

# Sorting with Divide and Conquer Merge Sort

## *Lecture 18*



# Divide-and-conquer technique

1. Break into *non-overlapping* subproblems  
*of the same type*
2. Solve subproblems
3. Combine results

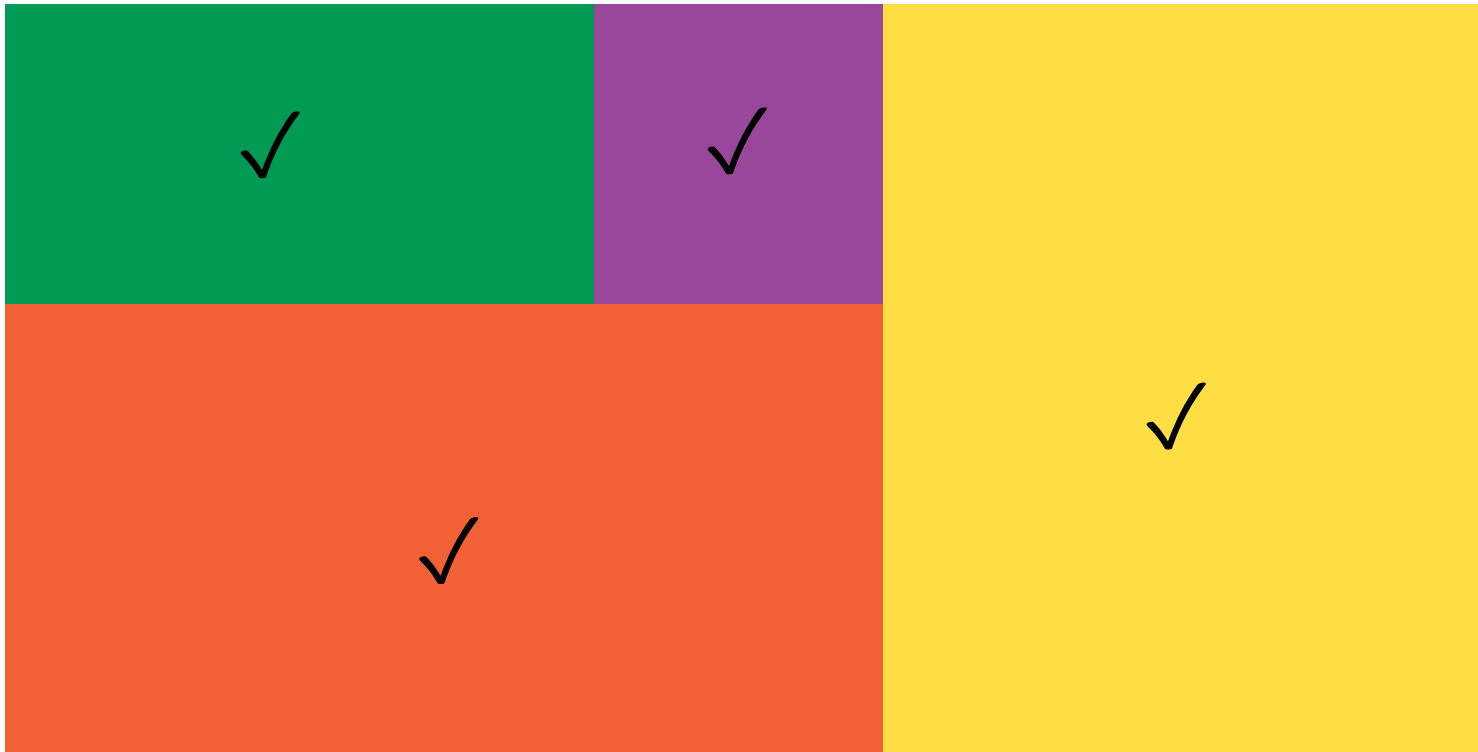
**Divide: break**



# Conquer: solve



**Combine**





# Idea: merge sort

7	2	5	3	7	13	1	6
---	---	---	---	---	----	---	---

split the array into two halves

7	2	5	3
---	---	---	---

7	13	1	6
---	----	---	---

# Idea: merge sort

7	2	5	3	7	13	1	6
---	---	---	---	---	----	---	---

split the array into two halves

7	2	5	3
---	---	---	---

7	13	1	6
---	----	---	---

sort the halves recursively

2	3	5	7
---	---	---	---

1	6	7	13
---	---	---	----



# Idea: merge sort

7	2	5	3	7	13	1	6
---	---	---	---	---	----	---	---

split the array into two halves

7	2	5	3
---	---	---	---

7	13	1	6
---	----	---	---

sort the halves recursively

2	3	5	7
---	---	---	---

1	6	7	13
---	---	---	----

merge the sorted halves into one array

1	2	3	5	6	7	7	13
---	---	---	---	---	---	---	----

## Algorithm MergeSort (array $A[1..n]$ )

if  $n = 1$ : return  $A$  *# already sorted*

$m \leftarrow \lfloor n/2 \rfloor$

$B \leftarrow \text{MergeSort}(A[1 \dots m])$

$C \leftarrow \text{MergeSort}(A[m + 1 \dots n])$

$A' \leftarrow \text{merge}(B, C)$

return  $A'$

# Merging Two Sorted Arrays

Algorithm Merge( $B[1 \dots p]$ ,  $C[1 \dots q]$ )

#  $B$  and  $C$  are sorted

$D \leftarrow$  empty array of size  $p + q$

while  $B$  and  $C$  are both non-empty:

$b \leftarrow$  the first element of  $B$

$c \leftarrow$  the first element of  $C$

    if  $b \leq c$ :

        move  $b$  from  $B$  to the end of  $D$

    else:

        move  $c$  from  $C$  to the end of  $D$

move what remains of  $B$  or  $C$  to the end of  $D$

return  $D$

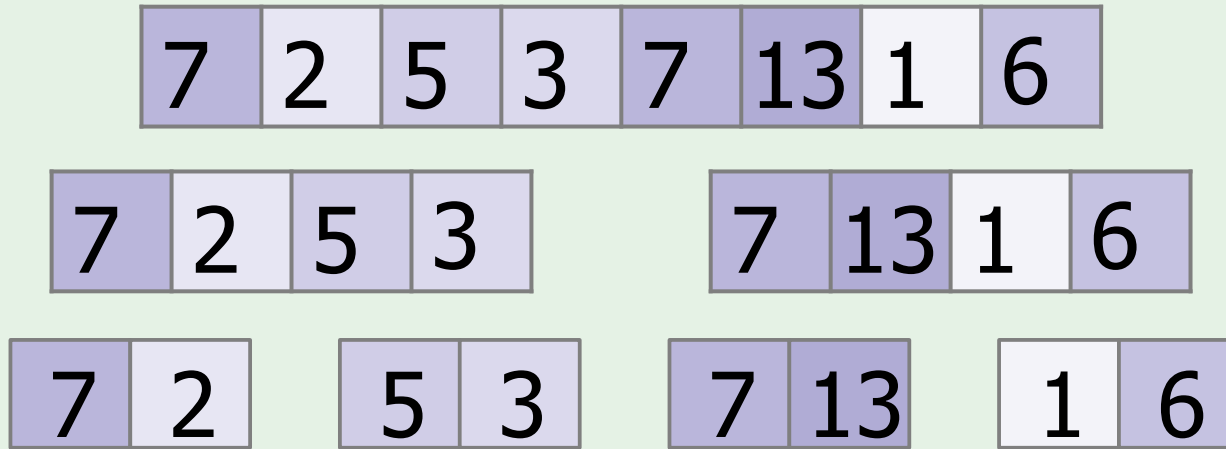
# Merge sort: example

7	2	5	3	7	13	1	6
---	---	---	---	---	----	---	---

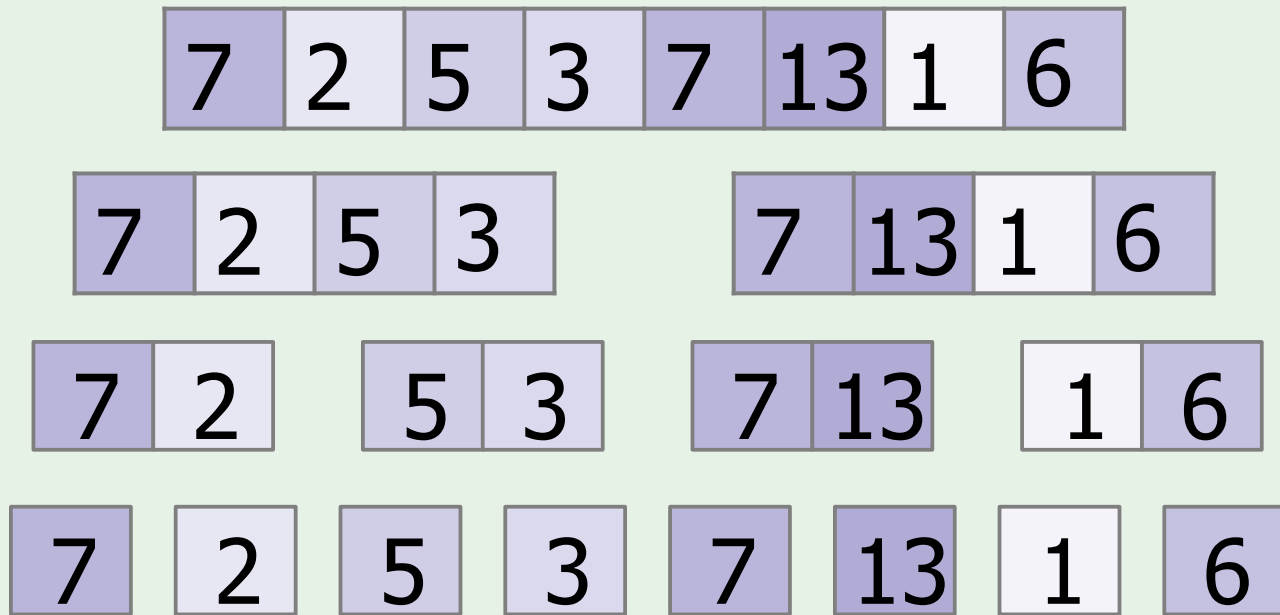
7	2	5	3
---	---	---	---

7	13	1	6
---	----	---	---

# Merge sort: example



# Merge sort: example



# Merge sort: example



# Merge sort: example





# Merge sort: example



# Merge: example

**B**

2	3	5	7
---	---	---	---

*i*

**C**

1	6	7	13
---	---	---	----

*j*

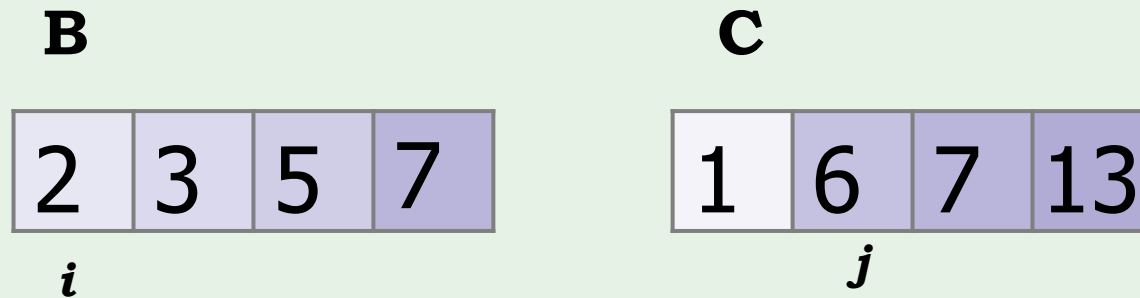
Compare **B[i]** and **C[j]**

**D**

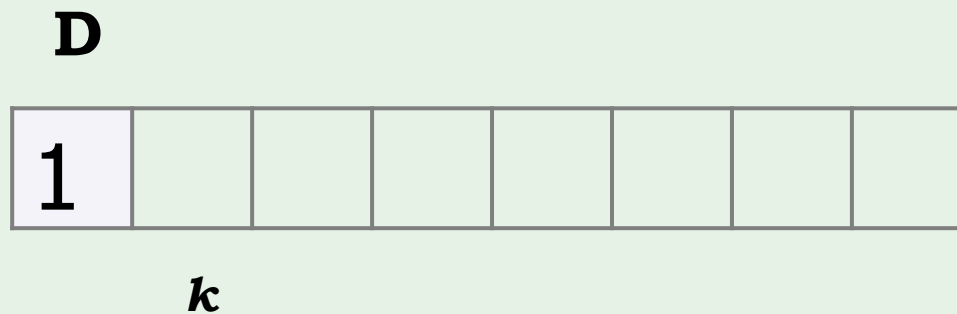
--	--	--	--	--	--	--	--

*k*

# Merge: example



Compare **B[i]** and **C[j]**



# Merge: example

**B**

2	3	5	7
---	---	---	---

*i*

**C**

1	6	7	13
---	---	---	----

*j*

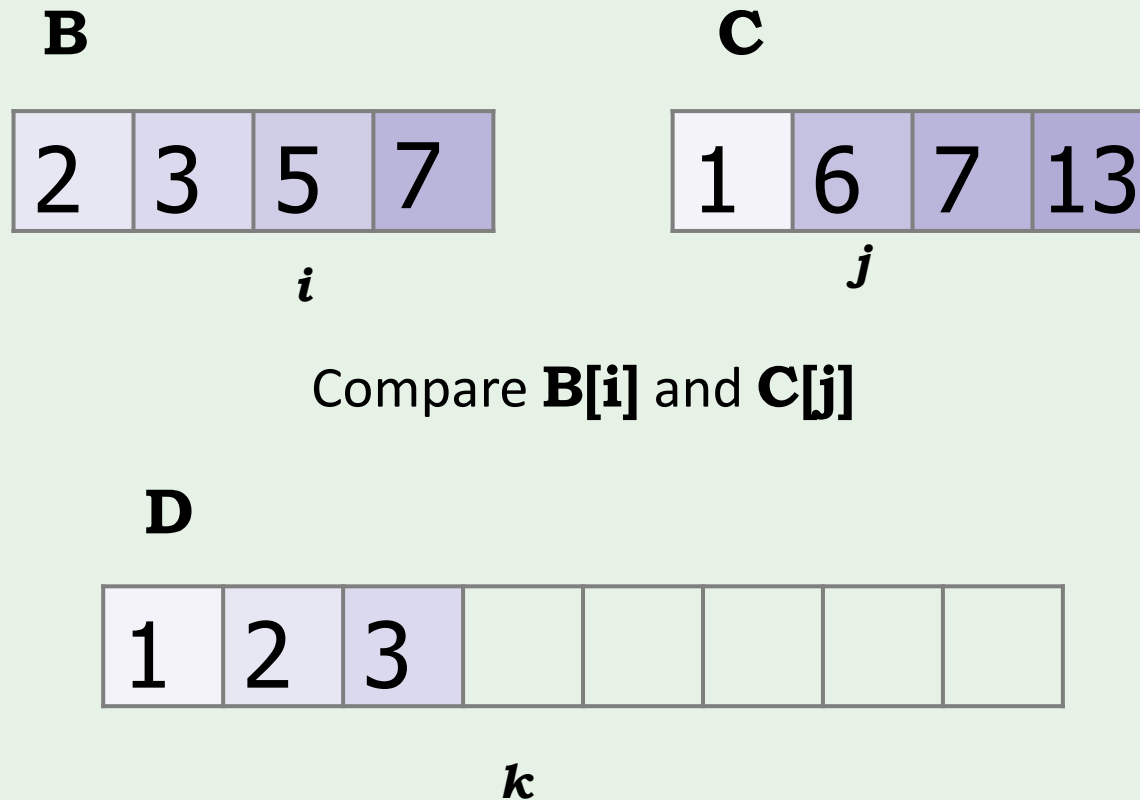
Compare **B[i]** and **C[j]**

**D**

1	2						
---	---	--	--	--	--	--	--

*k*

# Merge: example



# Merge: example

**B**

2	3	5	7
---	---	---	---

*i*

**C**

1	6	7	13
---	---	---	----

*j*

Compare **B**[*i*] and **C**[*j*]

**D**

1	2	3	5				
---	---	---	---	--	--	--	--

*k*

# Merge: example

**B**

2	3	5	7
---	---	---	---

*i*

**C**

1	6	7	13
---	---	---	----

*j*

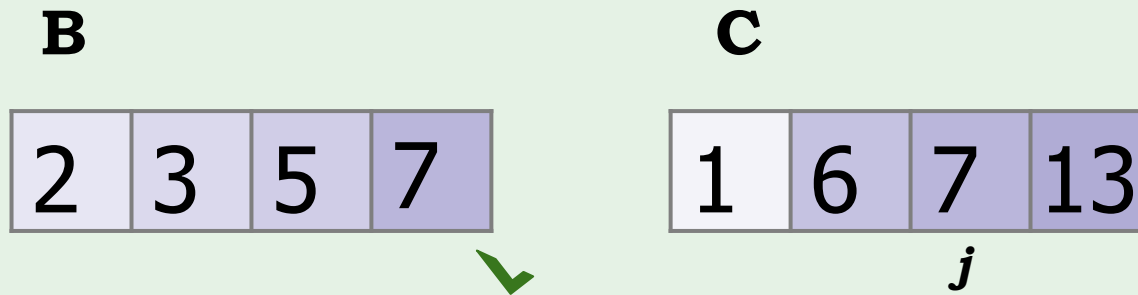
Compare **B[i]** and **C[j]**

**D**

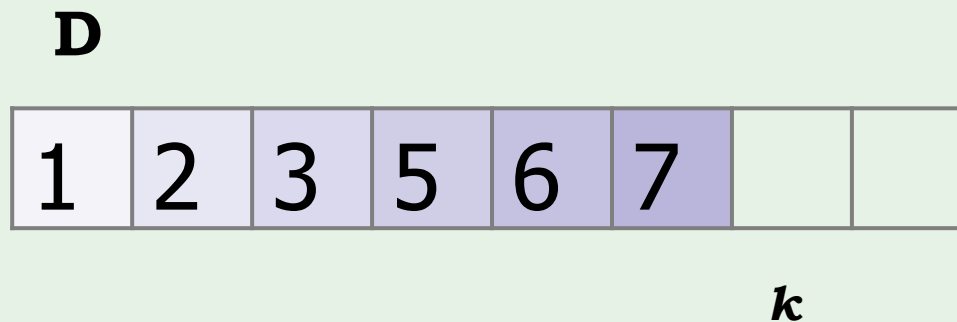
1	2	3	5	6			
---	---	---	---	---	--	--	--

*k*

# Merge: example



Copy what remains in **C**





# Merge: example

**B**

2	3	5	7
---	---	---	---



**C**

1	6	7	13
---	---	---	----

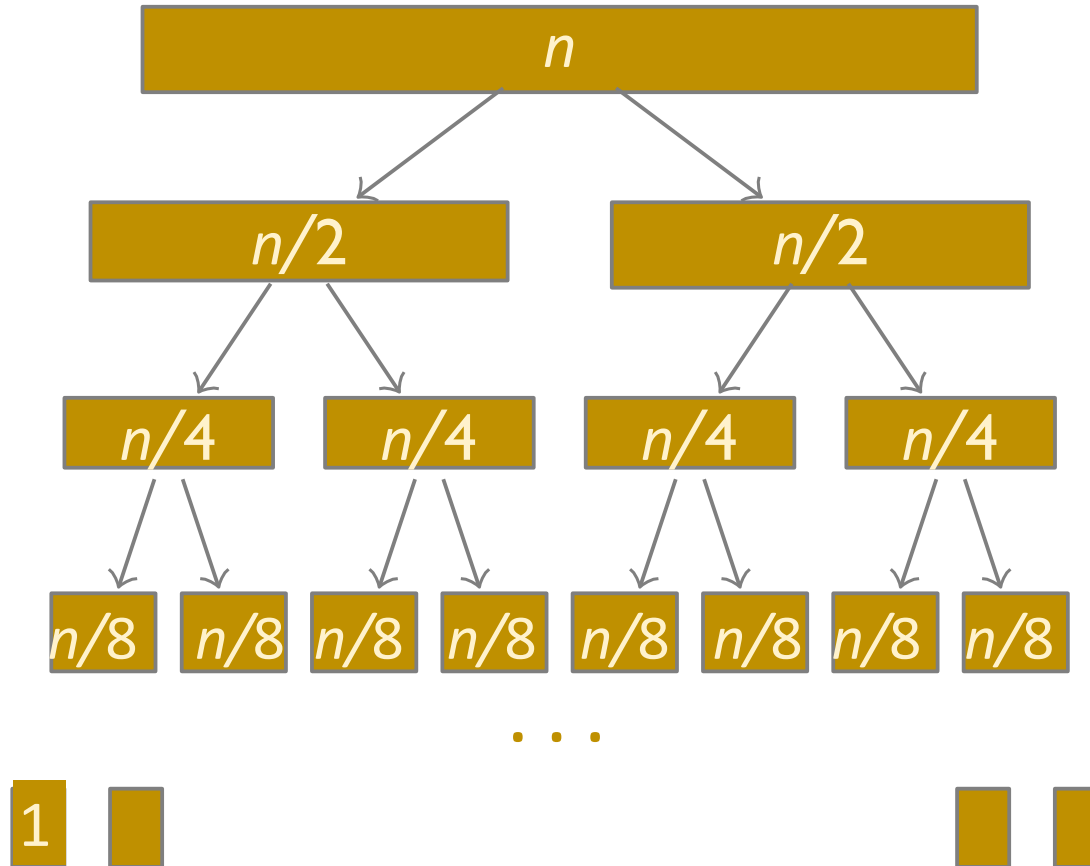


**D**

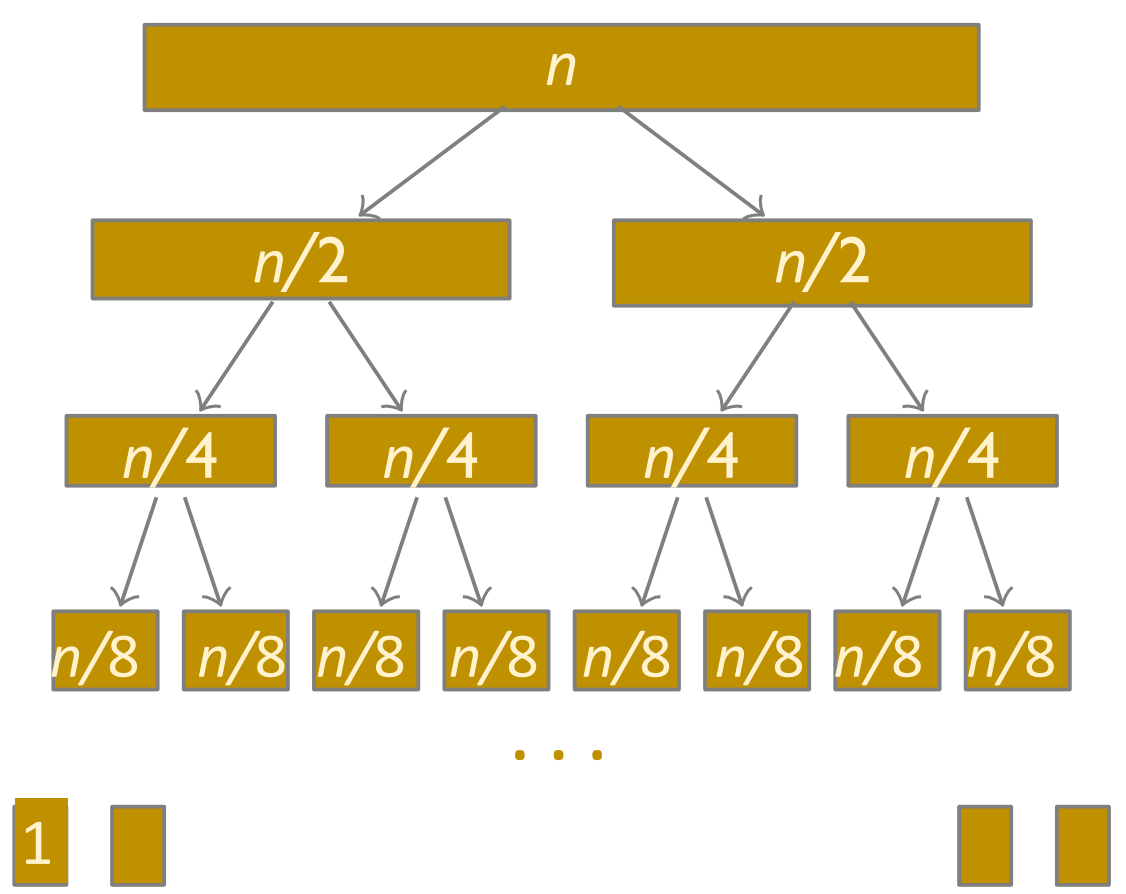
1	2	3	5	6	7	7	13
---	---	---	---	---	---	---	----

# Merge sort: running time

Subproblem  
size at each  
level

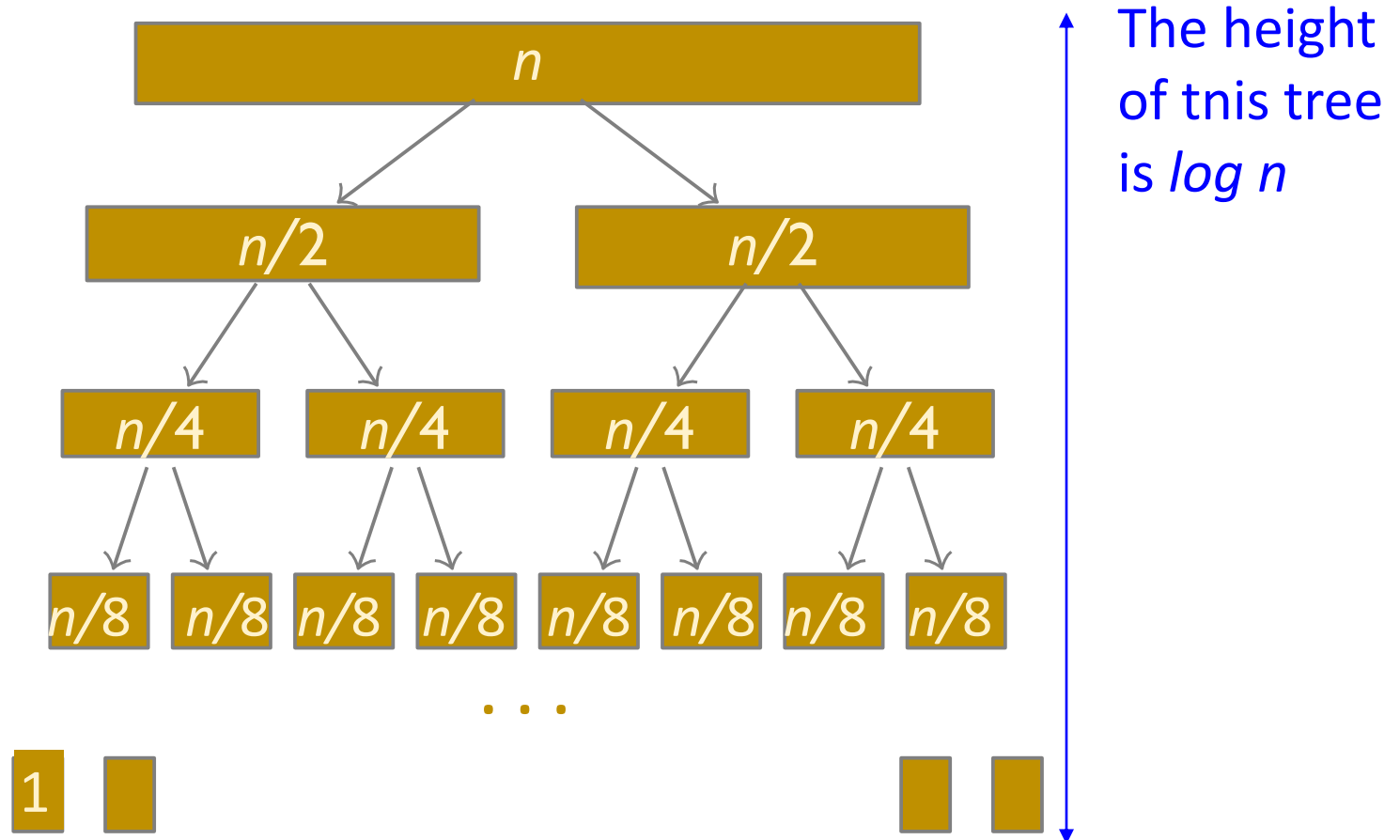


# Merge sort: recursion tree



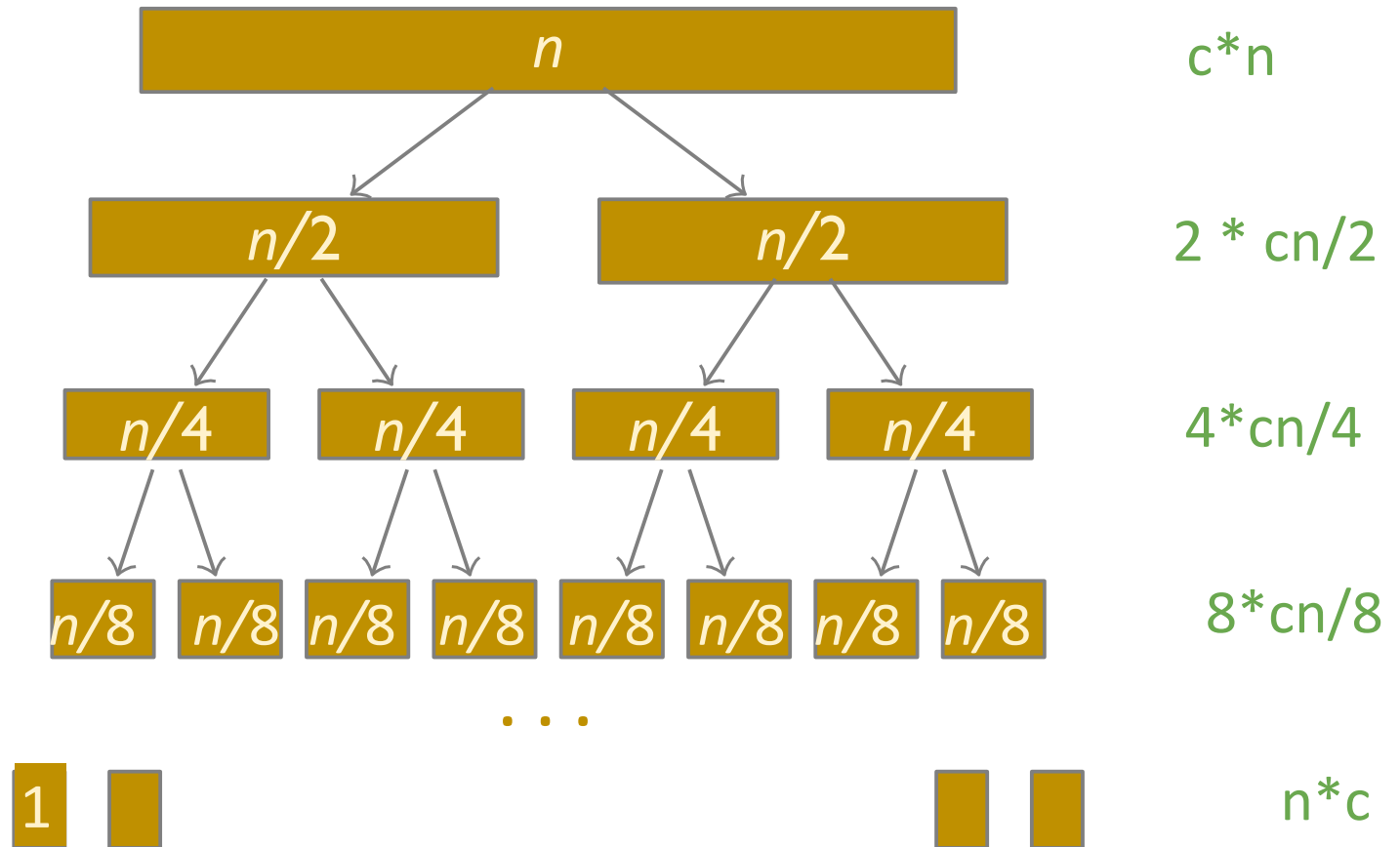
The height  
of this tree  
is...

# Merge sort: recursion tree



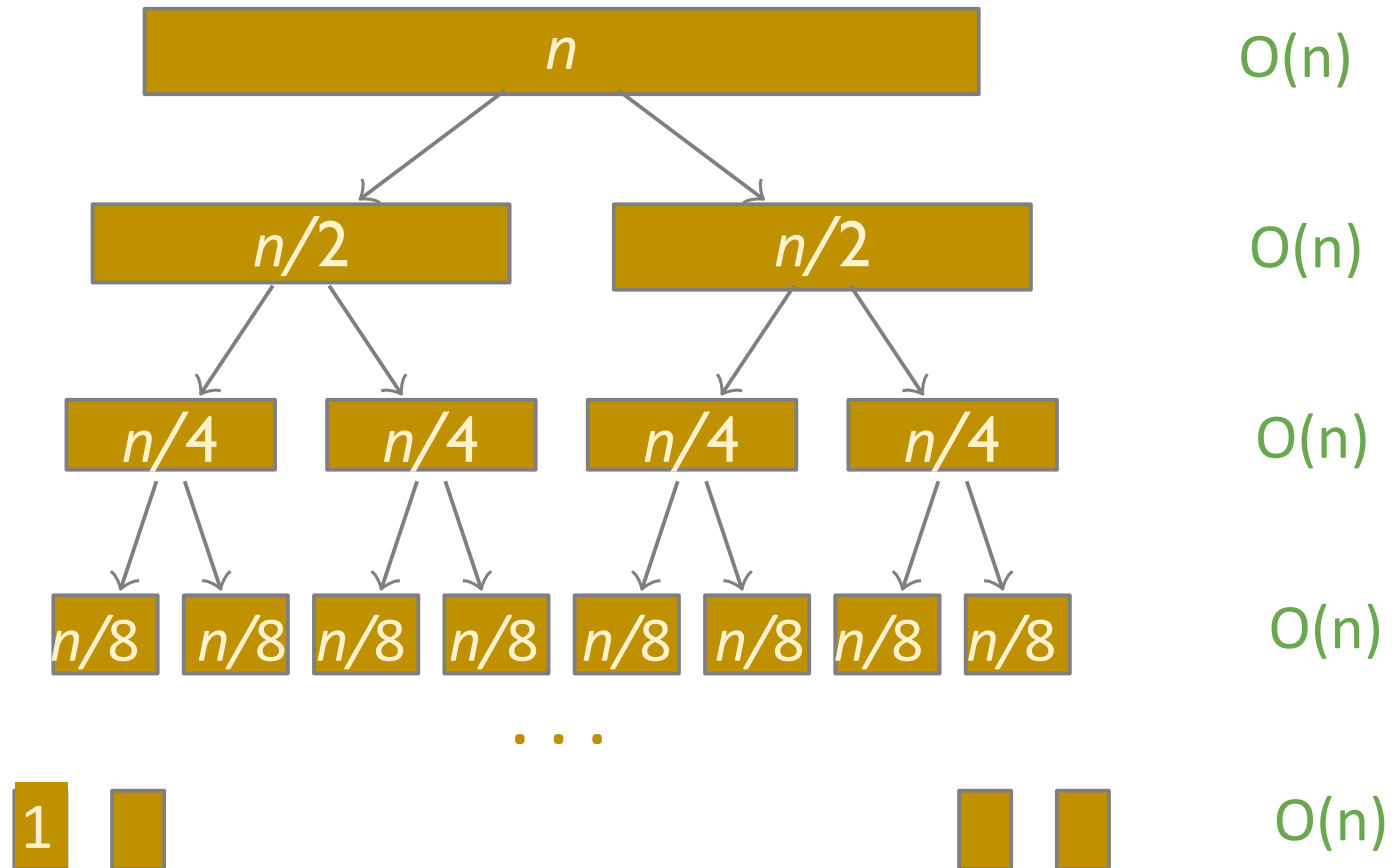
# Merge sort: recursion tree

Work at each level: **all the work during *merge***



# Merge sort: recursion tree

Work at each level:  $O(n)$



Total:  $O(n) * \log n = O(n \log n)$

# Sorting: Java way

Demo code: [LINK](#)

# Sorting with `java.util.Collections`

- Java class ***Collections*** consists exclusively of static methods implementing various algorithms on *Collections*
- The ***Collections.sort()*** implements **merge sort**
- The method takes in any ***Collection*** and rearranges its elements in-place – the collection becomes sorted
- You encountered one of subclasses of *Collection*: `ArrayList` – which is just a dynamic array
- So we can say: ***Collections.sort(arrayList)***



# To be sorted elements must be Comparable

- To sort elements of any type we use generics
- ArrayList stores parametrized types:

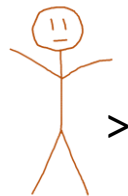
```
public class ArrayList<E>  
List<Dog> dogs = new ArrayList<Dog> ();
```

- When we sort array of Strings, Dates or any primitive wrapper class of objects, then for these the order is already defined
- But if we want to sort custom objects – how should the algorithm compare them?

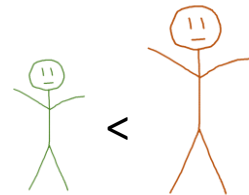
Imagine you have an array of people. How would you put them in order? By height? By intelligence? By hotness?

# We need a custom *Comparator*

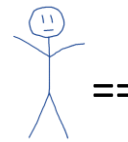
- Merge sort algorithm compares pairs of values during the merge step, and pulls them into output according to this order
- We need to tell to the algorithm how two items should be compared
- We communicate this using one of three int values:



Positive number



Negative number



Zero

a

b

# Example: Sorting Dogs

```
public class Dog{  
    String name;  
    double age;  
    int height;  
    String owner;  
  
    public Dog(String name, double age,  
                int height, String owner) {  
        this.name = name;  
        this.age = age;  
        this.height = height;  
        this.owner = owner;  
    }  
}
```

Custom class  
of objects

```
public static void main(String [] args) {  
    List<Dog> dogs = new ArrayList<Dog> ();  
  
    dogs.add(new Dog("Lisa", 2, 10, ...));  
    ...  
  
    Collections.sort(dogs);  
}
```

We cannot sort dogs, because  
it is not clear how two Dogs  
should be compared

# *Comparable* interface

- Java provides ***Comparable*** interface which should be implemented by any custom class if we want to use sorting in *Arrays* or *Collections*
- The ***Comparable*** interface has parametrized ***compareTo(T obj)*** method which is used by the sorting algorithm to compare pairs of objects
- Our custom classes must implement this interface if we want to sort objects of a new type

# Comparable Dogs

```
public class Dog implements Comparable<Dog>{  
    String name;  
    ...  
}
```

We declare Dog as  
*Comparable*<Dog>

Note that  
interface is also  
parametrized

Comparable interface declares a single method *compareTo* which returns a negative integer, zero, or a positive integer if “this” object is less than, equal to, or greater than another object passed as an argument.

```
    public int compareTo(Dog another) {  
        return this.name.compareTo(another.name);  
    }  
}
```

We want to sort by *name*, which is *String*, and *Strings* already have *compareTo* method – so we reuse it here

# We can sort now

```
public static void main(String [] args) {  
    List<Dog> dogs = new ArrayList<Dog> ();  
    dogs.add(...);...  
  
    System.out.println("Before sorting:");  
    printDogs(dogs);  
  
    Collections.sort(dogs);  
    System.out.println("After default sorting:");  
    printDogs(dogs);  
}
```

Before sorting:

Dog	Lisa	2.0 years	10 inches	owned by	Alice
Dog	Bart	4.0 years	15 inches	owned by	Bob
Dog	Marge	7.0 years	12 inches	owned by	Alice
Dog	Lisa	3.0 years	8 inches	owned by	Bob

After default sorting:

Dog	Bart	4.0 years	15 inches	owned by	Bob
Dog	Lisa	2.0 years	10 inches	owned by	Alice
Dog	Lisa	3.0 years	8 inches	owned by	Bob
Dog	Marge	7.0 years	12 inches	owned by	Alice

# Flexible sorting

- In most real-life scenarios, we want to be able to **sort based on different fields**

For example, we would like to be able to sometimes sort the employees based on salary, and another time sort them by last name or sort them by age – depending on the task

- The implementation of ***Comparable.compareTo()*** method enables only one default sorting and we can't change it dynamically
- To define multiple ways of sorting we can use Java ***Comparator*** interface and **implement different comparators**

# Custom Dog Comparators: 1/3

- We can implement the **Height Comparator** in a separate class, and then pass it as a **second parameter** to the Collections.sort()

```
import java.util.Comparator;

public class HeightComparator
    implements Comparator<Dog> {
    public int compare(Dog d1, Dog d2) {
        return d1.height - d2.height;
    }
}
```

That is  
implemented in a  
separate file

```
public static void main(String [] args) {
```

```
    ...
```

```
    Collections.sort(dogs, new HeightComparator());
    System.out.println("After sorting by height:");
    printDogs(dogs);
```

```
}
```

After sorting by height:

Dog	Lisa	3.0 years	8 inches	owned by	Bob
Dog	Lisa	2.0 years	10 inches	owned by	Alice
Dog	Marge	7.0 years	12 inches	owned by	Alice
Dog	Bart	4.0 years	15 inches	owned by	Bob



# Custom Dog Comparators: 2/3

- We can implement the **Age Comparator** inside the Dog class – as a **static method which returns a new Age Comparator**. Note that we only need to pass its name to Collections.sort()

```
public class Dog implements Comparable<Dog>{
    ...

    public static Comparator<Dog> AgeComparator =
        new Comparator<Dog>() {
        public int compare(Dog d1, Dog d2) {
            return (int) (d1.age - d2.age);
        }
    };
}
```

This is part of the Dog class

```
public static void main(String [] args) {
    ...
    Collections.sort(dogs, AgeComparator);
    System.out.println("After sorting by age:");
    printDogs(dogs);
}
```

After sorting by age:

Dog	Lisa	2.0 years	10 inches	owned by	Alice
Dog	Lisa	3.0 years	8 inches	owned by	Bob
Dog	Bart	4.0 years	15 inches	owned by	Bob
Dog	Marge	7.0 years	12 inches	owned by	Alice

# Custom Dog Comparators: 3/3

- We can implement the **Owner Comparator** in place – directly inside the call to `Collections.sort()`

```
public static void main(String [] args) {  
    ...  
    Collections.sort(dogs, new Comparator<Dog>() {  
        public int compare(Dog d1, Dog d2) {  
            return d1.owner.compareTo(d2.owner);  
        }  
    });  
  
    System.out.println("After sorting by owner:");  
    printDogs(dogs);  
}
```

This is implemented directly as the second parameter to `sort()`. Note that this comparator does not have a name, so it cannot be reused in any other part of the program.

After sorting by owner:

Dog	Lisa	2.0 years	10 inches	owned by	Alice
Dog	Marge	7.0 years	12 inches	owned by	Alice
Dog	Lisa	3.0 years	8 inches	owned by	Bob
Dog	Bart	4.0 years	15 inches	owned by	Bob

```
public class Dog implements Comparable<Dog>{  
    ...  
    public int compareTo(Dog another) {  
        return this.height - another.height;  
    }  
}  
                                     height is integer
```

Which of the following will sort Dogs in reverse order of their height (from the tallest to the shortest)?

A Collections.sort(*dogs*, new Comparator<Dog>() {  
 public int compare(Dog d1, Dog d2) {  
 return d2.height - d1.height;  
 }  
});

B Collections.sort(*dogs*, new Comparator<Dog>() {  
 public int compare(Dog d1, Dog d2) {  
 return - d1.compareTo(d2);  
 }  
});

C Collections.sort(*dogs*, new Comparator<Dog>() {  
 public int compare(Dog d1, Dog d2) {  
 return d2.compareTo(d1);  
 }  
});

- A
- B
- C
- All of the above
- None of the above

# Java Merge Sort: notes

- The sorting in Java uses an optimized merge sort algorithm: the merge step is omitted if the highest element in the low sublist is less than the lowest element in the high sublist
- This algorithm offers guaranteed  $O(n \log n)$  performance
- If we sort a LinkedList, this implementation dumps the specified list into an array, sorts the array, and iterates over the list resetting each element from the corresponding position in the array. This is faster in practice than attempting to merge-sort a LinkedList directly (we need to add another  $O(n)$  at each level during partitioning phase)