay projecting into the surgical field.<sup>[36,54]</sup> This is in stark contrast to virtual reality (VR), where the user is completely immersed in a separate, fully enclosed digital environment.<sup>[11,14,16]</sup> While some authors have used the term "mixed reality" (MR) to refer to interactive digital content, we will use the term AR to refer to all integration of interactive digital content with the physical environment.

Current literature contains several summaries of the applications of AR within the various sub-specialities of neurosurgery, but few, if any, elaborate on the current limitations and barriers to the seamless integration of AR into the operating room (OR). Here, our objective was to contextualize the rapid clinical development of AR in neurosurgery, summarize ongoing technological developments and challenges in AR, and discuss surgical and non-surgical applications of AR in neurosurgery.

## METHODS

A literature search over the past 30 years was completed within PubMed/Medline to identify papers that addressed the use of neurosurgical AR. Inclusion criteria were as follows: manuscripts in which authors used AR/MR platforms, manuscripts in which the focus revolved around neurosurgery, and manuscripts written in English. Exclusion criteria were as follows: manuscripts where VR platforms were primarily used; manuscripts where full texts were not available; manuscripts which were not able to be obtained in English; and manuscripts which were only editorials/opinions pieces. The "MeSH" search strategies were as follows: "Augmented Reality" and "neurosurgery," "surgical guidance," "brain tumor surgery," "neurovascular surgery," "spinal surgery," "neurosurgical education," "patient education," "surgical telecommunication," and "surgical mentorship." A total of 375 papers were screened. The authors of the current paper selected and reviewed 57 papers based on the criteria of relevance to AR, neurosurgery, and research/clinical validity. Figure 1 demonstrates a flow chart of the inclusion/exclusion criteria of the included manuscripts.

Data pertaining to the applications of AR in neurosurgical guidance, training, patient education, and streaming were



**Figure 1:** PRISMA flowchart of included articles. Figure 1 demonstrates the flowchart of screened and included articles in this review. Articles were searched based on the MeSH as mentioned above search criteria, yielding 375 total articles. Exclusion criteria (manuscripts where virtual reality platforms were primarily used; manuscripts where full texts were not available; manuscripts which were not able to be obtained in English; and manuscripts which were only editorials/opinions pieces) excluded 318 articles, which left 57 final articles used in this review. Flowchart created with BioRender.com.

collected. The limited number of studies prevented the assessment of biases in this literature. The authors followed the PRISMA guidelines;<sup>[40]</sup> however, this systematic review is not registered in PROSPERO or another systematic review database.

## RESULTS

### Technical overview

AR platforms are typically composed of three essential components: the tracking system, computer processing system (CPS), and display.<sup>[36,54]</sup> Microscope and headset AR systems share these fundamentals, but each has its unique elements.

### Image preparation

In the context of navigation, the patient images can be segmented before surgery to highlight desired structures. While segmentation is not strictly necessary before utilizing AR, segmentation can assist in neuronavigation and education.<sup>[17]</sup> An alternative to segmentation is simple tissue windowing, suitable for high contrast structures such as bony elements. This virtual model is then registered with the surgical site on the patient, and then rendered by the CPS.<sup>[17]</sup>

### Tracking system

The CPS is also connected to the tracking system, allowing the virtual image to be updated as the surgeon's perspective changes. The system also maintains proper registration, continuously aligning virtual content with fixed locations

in three-dimensional (3D) space. Continuous registration depends on physical reference markers (fiducials) to be in constant view of the system's cameras and sensors. Artificial optical, infrared, and fluorescent markers are the three most common markers used for the tracking. However, emerging techniques are leveraging artificial intelligence-based optical tracking of anatomic structures.<sup>[54]</sup> If tracking of the physical environment is not done accurately, the registered virtual model shown in the HMD will not maintain visual alignment with the surgical site.<sup>[17]</sup>

Most commercially available headsets such as the HoloLens (Microsoft, Redmond WA) and Magic Leap (Magic Leap, Plantation, FL) additionally employ simultaneous localization and mapping (SLAM) algorithms to aid fiducial-based tracking. This entails sensors mounted on the headset, such as a 2D RGB camera, infrared camera, environmental cameras, and an inertial measurement unit (which includes an accelerometer, gyroscope, and magnetometer), feeding information about the position and orientation of the HMD relative to physical space (e.g., walls, stationary objects) into the SLAM system. These inputs help identify anchor points in the environment to which HMD movement in physical space is compared and measured. The accuracy of this environmental mapping impacts directly on the ability to track anatomy or tools within the surgical site.

## Technical limitations of AR

### Optical error

The advancement of AR in surgical applications is dependent on continued advancement in environmental and artifact tracking. Most techniques used to register and track patient anatomy and surgical tools leverage monocular RGB images and video streams to detect and track planar fiducial markers.<sup>[15,19,24,32,42,45]</sup> These techniques are based on extracting known optical features of the marker. These approaches rely on the recognition of geometric characteristics and dimensions of the fiducials by the computer vision system. This allows calculation of the angle and distance from the camera's view. Through further transformations, the global coordinates of the object. Global coordinates allow all objects and view devices to share a uniform coordinate system for positioning in physical space.

This approach introduces inaccuracies at several stages. First, the resolution of even the most sophisticated cameras is finite. Thus, the camera itself produces an approximation of the actual scene, and specifically the fiducial markers. Low-fidelity camera views can reduce the accuracy of the computer vision techniques to approximate the relative fiducial position. Second, if a fiducial is occluded or obfuscates the ability for the camera to see the planar marker, the system will lose the ability to track the fiducial. Many systems anticipate

this behavior using multiple fiducial markers. This itself introduces another layer of inaccuracy, however, with the accumulation of tracking errors for each marker. Third, RGB-based markers require consistent lighting conditions to be properly seen by the cameras. Changes in lighting conditions will impact the ability of computer vision systems to translate fiducial characteristics to known geometry. Recent approaches mitigate the challenges of limited resolution by adding information from depth cameras. This additional dimension of information can increase the capabilities to determine the location of the fiducial in 3D space.<sup>[55]</sup>

### Location estimation error

Techniques used to determine position and orientation in physical space are based on stochastic localization techniques (e.g., SLAM) that rely on unique visual or perspective details from camera and sensor data. These "features" must contain enough texture or variance in visual appearance to be observed consistently. Smooth surfaces, or those with minimal contrasting details, present performance challenges for these techniques. Furthermore, as the HMD's camera and sensors move or when perspective extremes are seen, these may impact repositioning and thus the ability to track these features. The resulting location estimations can have high variability in accuracy that can translate to registration and placement of virtual content in the AR-HMD.

### Future directions

Future approaches will likely involve direct tracking of anatomy and tools by utilizing more sophisticated, rapidly evolving approaches for tracking, localizing, recognizing, and/or aligning models and physical objects. For instance, rather than detecting the fiducial marker on a tool, computer vision could be used to detect, localize, and track the tool itself. This could reduce errors from occlusion and provide better registration in global coordinates. Approaches that leverage patient anatomy recognition for registration are especially difficult. These techniques must understand complex shapes with limited views and adapt not only to feature occlusion but also to changes in pose and distortion due to the surgical activity. Despite this, there are significant opportunities for AR in neurosurgery. As technology continues to evolve, AR will most likely become the superior navigational platform as it may have enhanced accuracy and precision.

To complement advances in tracking, future efforts should also advance the communication and interaction between the surgeon and the suite of assistive technologies. It is unlikely that any practical, scalable tracking technique will achieve sub-millimeter precision. A natural next step in the evolution of AR tools is real-time communication with the surgeon

about overall system performance and tracking performance, allowing surgeons to understand better the reliability of the information provided. Modern geo-location user experiences already model such interaction approaches; for example, smartphone maps do not show pinpoint location but rather “halos” of confidence based on current environmental conditions. Surgical guidance tools should provide surgeons with similar feedback on tracking margins and approaches to the operative task that minimize tracking error.

### **HUD versus HMD systems**

Although microscope-based HUD with AR is frequently used in neurovascular surgery, they have a fixed and limited field of view. They also cannot integrate with other data streams in the OR. In contrast, AR-HMD headsets are still struggling to capture microscopic structures at a level comparable to HUD headsets. In addition, AR headsets can be challenging to use for longer procedures since the surgeon carries the device's weight, in contrast to the microscope.<sup>[56]</sup> Finally, a challenge for all AR systems is depth perception, given the inability to segment renderings of AR structures when obfuscated by real-world objects, that is, if a hand or instrument passes in front of an animated structure.

## **Applications of AR in neurosurgery**

### **Neurosurgical oncology**

AR can accurately display holographic renderings of tumors directly onto the surgeon's operating view. This makes AR ideal for both preoperative planning and intraoperative surgical guidance. AR allows for easier and faster incision and craniotomy planning compared to standard monitor-based navigation.<sup>[6]</sup> One study by Louis *et al.* utilized the VOSTAR AR-HMD system in 30 craniotomies on 3D-printed mannequins and found a mean target visualization error of 1.3 mm with a standard deviation of 0.6 mm.<sup>[35]</sup>

This interactive digital projection also allows the surgeon to visualize the entire tumor during resection, even with obstructions. Furthermore, data from multiple imaging modalities can be combined into one projection to allow visualization of critical structures such as deep nuclei and white matter tracts in conjunction with intraoperative 5-ALA fluorescence.<sup>[37,47]</sup>

Early studies suggest that AR-based neuronavigation may increase the extent of safe surgical tumor resection from eloquent areas compared to standard frameless neuronavigation. This may be due to the ability of the AR system's hardware to recognize brain volume adjustments from brain shifts using continual reregistration algorithms.<sup>[22]</sup> Sun *et al.* found that 69.6% of glioma procedures in eloquent areas in which AR navigation was used achieved complete

resection compared with the 36.4% that used standard neuronavigational systems.<sup>[51]</sup>

### **Cerebrovascular**

AR has been used successfully in cerebrovascular surgery for intraoperative navigation during the treatment of numerous pathologies. One of the first studies evaluating AR in neurovascular procedures utilized a system with an overlay of aneurysm morphology segmented from preoperative imaging projected through a microscope HUD to guide clip placement. Cabrilo *et al.* found that the AR overlay was useful in clip placement in 92% of cases ( $n = 33/39$ ).<sup>[8]</sup> Toyooka *et al.* demonstrated similar results but found no significant difference in operative time between the AR-HUD and control group.<sup>[52]</sup> When compared with standard neuronavigation, AR-HUD neuronavigation resulted in the clip applicator having fewer contacts with perianeurysmal structures during a simulated clipping.<sup>[13]</sup>

AR systems can also be utilized to identify the topology, angioarchitecture, and location of critical vessels intraoperatively without the use of injected dyes.<sup>[29,43]</sup> Vassallo *et al.* demonstrated AR's use in delineating vessels surrounding arteriovenous malformations by integrating video magnification into the AR system, showing blood patterns not visible to the naked eye.<sup>[53]</sup> This provides additional benefit as injected dyes often require fluorescence/illumination from light in the non-visible range, precluding active operation during the visualization of the dye. Cabrilo *et al.*, did ultimately find that the complex anatomy was a hindrance to feeder identification.<sup>[8]</sup> Kersten-Oertel *et al.*, however, found the AR overlay to be beneficial in identifying feeder vessels as long as they were marked appropriately preoperatively.<sup>[29]</sup>

AR has been used as an adjunct during superficial temporal artery to middle cerebral artery bypass surgery. Rychen *et al.* found that AR was useful in guiding dissection of the highly variable and tortuous STA and also aided in sparing the middle meningeal artery during the craniotomy, all while increasing the confidence of the operating surgeon.<sup>[44]</sup>

### **Spine**

AR is an ideal system for intraoperative guidance in spine surgery, as single trajectories can be displayed to guide pedicle cannulation and screw placement accurately. Conventionally, pedicle screw placement may be done freehand, with fluoroscopy, or with screen-based navigation. AR's advantage in this example includes complex pedicle orientations which are easier to cannulate (such as scoliosis), complication avoidance (such as neurovascular injury and reduced radiation exposure), and decreased surgical time.<sup>[41,57]</sup> Current neuronavigation, such as infrared computed tomography (CT)-based image guidance systems,



**Figure 2:** Multiple paradigm-altering uses of augmented reality (AR) in neurosurgery. Neurosurgical applications of AR currently consist largely of intraoperative navigation but have numerous future uses as well. (a) Preoperative planning in cranial neurosurgery. AR allows seamless merging of patient imaging with the intraoperative field. Once the operating begins, the external screen is not necessary. (b) Neuronavigation in spinal neurosurgery. The operator can place spinal pedicle screws without looking at an external screen while cosurgeons and observers can watch on their own AR headset or an external screen. (c) AR in trainee education. In contrast to the usual 2D lecture environment, learners can interactively explore three-dimensional anatomy and even simulate surgery. (d) Tele-robotic surgery. With future development of robotic technologies and haptic feedback, the surgeon can remotely perform basic neurosurgical procedures, permitting greater access and dissemination of life-saving neurosurgical care. (e) AR in patient education. Neurosurgeons can interactively demonstrate and explain surgical procedures in simple visual terms to patients, promoting greater patient understanding and simplifying the counseling and informed consent process. *Credit: Jim Sweat.*

requires a reference fiducial, often in the form of a reference array in the iliac crest or clamped to a spinous process, but is susceptible to inaccuracy from reference array shift.

AR-based navigation circumvents many of the above guidance concerns with traditional techniques. Molina *et al.*, using the Xvision Spine System (Augmedics, Arlington Heights, IL), found that AR's use in pedicle screw placement in cadaveric spine specimens had a 96% insertion accuracy on the Heary-Gertzbein scale when screws were placed from T6 to L5 on cadaveric specimens compared with the freehand, manual computer navigated, and robotic-assisted rates found in the literature.<sup>[18,23,38]</sup> The use of AR in the placement of pedicle screws is particularly useful for patients with abnormal anatomy, such as scoliosis or atypical pedicles.<sup>[20]</sup> Surgeons surveyed in a study by Yoon *et al.* found a Google Glasses HMD useful in complex cases as the technology prevented them from taking their attention away from their field of view to the neuronavigation images on monitors adjacent to the field. This allowed them to better focus on placing the pedicle screw in an ideal trajectory.<sup>[56]</sup> Yoon *et al.* found that the use of this paradigm reduced screw placement time by 15%.<sup>[56]</sup> Liebmann *et al.* argue that if the quality and precision of AR-HMD increase, it can potentially replace the need for intraoperative fluoroscopy and CT imaging.<sup>[33]</sup>

## Non-surgical applications of AR

### Applications of AR in resident education

AR has the potential to provide residents with a relatively risk-free simulation environment in which residents can supplement skills developed in the OR.<sup>[30,50]</sup> Although VR simulation offers similar benefits, AR can be combined with cadaveric specimens and 3D-printed anatomic models, offering a more robust educational platform.<sup>[21,31]</sup> AR also better simulates the OR environment by incorporating real-world auditory and physical information.<sup>[27]</sup>

The Perk Tutor system was one of the earliest AR-specific systems evaluated for neurosurgery trainees practicing spinal procedures.<sup>[3]</sup> Moulton *et al.* and Keri *et al.* have found that the trainees that used the Perk Station had a higher success rate and less tissue damage for facet joint injections and lumbar punctures when compared to control.<sup>[28,39]</sup> AR has also been used to train neurosurgery residents in cervical pedicle screw fixations. Boyaci *et al.* found that inexperienced residents who used AR in cervical pedicle screw placement training in 3D-printed vertebrae models had higher rates of Grade 0 Gertzbein-Robbins classification screws (14/18) than those who trained with the free-hand technique (6/18).<sup>[7]</sup>

The use of an AR-HMD is also useful in helping residents train for cranial procedures such as burr-hole localization. Residents who used the HoloLens AR system on holographic models had significantly lower drill angle errors when compared with residents who used either 2D CT/magnetic resonance imaging images or 3D neuronavigational systems.<sup>[4]</sup> This is attributed to the decreased cognitive load for trainees since AR was less mentally demanding, enabling them to focus on surgical skill acquisition.<sup>[4]</sup>

### ***Applications of AR in telecommunication and telementoring during surgery***

AR-HMD can provide live streaming and teleconsultation, allowing remote assistance during operations.<sup>[1,26]</sup> This feature of AR-HMD enables the surgeon to remain in the operating field while also enabling the remote surgeon to see the operating surgeon's field of view and patient imaging simultaneously.

Similarly, AR facilitates remote instruction of neurosurgeons in a process known as telementoring. Up to 5 million people each year do not have access to safe and affordable neurosurgical interventions, and those in low- and middle-income countries are disproportionately affected.<sup>[25]</sup> Initial reports have demonstrated AR-based telementoring of inexperienced neurosurgeons in a separate country. Participants at both the remote and local sites were able to interact visually and verbally. These capabilities enabled the surgeon to remotely mentor by identifying anatomical structures, guiding surgical maneuvers, and discussing overall surgical strategy during a cadaveric suboccipital craniotomy.<sup>[48]</sup> Although this application of AR-HMD has tremendous benefits, limitations include video quality related to wireless internet latency, camera motion due to the operator's head movements, and system durability due to heat issues and battery life.<sup>[26]</sup>

### ***Applications of AR on patient education***

Most written resources describing neurosurgical procedures and conditions are written at a reading level above what the U.S. Centers for Disease Control and Prevention recommends. In addition to this, nearly a quarter of patients have poor health literacy.<sup>[49]</sup> Several studies have focused on the implementation of AR in patient education with positive results, including increased comprehension, increased immediate recall,<sup>[46]</sup> increased satisfaction (measured by EVAN-G scale),<sup>[2,5]</sup> increased engagement, and a reduction of preoperative anxiety.<sup>[10,34]</sup> Figure 2a-e summarizes use cases of AR in neurosurgery.

## **CONCLUSION**

AR in neurosurgery is rapidly evolving and has significant promise. Surgically, AR has primarily been used for

neuronavigation by allowing surgeons to overlay images from various modalities directly onto the surgical field. This results in a more multimodal exploration of the unique anatomy of each patient and potentially increases the accuracy and precision of neurosurgical procedures. Second, AR is a powerful educational tool for both trainees and patients, allowing for guided mentorship and simulation for the former and immersive and lucid communication for the latter. Finally, AR-based telementoring and telecommunication can help bring advanced neurosurgical procedures to underserved regions. While considerable progress has been made in AR optical and fiducial-based tracking, several technological limitations still exist particularly regarding optical and location estimation error. As imaging technologies continue to advance, the usage of AR in neurosurgery will become widespread. It is, therefore, critical for industry and academia to collaborate in addressing these various technological barriers and facilitate the full transition of AR into the OR.

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## **Declaration of patient consent**

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## **Conflicts of interest**

There are no conflicts of interest

## **Use of artificial intelligence (AI)-assisted technology for manuscript preparation**

The authors confirm that there was no use of artificial intelligence (AI)-assisted technology for assisting in the writing or editing of the manuscript and no images were manipulated using AI.

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