

CS 1699: Deep Learning

Advanced and Recent Topics

Prof. Adriana Kovashka
University of Pittsburgh
April 2, 2020

Plan for this lecture

- Alternative representations
 - I. Graph networks (pp 3-22)
- Alternative learning mechanisms
 - II. Self supervision (pp 23-63)
 - III. Reinforcement learning (pp 64-101)
- Alternative tasks
 - IV. Generation (pp 102-190)
- V. Bias and ethics (pp 191-256)

Part I: Graph Networks

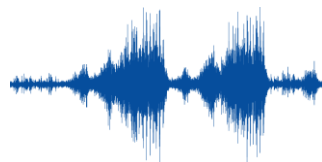
- Types of graph networks
 - Graph convolutional networks
 - Graph attention networks
- Applications
 - Semi-supervised learning
 - Visual question answering

Types of data typically handled with Deep Learning

IMAGENET

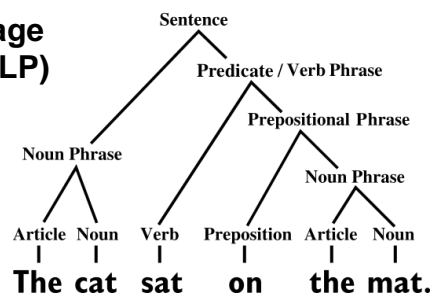


Speech data

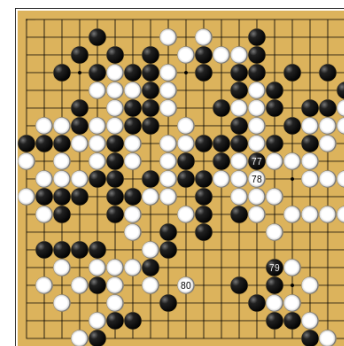


Natural language processing (NLP)

...



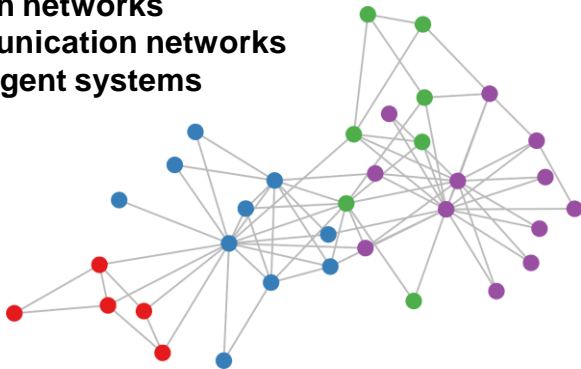
Grid games



Graph-structured data

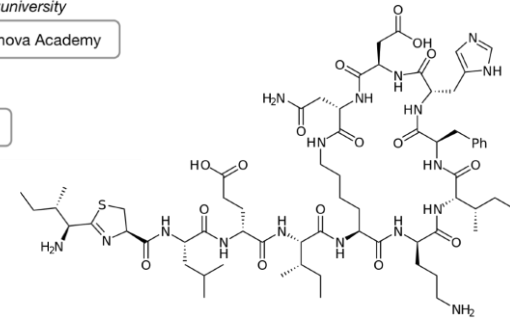
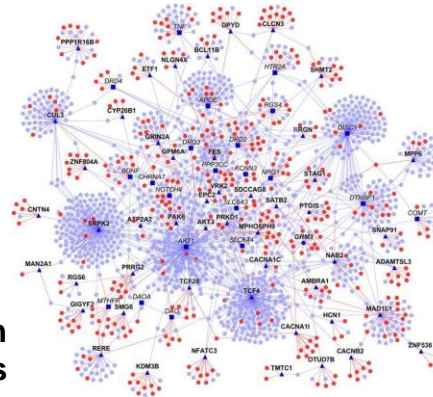
A lot of real-world data does not “live” on grids

Social networks
Citation networks
Communication networks
Multi-agent systems

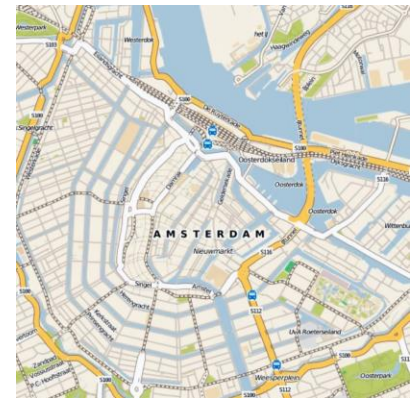


Protein interaction
networks

Knowledge graphs



Molecules

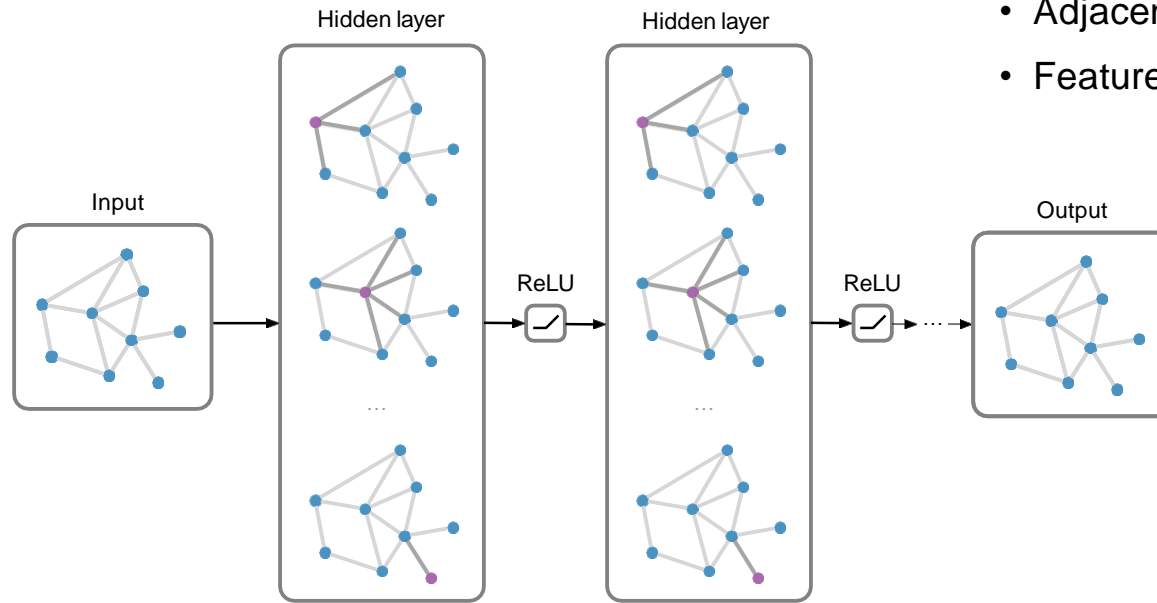


Road maps

Standard deep learning architectures
like CNNs and RNNs don't work here!

Graph Neural Networks (GNNs)

The bigger picture:



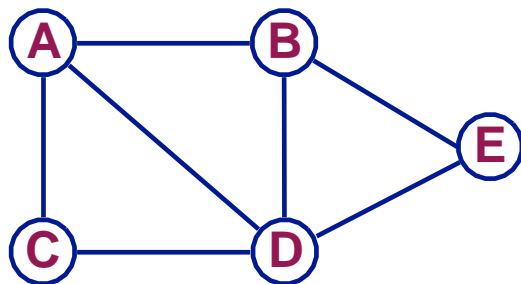
Notation: $G = (\mathbf{A}, \mathbf{X})$

- Adjacency matrix $\mathbf{A} \in \mathbb{R}^{N \times N}$
- Feature matrix $\mathbf{X} \in \mathbb{R}^{N \times F}$

Main idea: Pass messages between pairs of nodes & agglomerate

Graph convolutional networks

Graph: $G = (\mathcal{V}, \mathcal{E})$

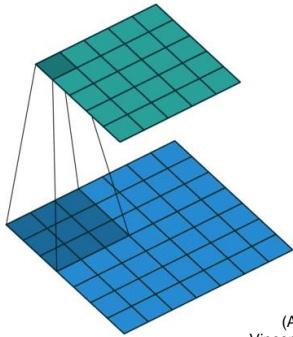


Adjacency matrix: A

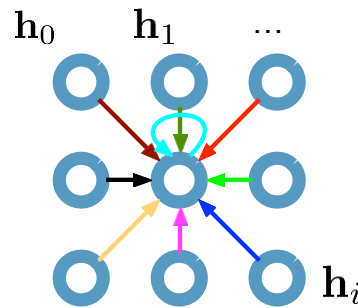
	A	B	C	D	E
A	0	1	1	1	0
B	1	0	0	1	1
C	1	0	0	1	0
D	1	1	1	0	1
E	0	1	0	1	0

Recap: Convolutional neural networks (on grids)

Single CNN layer with 3x3 filter:



(Animation by Vincent Dumoulin)



Update for a single pixel:

- Transform messages individually $\mathbf{W}_i \mathbf{h}_i$
- Add everything up $\sum_i \mathbf{W}_i \mathbf{h}_i$

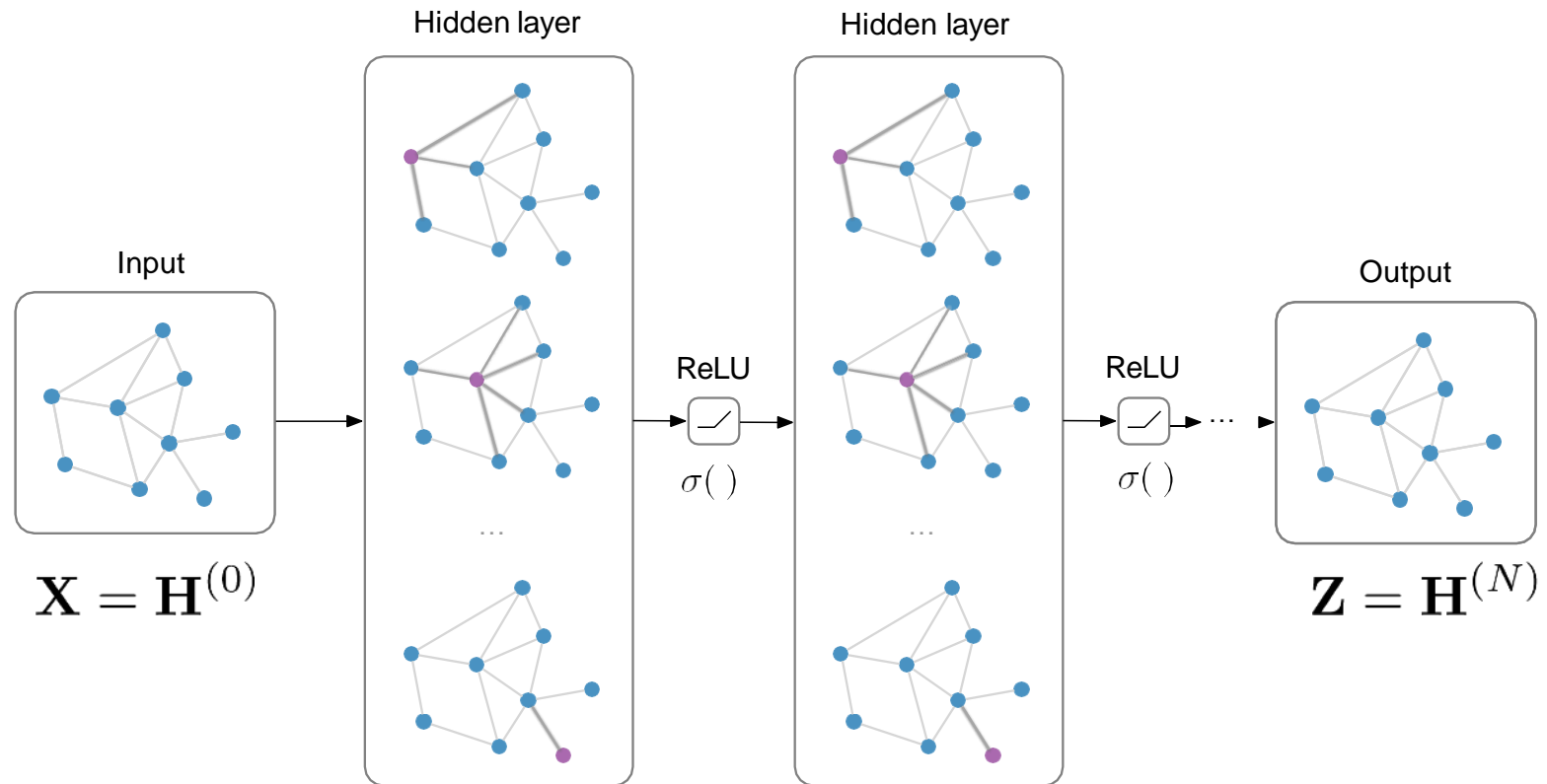
\mathbf{h}_i in \mathbb{R}^F are (hidden layer) activations of a pixel/node

Full update:

$$\mathbf{h}_4^{(l+1)} = \sigma \left(\mathbf{W}_0^{(l)} \mathbf{h}_0^{(l)} + \mathbf{W}_1^{(l)} \mathbf{h}_1^{(l)} + \dots + \mathbf{W}_8^{(l)} \mathbf{h}_8^{(l)} \right)$$

Graph convolutional networks

Input: Feature matrix $\mathbf{X} \in \mathbb{R}^{N \times E}$, preprocessed adjacency matrix $\hat{\mathbf{A}}$

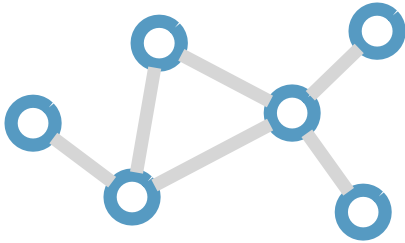


$$\mathbf{H}^{(l+1)} = \sigma \left(\hat{\mathbf{A}} \mathbf{H}^{(l)} \mathbf{W}^{(l)} \right)$$

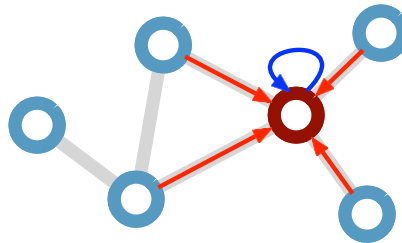
Graph convolutional networks (GCNs)

Kipf & Welling (ICLR 2017), related previous works by Duvenaud et al. (NIPS 2015) and Li et al. (ICLR 2016)

Consider this
undirected graph:



Calculate update
for node in red:



Update rule:

$$\mathbf{h}_i^{(l+1)} = \sigma \left(\mathbf{h}_i^{(l)} \mathbf{W}_0^{(l)} + \sum_{j \in \mathcal{N}_i} \frac{1}{c_{ij}} \mathbf{h}_j^{(l)} \mathbf{W}_1^{(l)} \right)$$

Scalability: subsample messages [Hamilton et al., NIPS 2017]

\mathcal{N}_i : neighbor
indices

c_{ij} : norm. constant
(fixed/trainable)

Alternatives

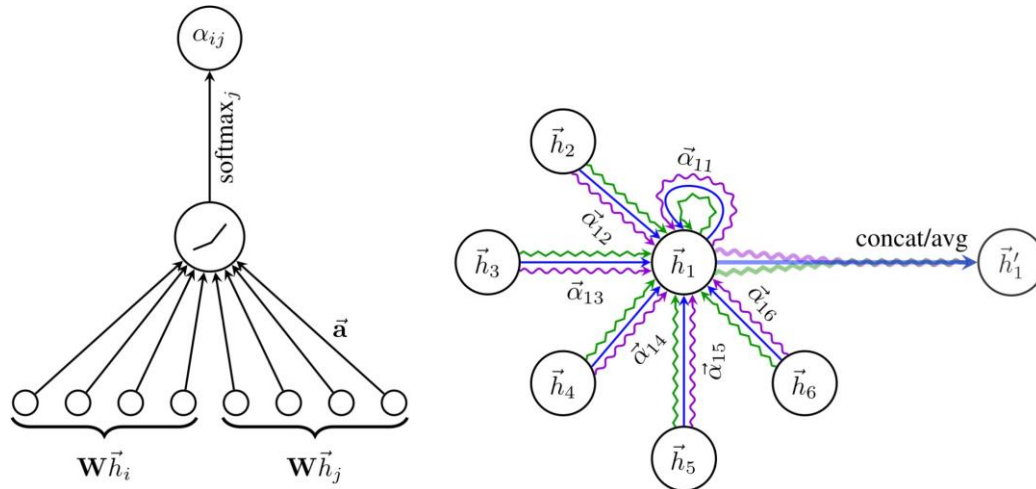
How else can we propagate information over a graph?

How can we improve the propagation using ideas from RNNs?

From CNNs?

Graph neural networks with attention

Monti et al. (CVPR 2017), Hoshen (NIPS 2017), Veličković et al. (ICLR 2018)

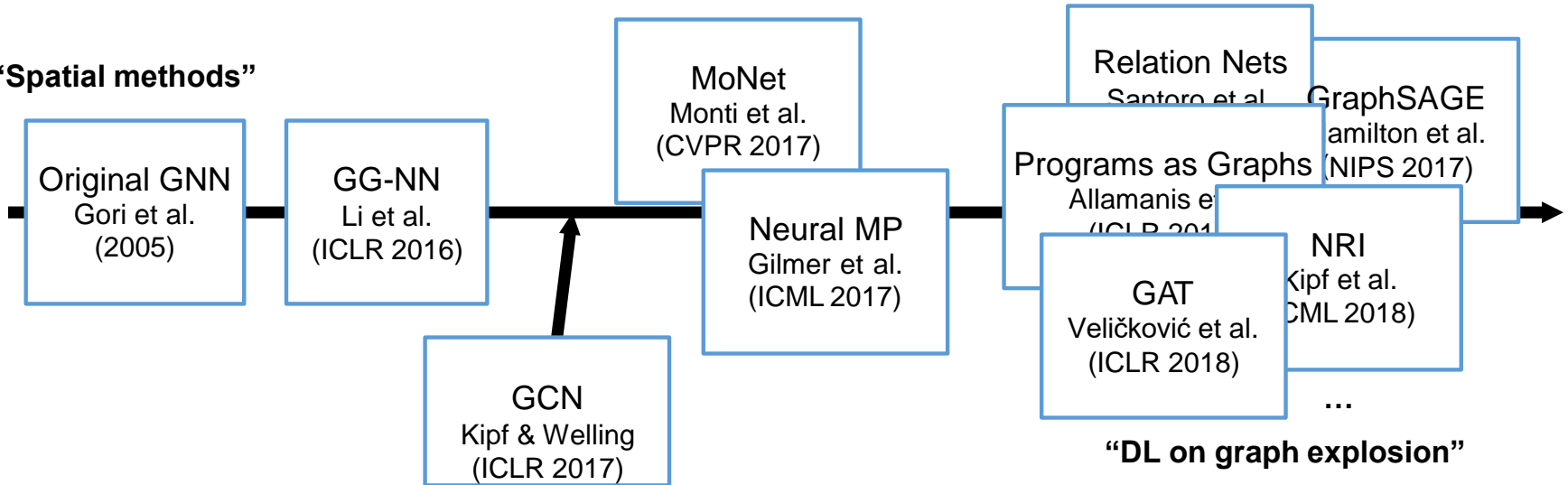


[Figure from Veličković et al. (ICLR 2018)]

$$\vec{h}'_i = \sigma \left(\frac{1}{K} \sum_{k=1}^K \sum_{j \in \mathcal{N}_i} \alpha_{ij}^k \mathbf{W}^k \vec{h}_j \right) \quad \alpha_{ij} = \frac{\exp \left(\text{LeakyReLU} \left(\vec{\mathbf{a}}^T [\mathbf{W} \vec{h}_i \| \mathbf{W} \vec{h}_j] \right) \right)}{\sum_{k \in \mathcal{N}_i} \exp \left(\text{LeakyReLU} \left(\vec{\mathbf{a}}^T [\mathbf{W} \vec{h}_i \| \mathbf{W} \vec{h}_k] \right) \right)}$$

A brief history of graph neural nets

“Spatial methods”



“DL on graph explosion”

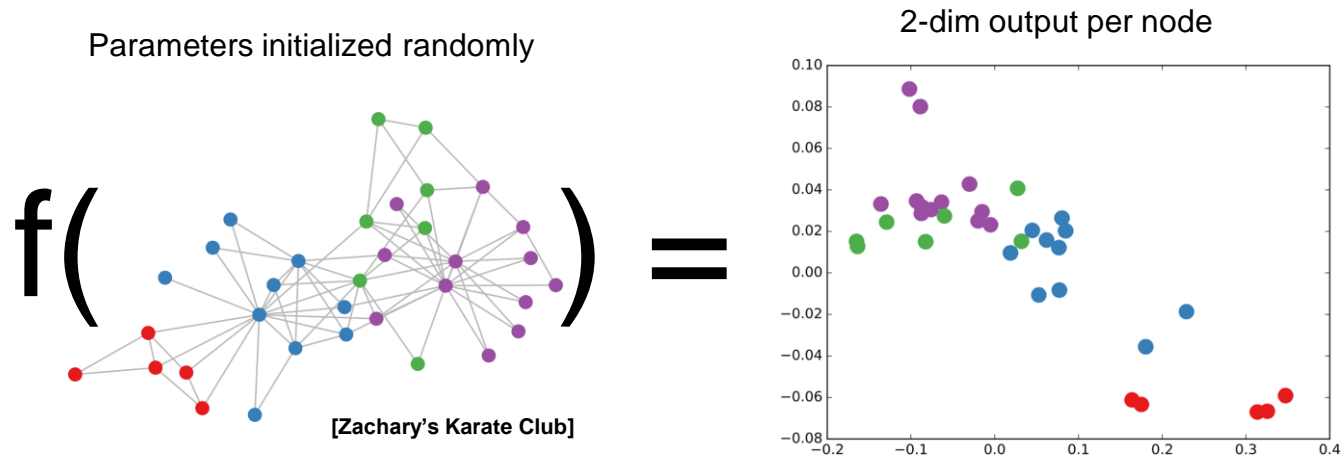
Other early work:

- Duvenaud et al. (NIPS 2015)
- Dai et al. (ICML 2016)
- Niepert et al. (ICML 2016)
- Battaglia et al. (NIPS 2016)
- Atwood & Towsley (NIPS 2016)
- Sukhbaatar et al. (NIPS 2016)

(slide inspired by Alexander Gaunt's talk on GNNs)

What do learned representations look like?

Forward pass through **untrained** 3-layer GCN model



What else are graph representations good for?

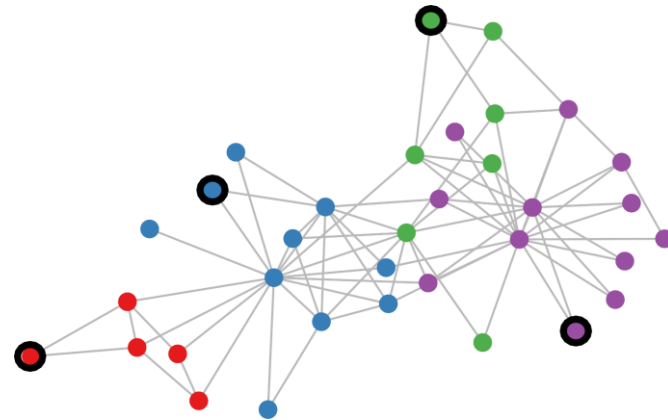
Semi-supervised classification on graphs

Setting:

Some nodes are labeled (black circle)
All other nodes are unlabeled

Task:

Predict node label of unlabeled nodes



Evaluate loss on labeled nodes only:

$$\mathcal{L} = - \sum_{l \in \mathcal{Y}_L} \sum_{f=1}^F Y_{lf} \ln Z_{lf}$$

\mathcal{Y}_L set of labeled node

\mathbf{Y} indices label matrix

\mathbf{Z} GCN output (after softmax)

Application: Classification on citation networks

Input: Citation networks (nodes are papers, edges are citation links, optionally bag-of-words features on nodes)

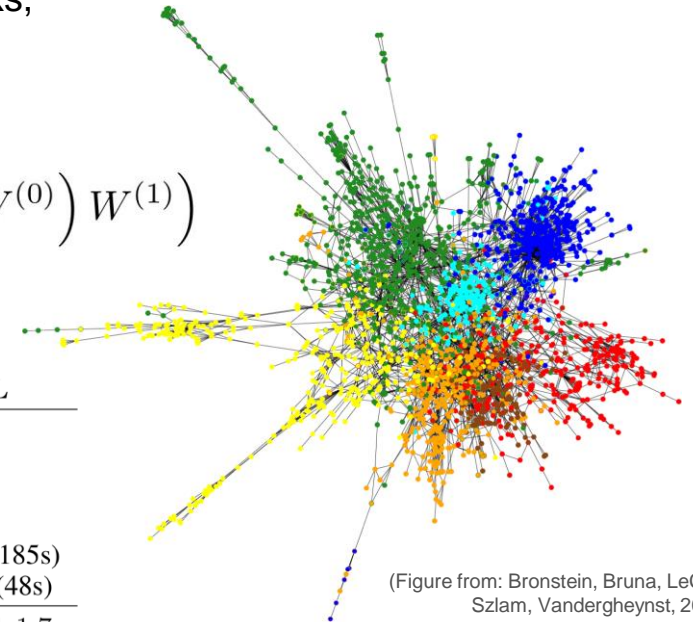
Target: Paper category (e.g. stat.ML, cs.LG, ...)

Model: 2-layer GCN $Z = f(X, A) = \text{softmax}\left(\hat{A} \text{ReLU}\left(\hat{A}XW^{(0)}\right)W^{(1)}\right)$

Classification results (accuracy)

Method	Citeseer	Cora	Pubmed	NELL
ManiReg [3]	60.1	59.5	70.7	21.8
SemiEmb [24]	59.6	59.0	71.1	26.7
LP [27]	45.3	68.0	63.0	26.5
DeepWalk [18]	43.2	67.2	65.3	58.1
Planetoid* [25]	64.7 (26s)	75.7 (13s)	77.2 (25s)	61.9 (185s)
GCN (this paper)	70.3 (7s)	81.5 (4s)	79.0 (38s)	66.0 (48s)
GCN (rand. splits)	67.9 ± 0.5	80.1 ± 0.5	78.9 ± 0.7	58.4 ± 1.7

no input features



(Figure from: Bronstein, Bruna, LeCun, Szlam, Vandergheynst, 2016)

Kipf & Welling, Semi-Supervised Classification with Graph Convolutional Networks, ICLR 2017

Visual Question Answering (VQA)

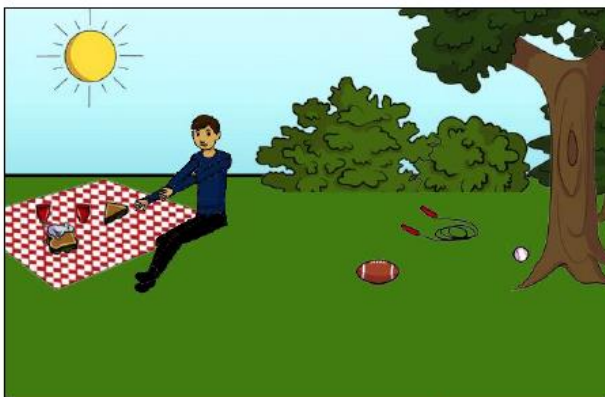
Task: Given an image and a natural language open-ended question, generate a natural language answer.



What color are her eyes?
What is the mustache made of?



How many slices of pizza are there?
Is this a vegetarian pizza?



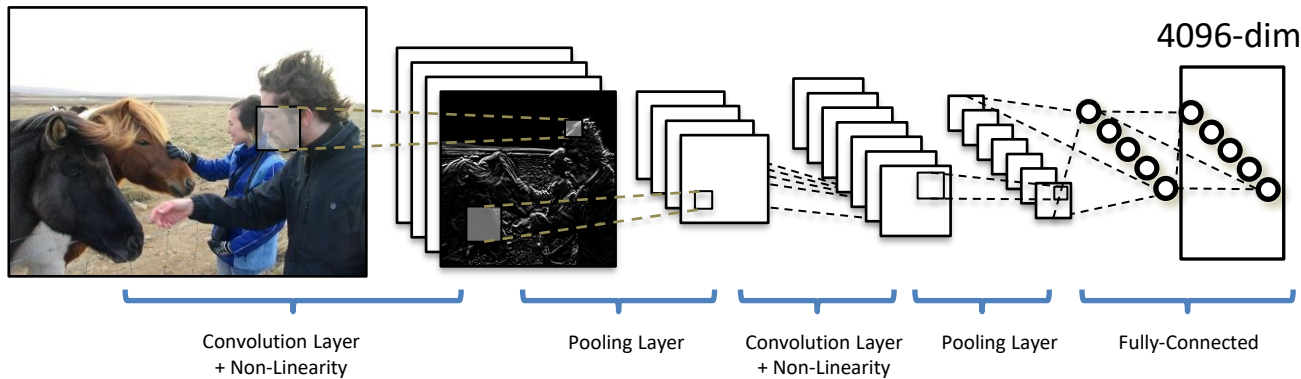
Is this person expecting company?
What is just under the tree?



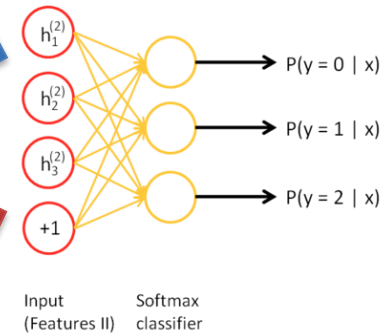
Does it appear to be rainy?
Does this person have 20/20 vision?

Visual Question Answering (VQA)

Image Embedding

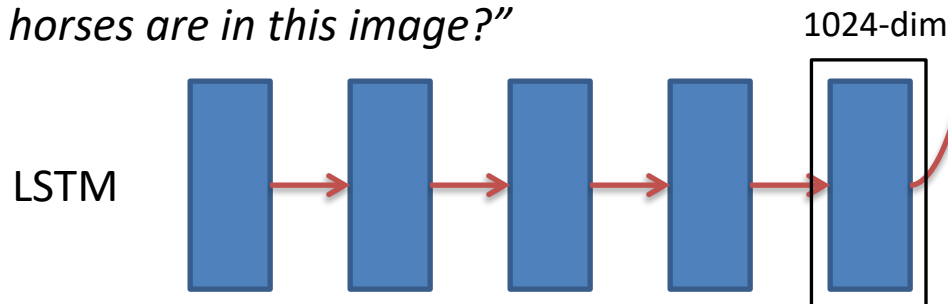


Neural Network
Softmax
over top K answers



Question Embedding

"How many horses are in this image?"




Visual Question Answering (VQA)

CloudCV: Large Scale Dist x

cloudcv.org/vqa/

CloudCV Image Stitching Object Detection Decaf-Server Classification VIP Train a new category

Ask any question about this image



Answer

Visual Question Answering (VQA)

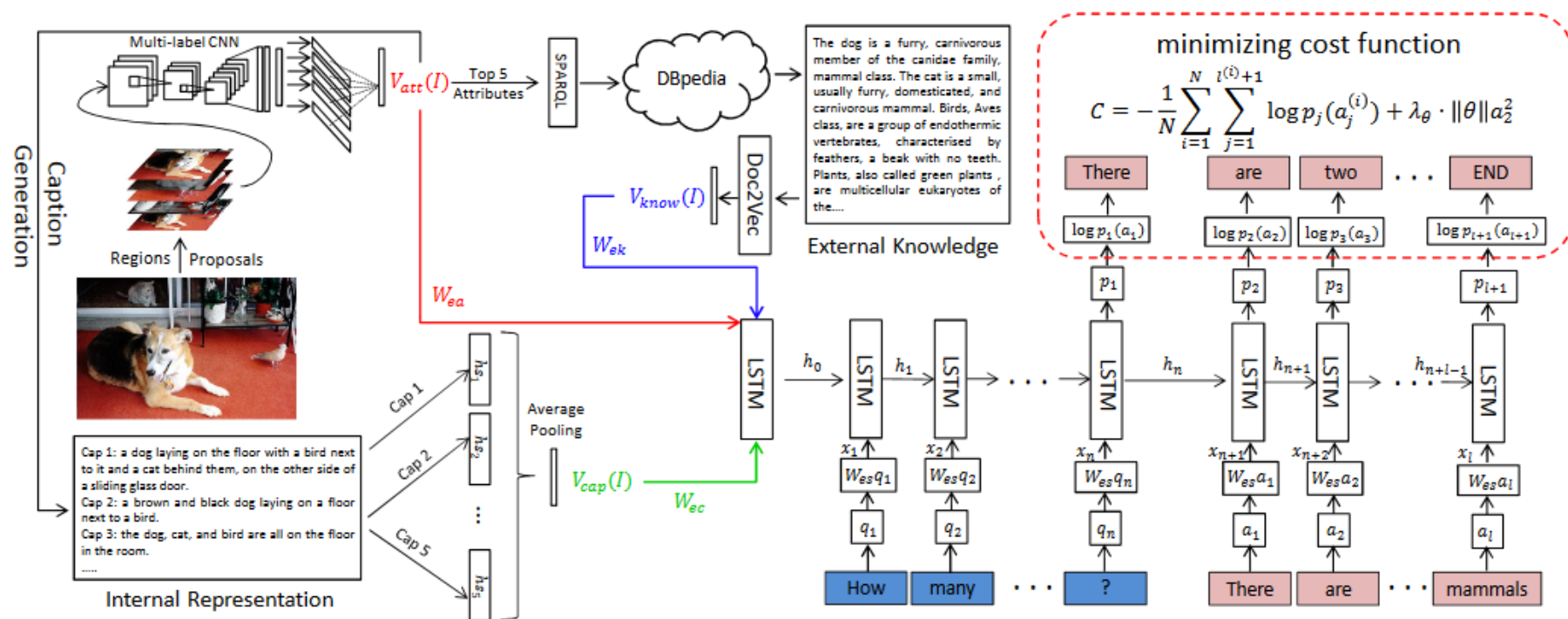


Figure 2. Our proposed framework: given an image, a CNN is first applied to produce the attribute-based representation $V_{att}(I)$. The internal textual representation is made up of image captions generated based on the image-attributes. The hidden state of the caption-LSTM after it has generated the last word in each caption is used as its vector representation. These vectors are then aggregated as $V_{cap}(I)$ with average-pooling. The external knowledge is mined from the KB (in this case DBpedia) and the responses encoded by Doc2Vec, which produces a vector $V_{know}(I)$. The 3 vectors V are combined into a single representation of scene content, which is input to the VQA LSTM model which interprets the question and generates an answer.

Reasoning for VQA

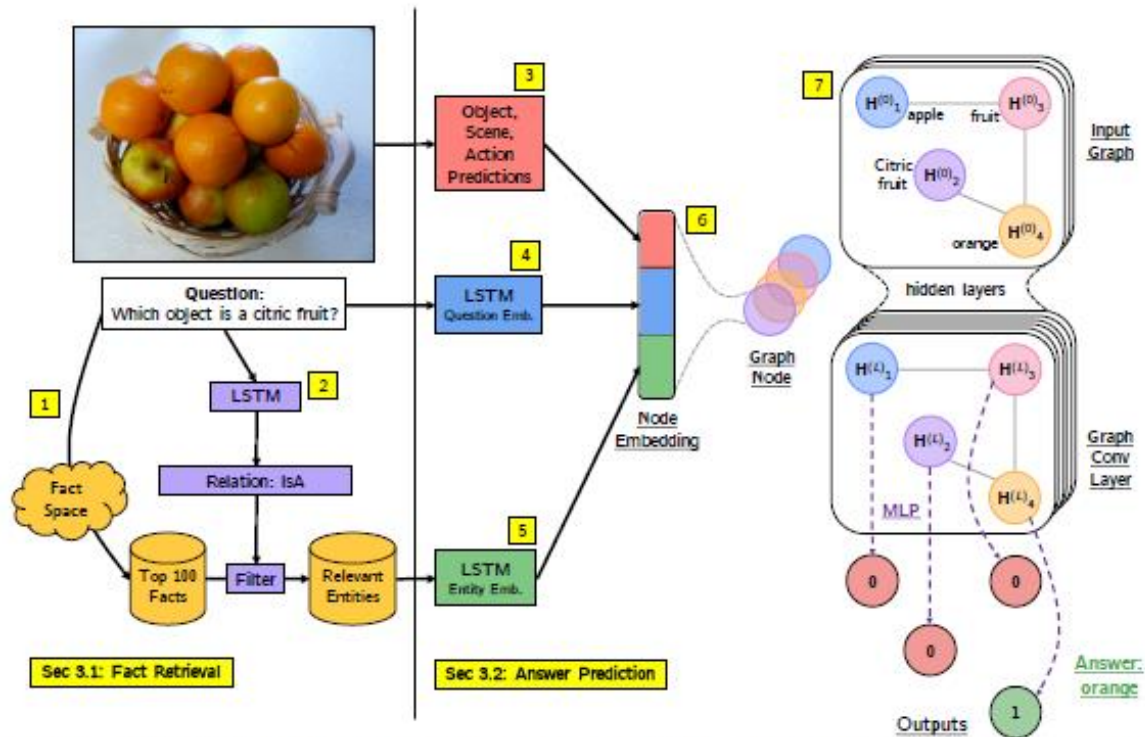


Figure 2: Outline of the proposed approach: Given an image and a question, we use a similarity scoring technique (1) to obtain relevant facts from the fact space. An LSTM (2) predicts the relation from the question to further reduce the set of relevant facts and its entities. An entity embedding is obtained by concatenating the visual concepts embedding of the image (3), the LSTM embedding of the question (4), and the LSTM embedding of the entity (5). Each entity forms a single node in the graph and the relations constitute the edges (6). A GCN followed by an MLP performs joint assessment (7) to predict the answer. Our approach is trained end-to-end.

Graphs for advertisements

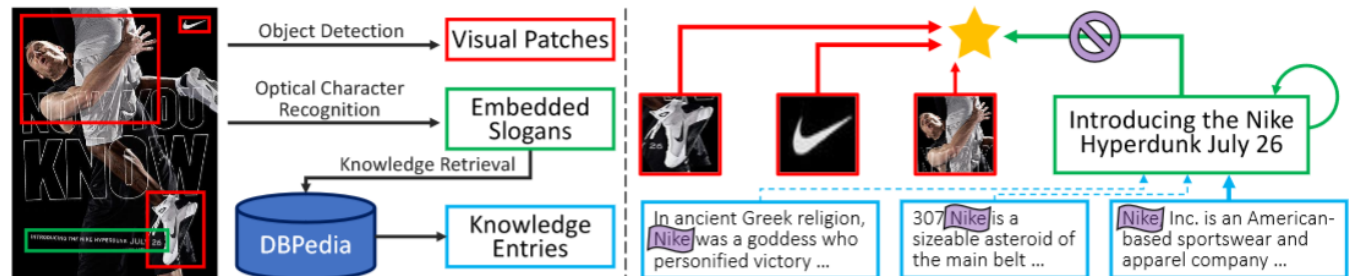
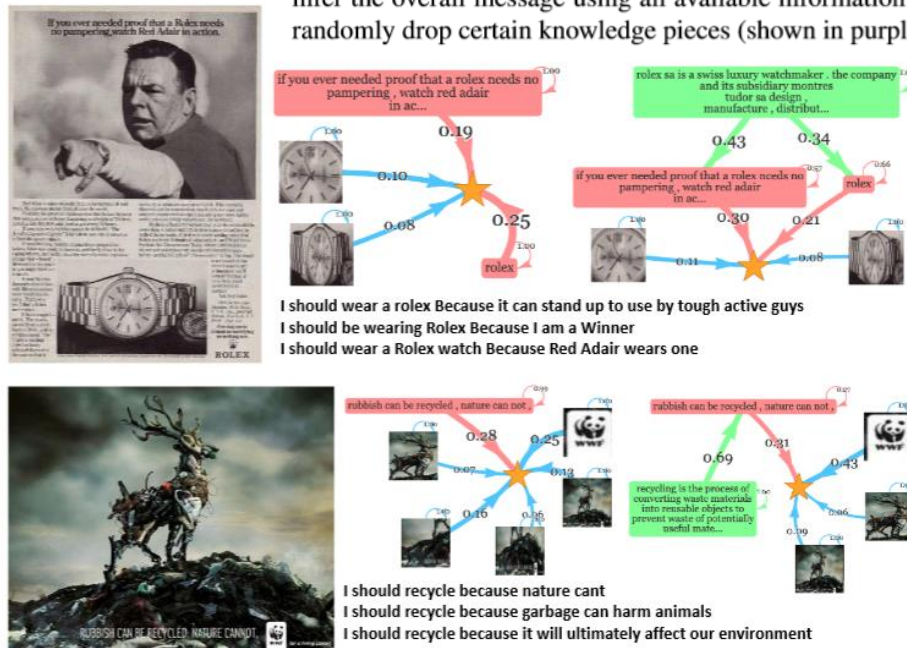


Figure 2: **Overview of the proposed model.** Given a single image ad, we first expand the representation using object detection and OCR, and also retrieve relevant knowledge based on slogan snippets (left). We build a graph-based model to infer the overall message using all available information (right). For more effective training, we mask query keywords and randomly drop certain knowledge pieces (shown in purple). More details are in Sec. 3.



Part II: Self-Supervised Learning

- Learn representations from context in raw data
- Language – predict nearby words [*already covered*]
 - Word2Vec
 - Transformers, BERT
- Vision – predict pixels from other pixels
 - Predict nearby patches in an image
 - Predict order of frames in a video
 - Predict what you will see as you move
 - Predict physics

Jitendra Malik: "**Supervision** is the opium of the AI researcher"

Alyosha Efros: "The AI revolution will not be **supervised**"

Yann LeCun: "**Self-supervised** learning is the cake, **supervised** learning is the icing on the cake, **reinforcement learning** is the cherry on the cake"

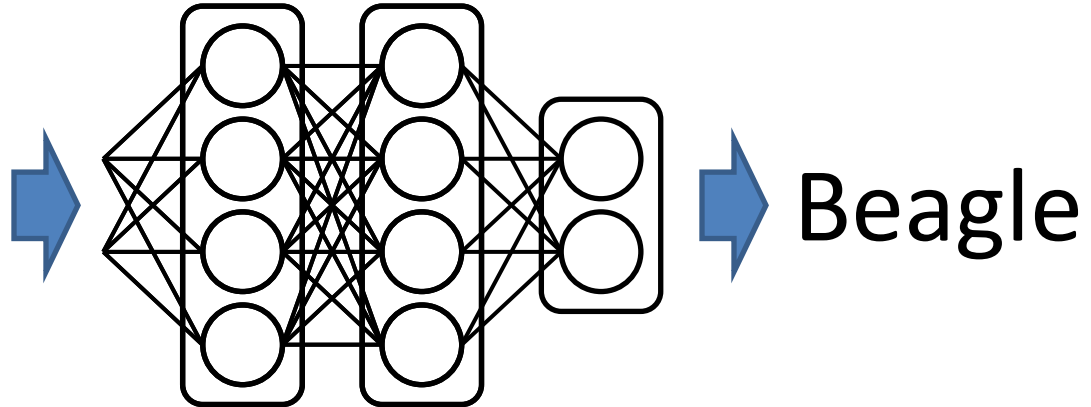
Motivation

- What's the data we've learned from thus far?
- Labeled static datasets
 - Expensive to obtain
 - Doesn't match how humans learn
- Alternatives
 - Unsupervised learning (no labels)
 - Self-supervised learning ("fake"/emergent labels)
 - Embodied/active learning (agents in environments)

Unsupervised Visual Representation Learning by Context Prediction

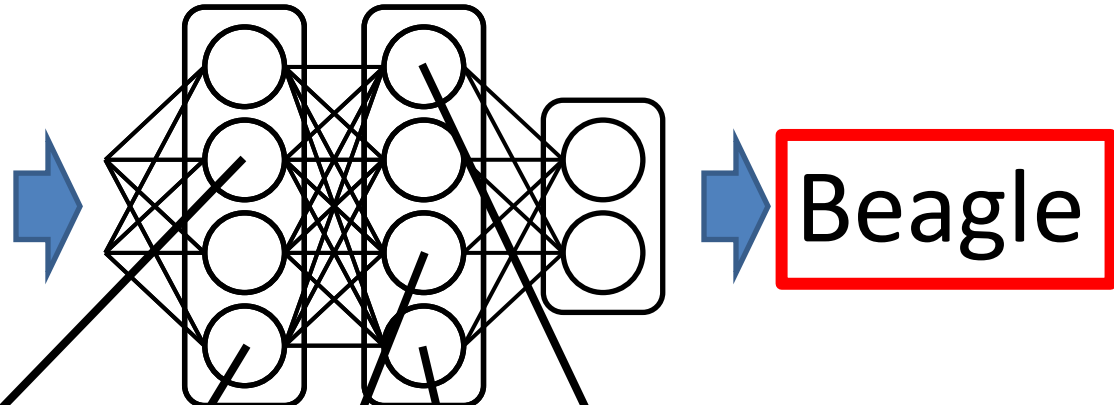
Carl Doersch, Alexei Efros and Abhinav Gupta
ICCV 2015

ImageNet + Deep Learning



- Image Retrieval
- Detection (RCNN)
- Segmentation (FCN)
- Depth Estimation
- ...

ImageNet + Deep Learning



Materials?

Parts?

Pose?

Do we even need this sort of labels?

Geometry?

Boundaries?

Context as Supervision

[Collobert & Weston 2008; Mikolov et al. 2013]

house, where the professor lived without his wife and child; or so he said jokingly sometimes: "Here's where I live. My house." His daughter often added, without resentment, for the visitor's information, "It started out to be for me, but it's really his." And she might reach in to bring forth an inch-high table lamp with fluted shade, or a blue dish the size of her little fingernail, marked "Kitty" and half full of eternal milk, but she was sure to replace these, after they had been admired, pretty near exactly where they had been. The little house was very orderly, and just big enough for all it contained, though to some tastes the bric-à-brac in the parlor might seem excessive. The daughter's preference was for the store-bought gimmicks and appliances, the toasters and carpet sweepers of Lilliput, but she knew that most adult visitors would



Deep
Net

Context Prediction for Images

1

2

3

4



5



A

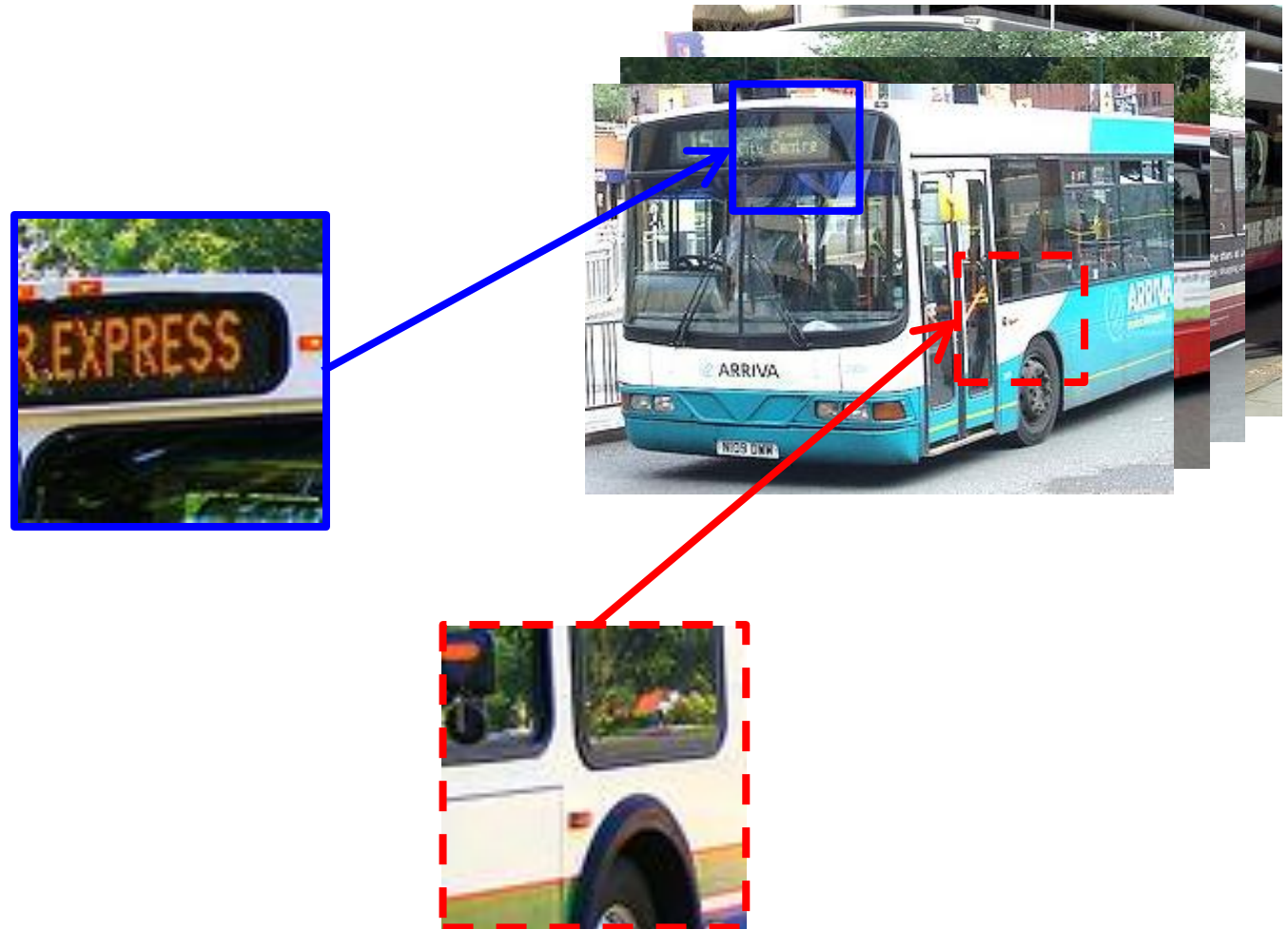
B

6

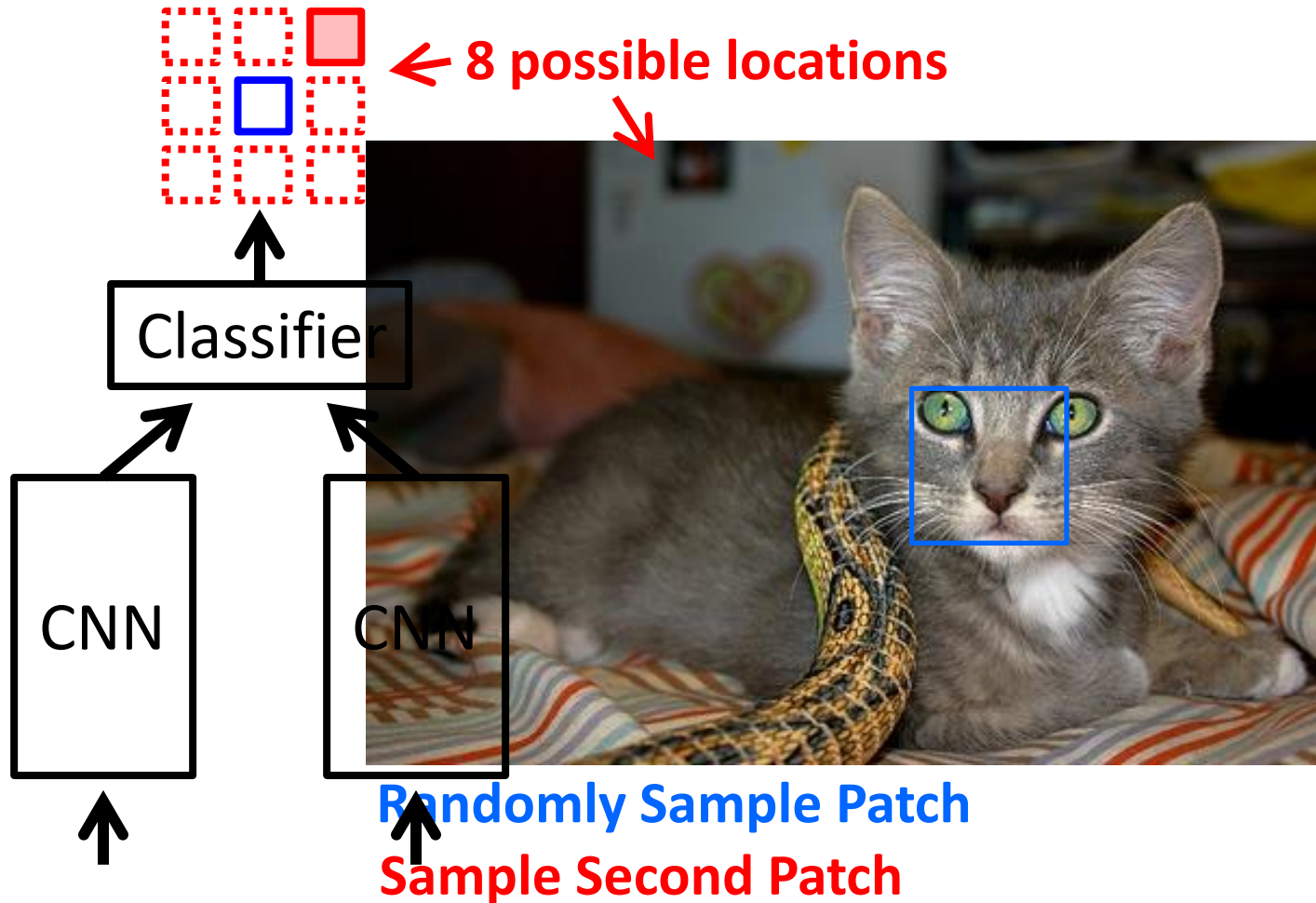
7

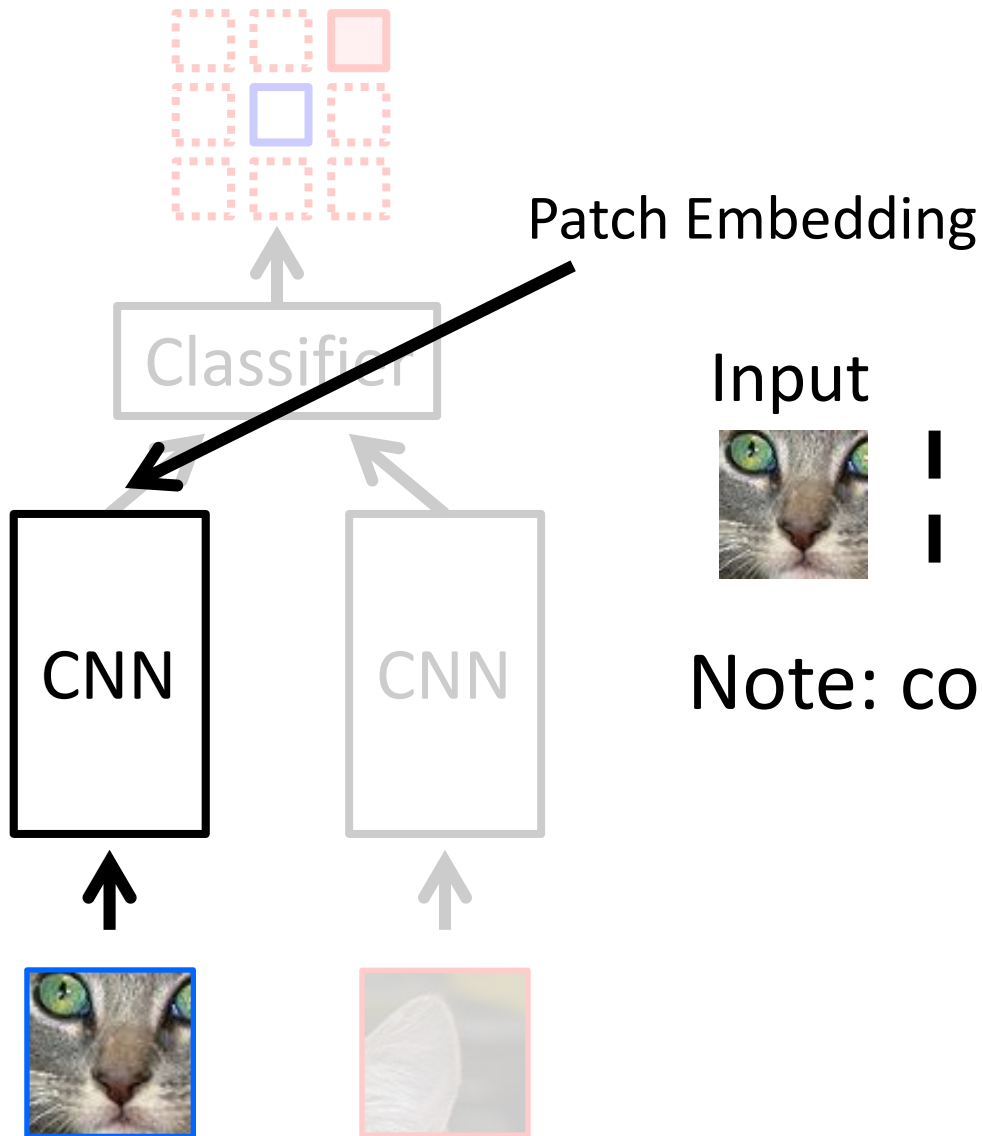
8

Semantics from a non-semantic task



Relative Position Task





Input



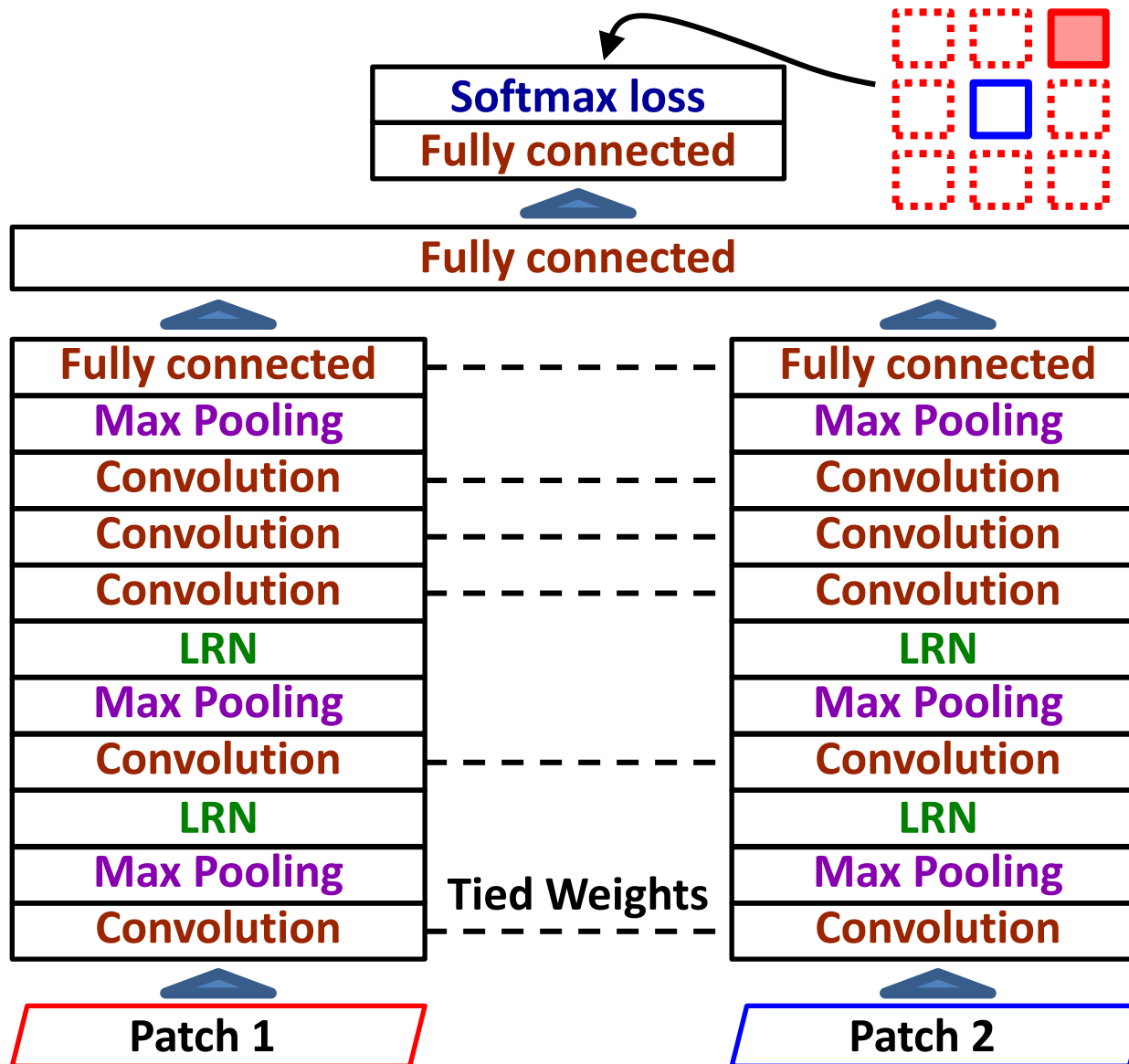
:

Nearest Neighbors

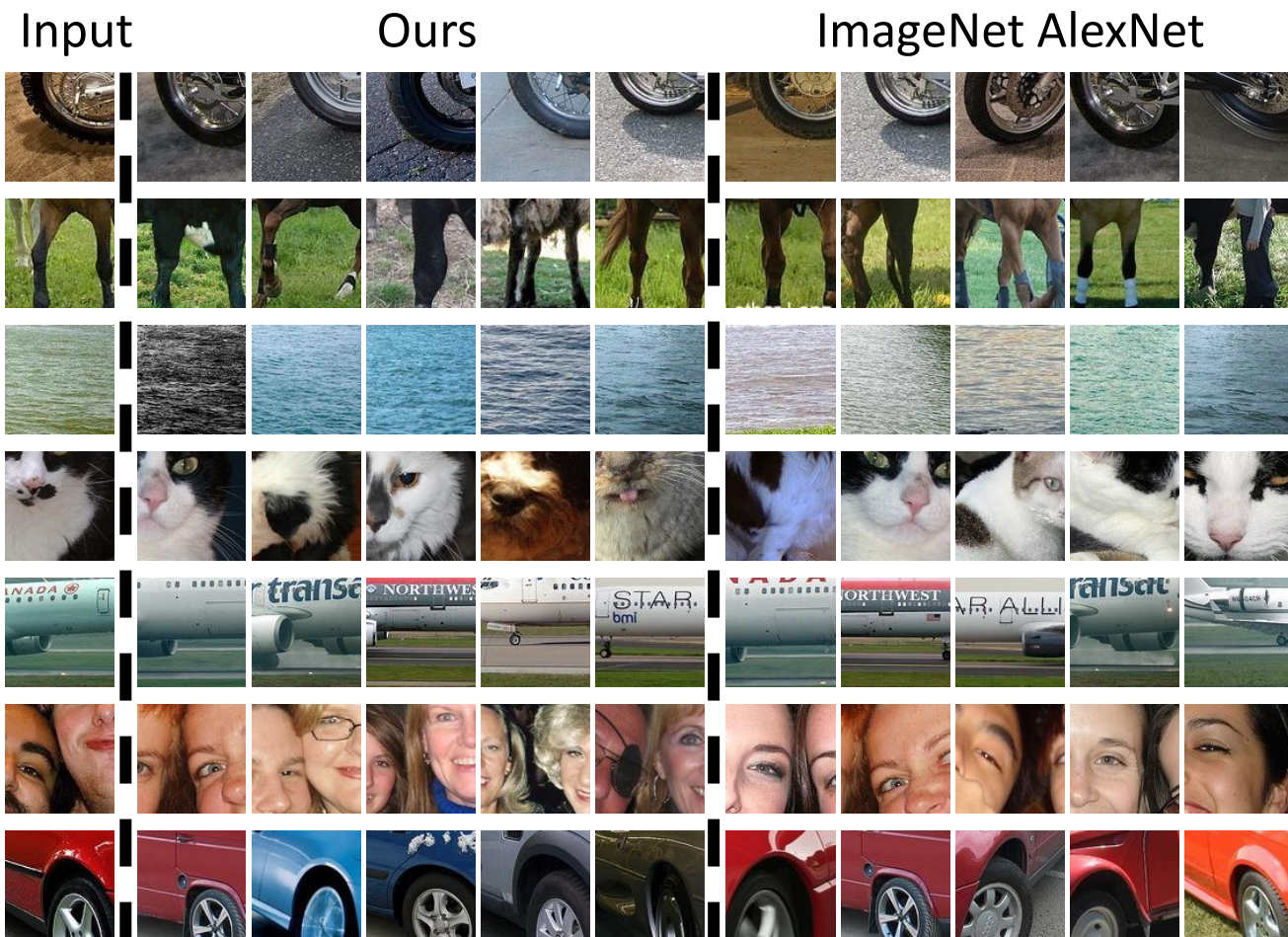


Note: connects ***across*** instances!

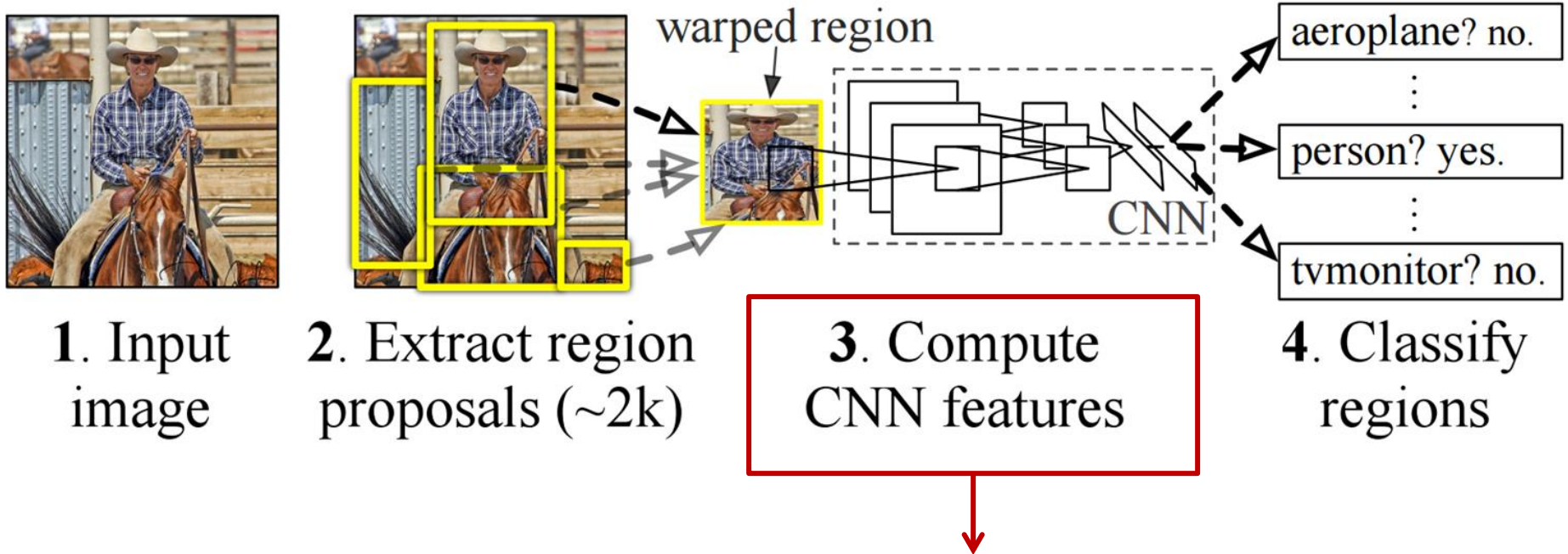
Architecture



What is learned?



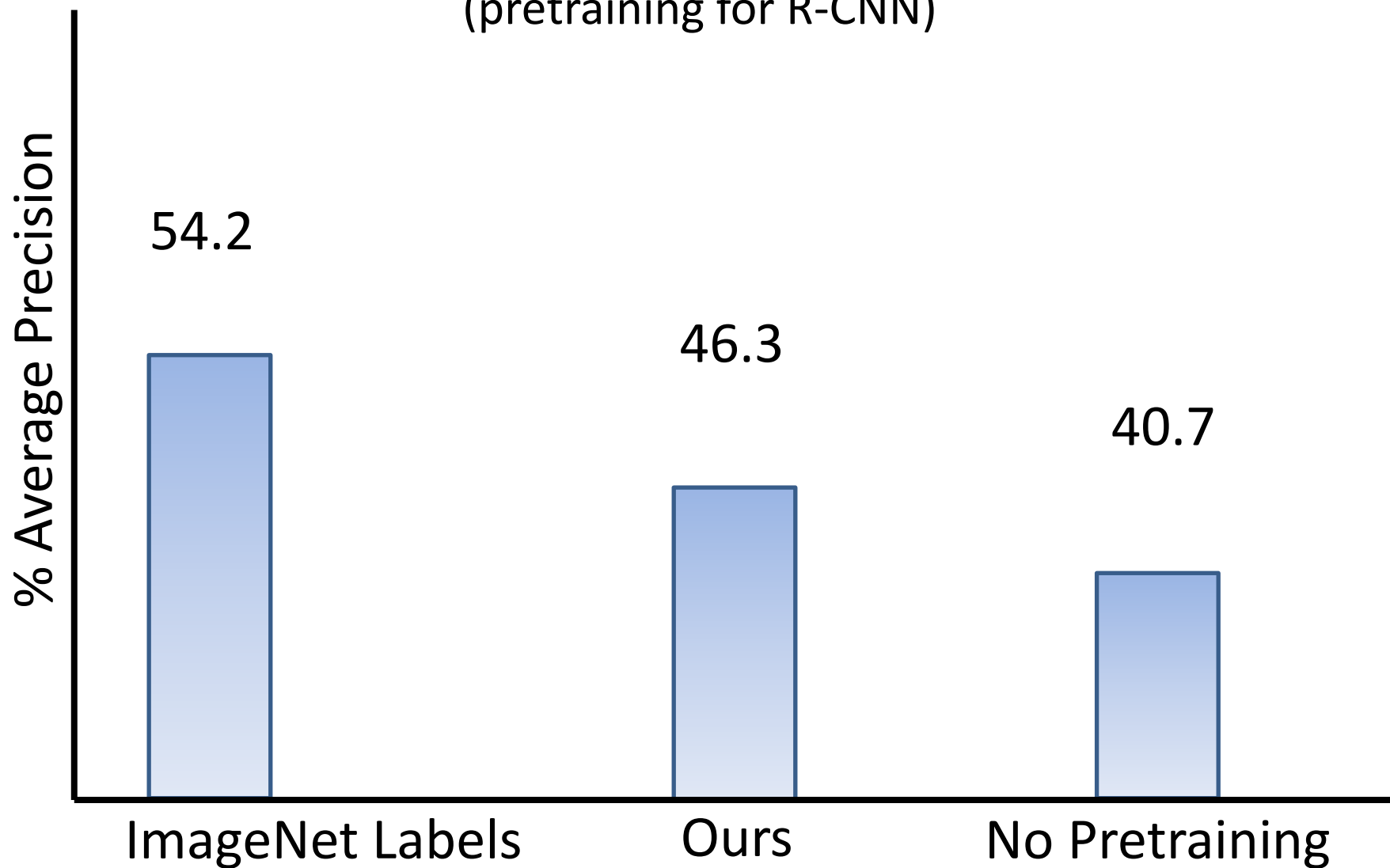
Pre-Training for R-CNN



Pre-train on relative-position task, w/o labels

VOC 2007 Performance

(pretraining for R-CNN)



- Test on dataset B
- Option 1: pretrain (unsup) on dataset B
- Option 2: pretrain (sup) on dataset A

Shuffle and Learn: Unsupervised Learning using Temporal Order Verification

Ishan Misra, C. Lawrence Zitnick, and Martial Hebert
ECCV 2016

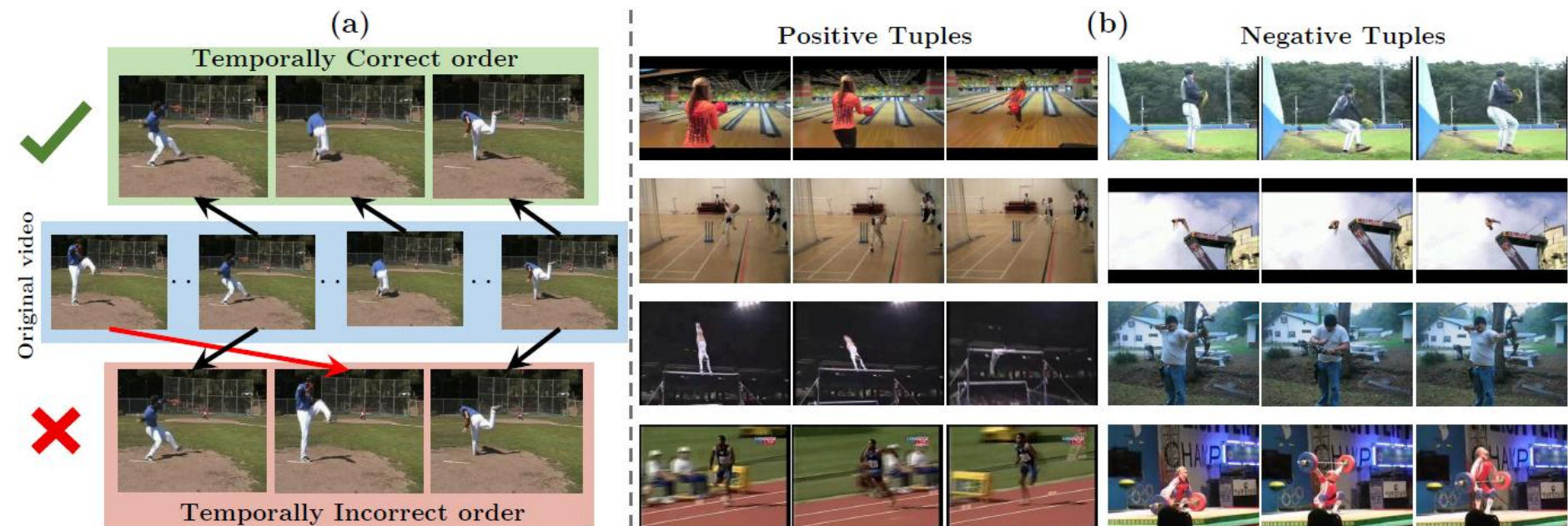


Fig. 1: (a) A video imposes a natural temporal structure for visual data. In many cases, one can easily verify whether frames are in the correct temporal order (shuffled or not). Such a simple sequential verification task captures important spatiotemporal signals in videos. We use this task for unsupervised pre-training of a Convolutional Neural Network (CNN). (b) Some examples of the automatically extracted positive and negative tuples used to formulate a classification task for a CNN.

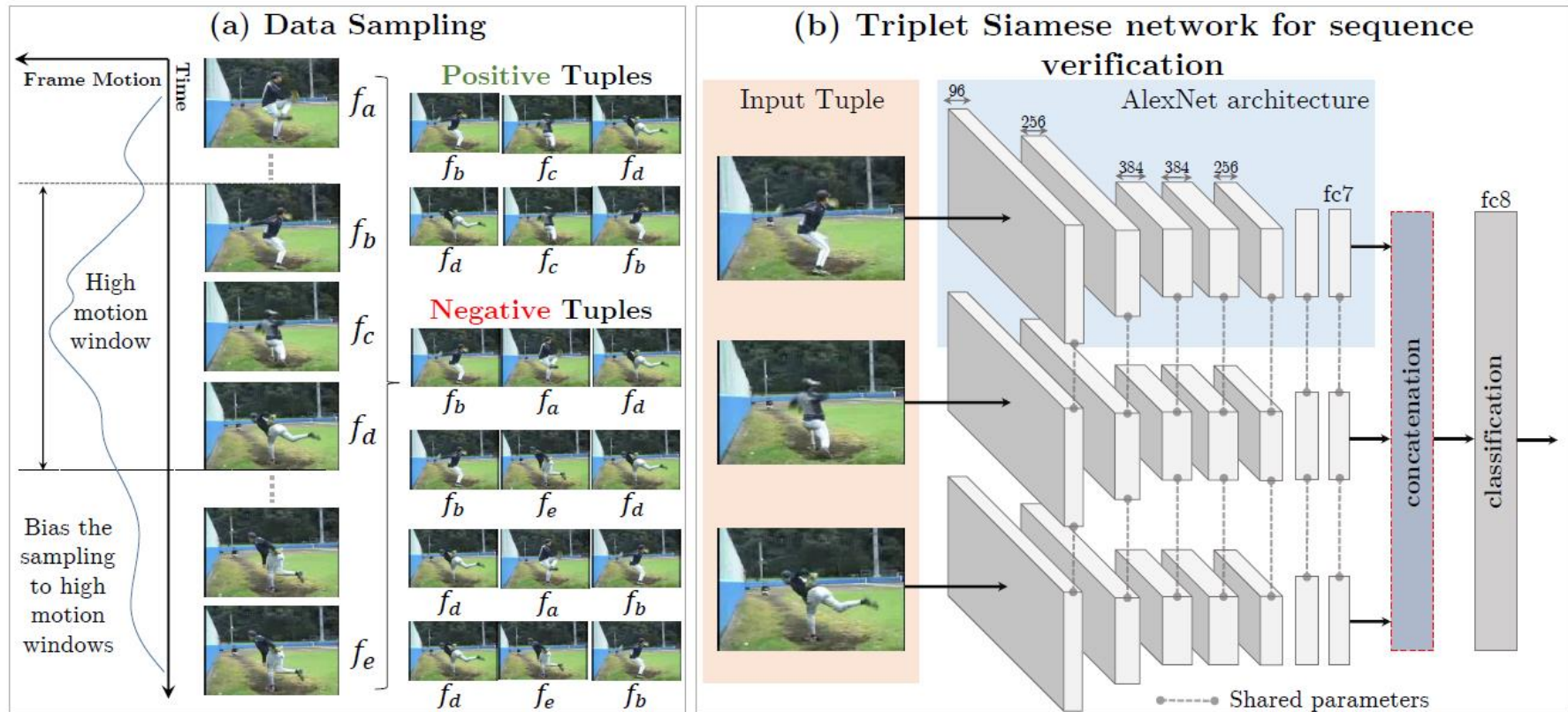


Fig. 2: **(a)** We sample tuples of frames from high motion windows in a video. We form positive and negative tuples based on whether the three input frames are in the correct temporal order. **(b)** Our triplet Siamese network architecture has three parallel network stacks with shared weights upto the **fc7** layer. Each stack takes a frame as input, and produces a representation at the **fc7** layer. The concatenated **fc7** representations are used to predict whether the input tuple is in the correct temporal order.

Table 2: Mean classification accuracies over the 3 splits of UCF101 and HMDB51 datasets. We compare different initializations and finetune them for action recognition.

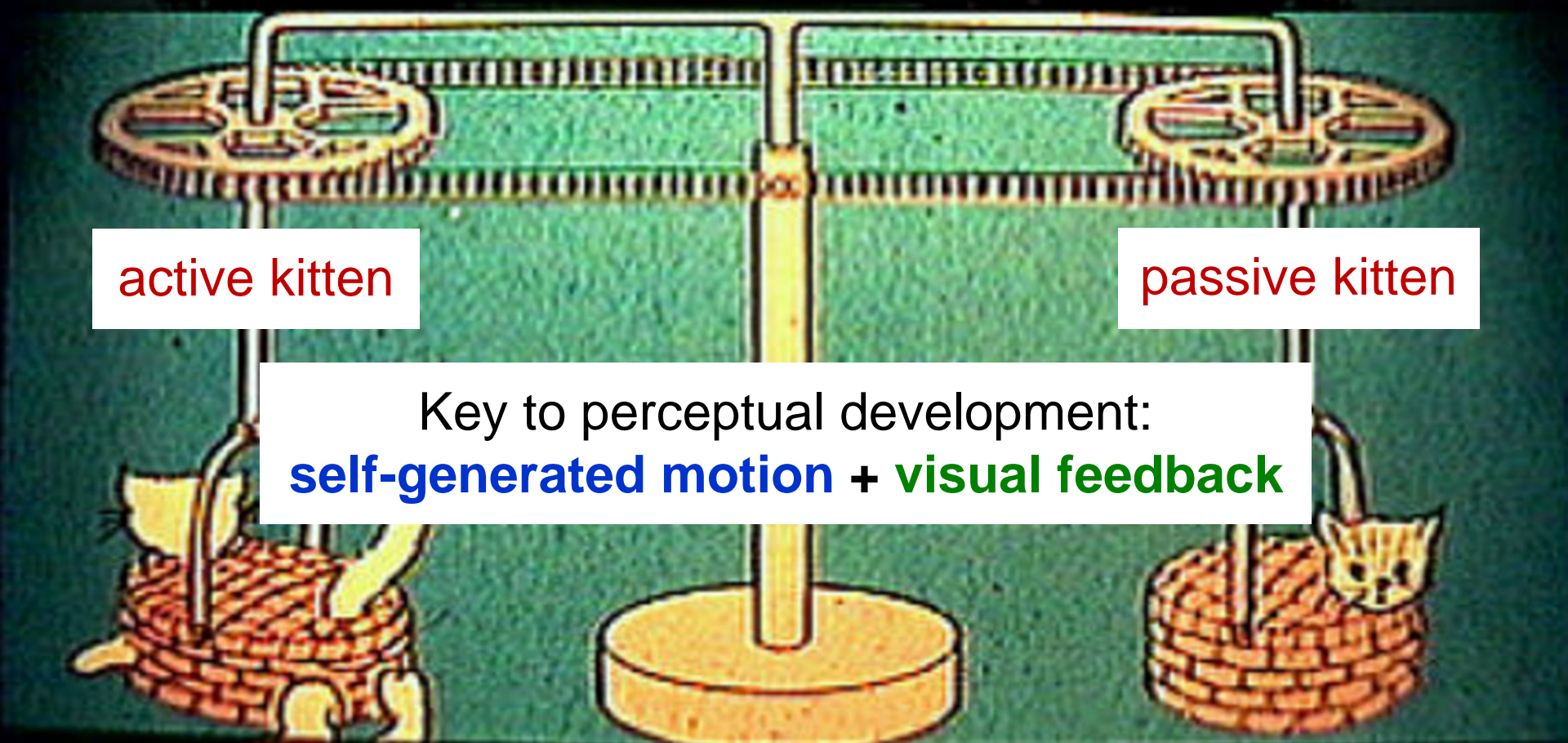
Dataset	Initialization	Mean Accuracy
UCF101	Random	38.6
	(Ours) Tuple verification	50.2
HMDB51	Random	13.3
	UCF Supervised	15.2
	(Ours) Tuple verification	18.1

Learning image representations tied to ego-motion

Dinesh Jayaraman and Kristen Grauman
ICCV 2015

The kitten carousel experiment

[Held & Hein, 1963]



active kitten

passive kitten

Key to perceptual development:
self-generated motion + **visual feedback**

Problem with today's visual learning

Status quo: Learn from “disembodied” bag of labeled snapshots.



Our goal: Learn in the context of **acting** and **moving** in the world.



Our idea: **Ego-motion** \leftrightarrow **vision**

Goal: Teach computer vision system the connection:
“**how I move**” \leftrightarrow “**how my visual surroundings change**”



Ego-motion motor signals

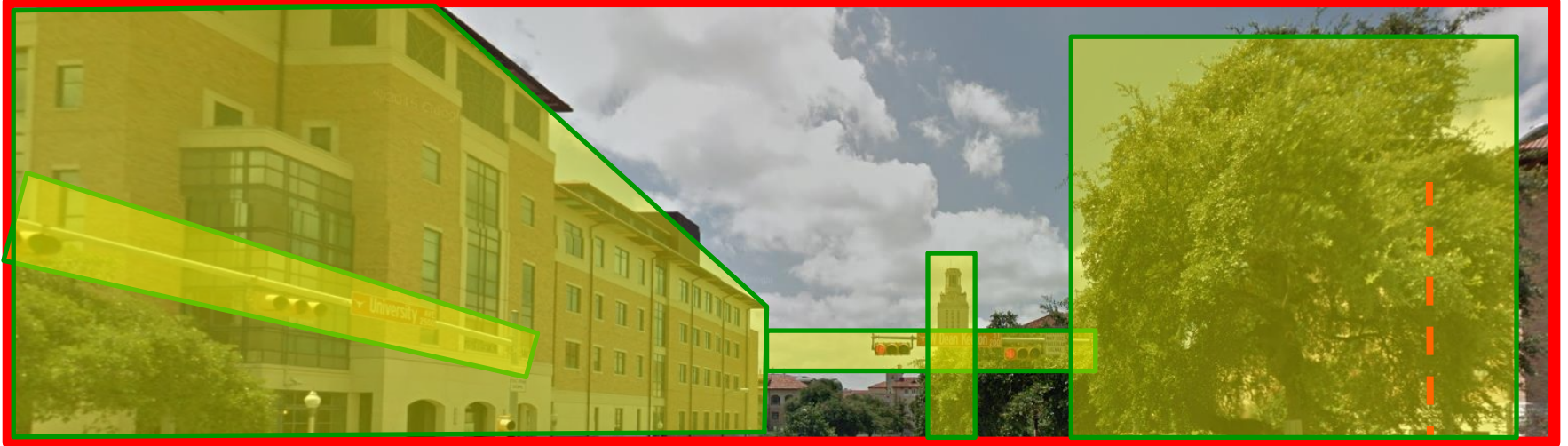


+



Unlabeled video

Ego-motion \leftrightarrow vision: view prediction



After moving:



Ego-motion \leftrightarrow vision for recognition

Learning this connection requires:

- Depth, 3D geometry
- Semantics
- Context



Also key to
recognition!

Can be learned without manual labels!

Our approach: unsupervised feature learning
using egocentric video + motor signals

Approach idea: Ego-motion equivariance

Invariant features: unresponsive to some classes of transformations

$$\mathbf{z}(g\mathbf{x}) \approx \mathbf{z}(\mathbf{x})$$

Equivariant features : *predictably* responsive to some classes of transformations, through simple mappings (e.g., linear)

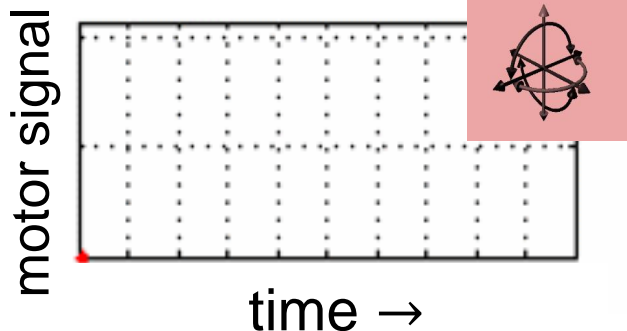
$$\mathbf{z}(g\mathbf{x}) \approx \overset{\text{“equivariance map”}}{\mathbf{M}_g} \mathbf{z}(\mathbf{x})$$

Invariance discards information;
equivariance organizes it.

Approach idea: Ego-motion equivariance

Training data

Unlabeled video +
motor signals



Learn

Equivariant embedding
organized by ego-motions

Pairs of frames related by
similar ego-motion should
be related by same
feature transformation

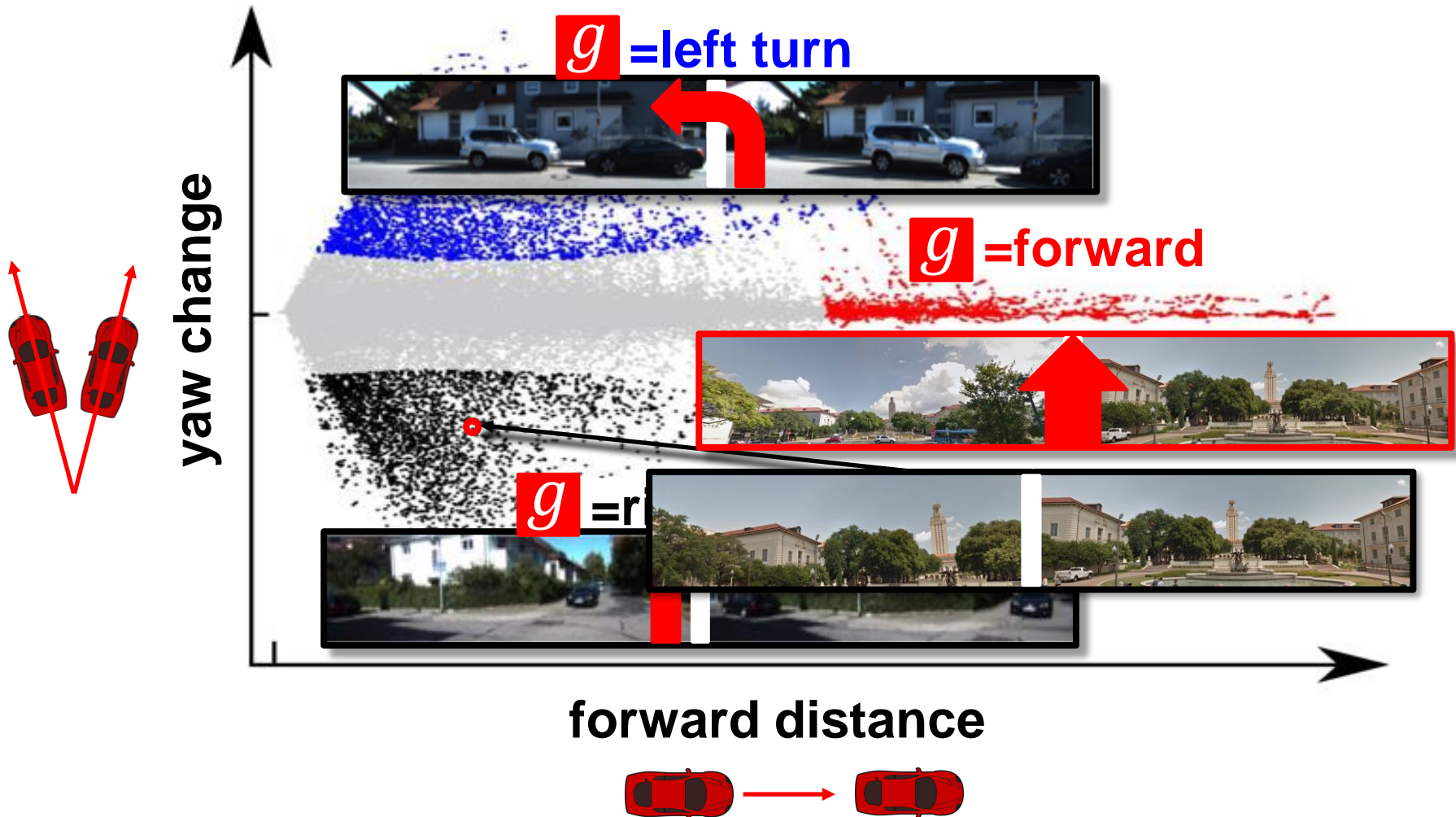
Approach overview

Our approach: unsupervised feature learning using egocentric video + motor signals

1. Extract training frame pairs from video
2. Learn ego-motion-equivariant image features
3. Train on target recognition task in parallel

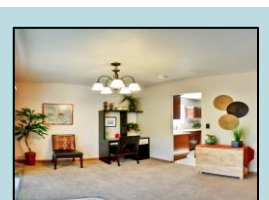
Training frame pair mining

Discovery of ego-motion clusters



Ego-motion equivariant feature learning

Given:

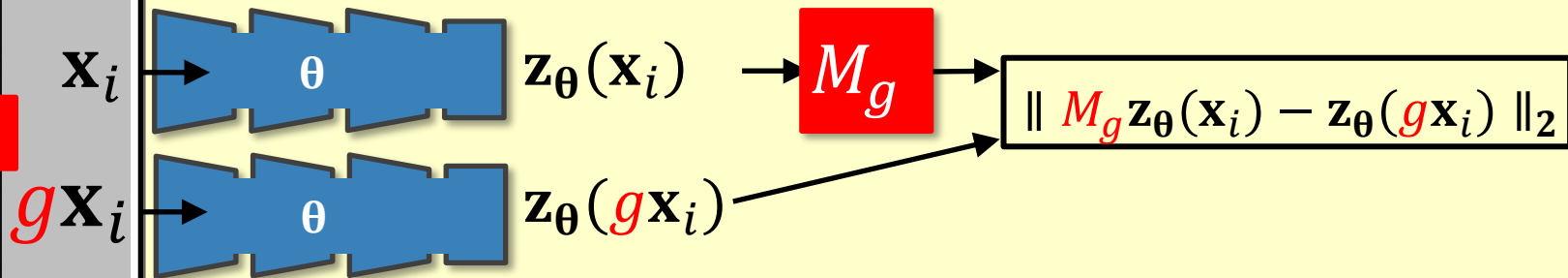


class y_k

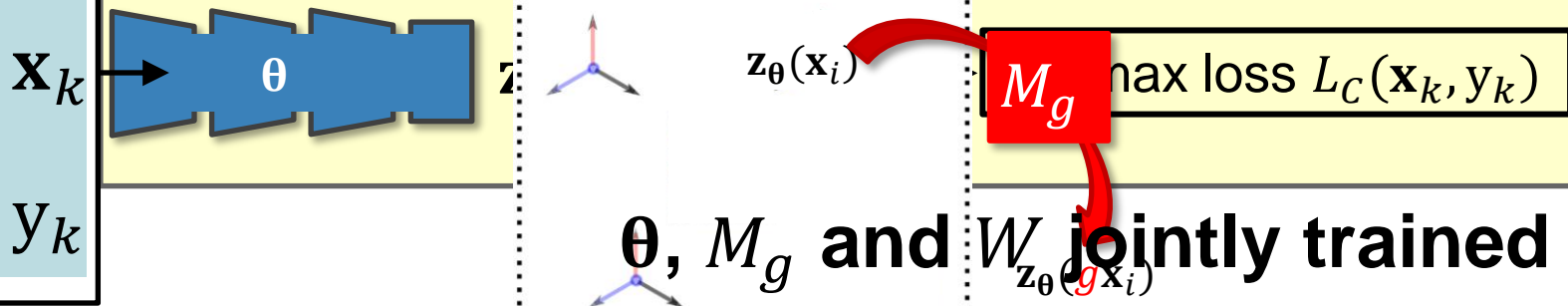
Desired: for all motions g and all images x ,

$$z_{\theta}(gx) \approx M_g z_{\theta}(x)$$

Unsupervised training

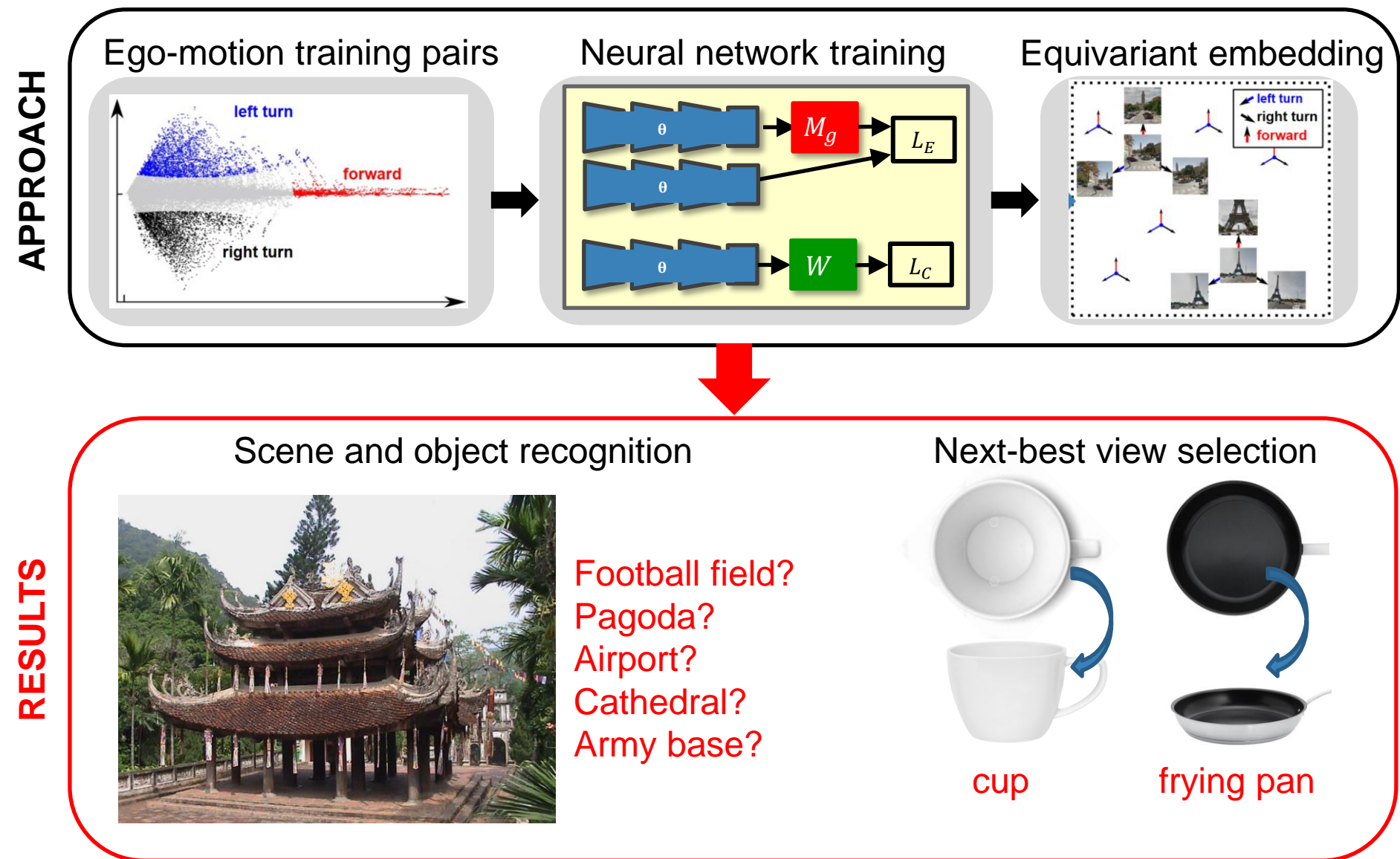


Supervised training



θ , M_g and W jointly trained

Summary



Results: Recognition

Learn from **unlabeled car video** (KITTI)



Geiger et al, IJRR '13

Exploit features for **static scene classification**
(SUN, 397 classes)



Apse

Window seat

Art school

Library

Auditorium

Bus interior

Cathedral

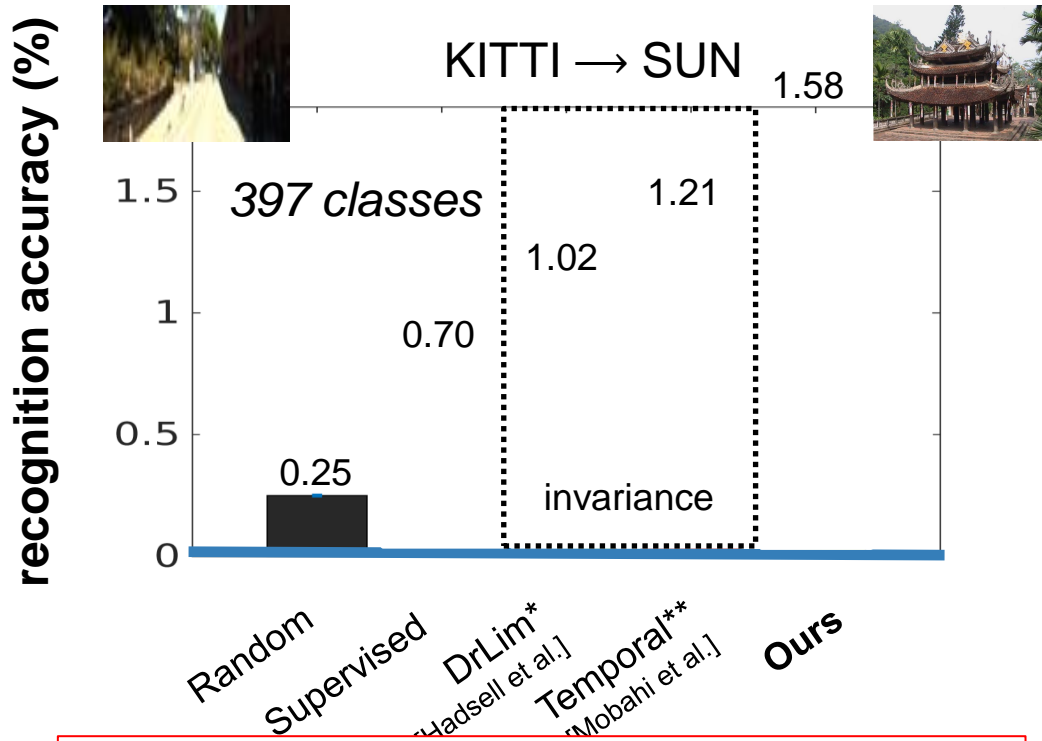
Freeway

Guardhouse

Xiao et al, CVPR '10

Results: Recognition

Do ego-motion equivariant features improve recognition?



6 labeled training examples per class

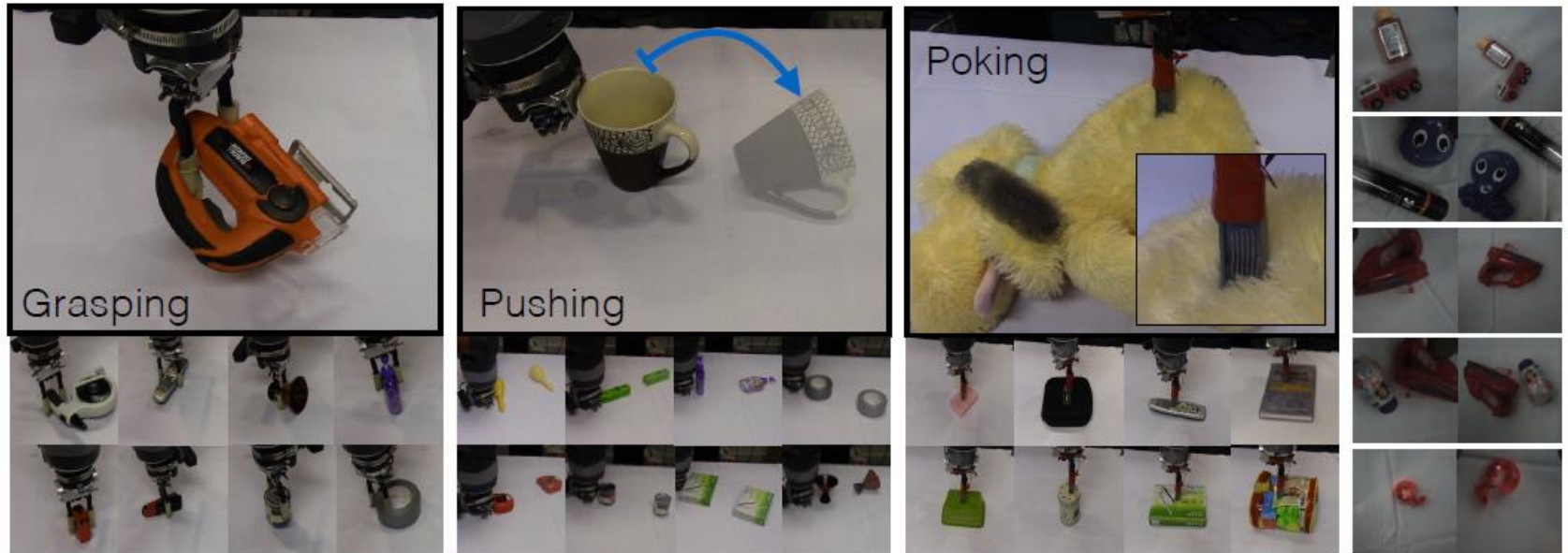
Up to 30% accuracy increase over state of the art!

The Curious Robot: Learning Visual Representations via Physical Interactions

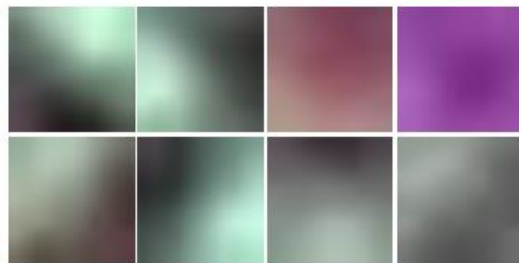
Lerrel Pinto, Dhiraj Gandhi, Yuanfeng Han,
Yong-Lae Park, and Abhinav Gupta

ECCV 2016

Embodied representations



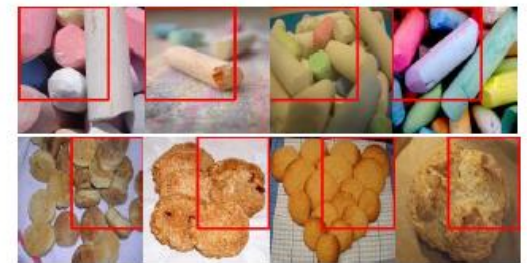
Physical Interaction Data



Conv Layer1 Filters



Conv3 Neuron Activations



Conv5 Neuron Activations

Learned Visual Representation

Grasping

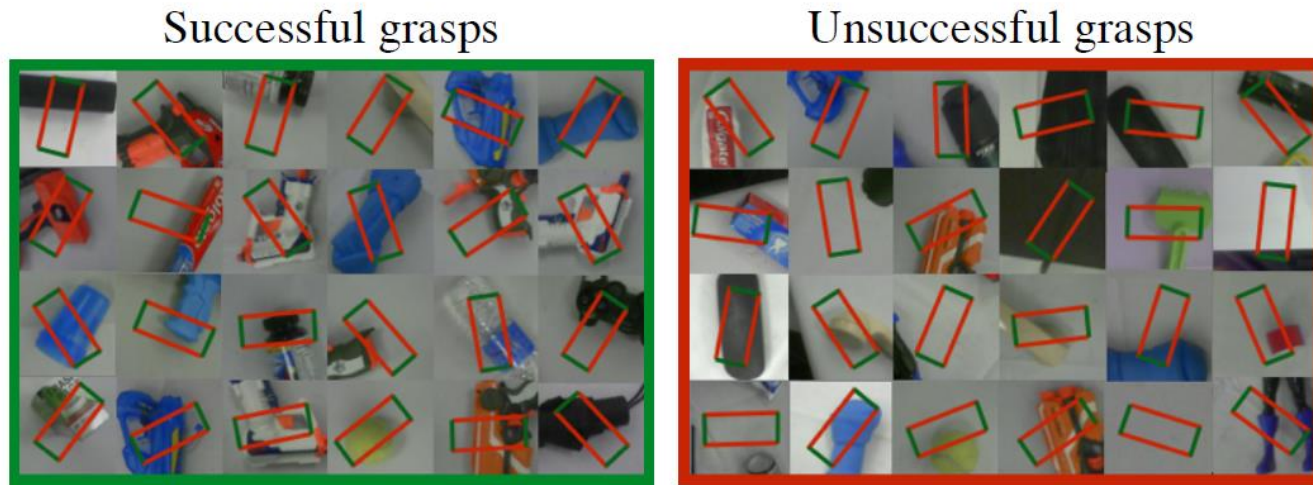


Fig. 2. Examples of successful (left) and unsuccessful grasps (right). We use a patch based representation: given an input patch we predict 18-dim vector which represents whether the center location of the patch is graspable at $0^\circ, 10^\circ, \dots, 170^\circ$.

Pushing

Objects and push action pairs

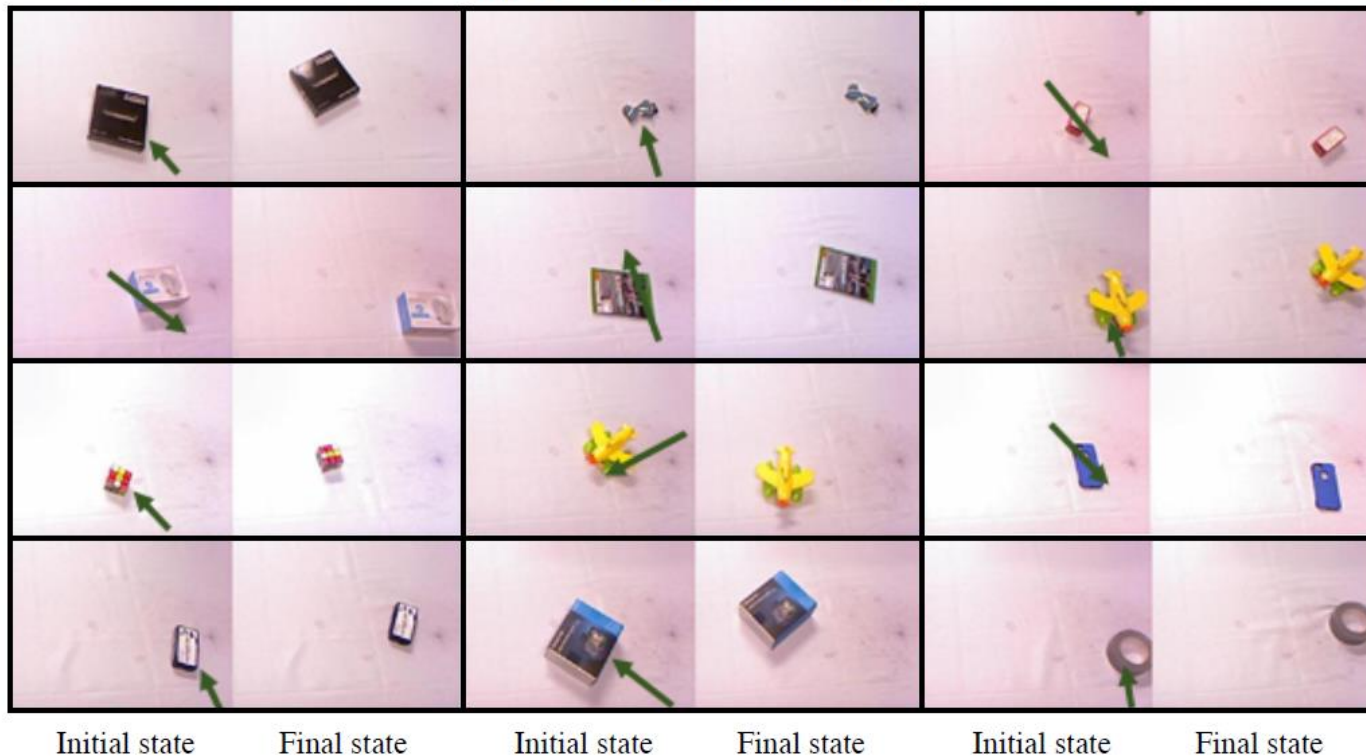


Fig. 4. Examples of initial state and final state images taken for the push action. The arrows demonstrate the direction and magnitude of the push action.

Poking

Objects and poke tactile response pairs



Fig. 6. Examples of the data collected by the poking action. On the left we show the object poked, and on the right we show force profiles as observed by the tactile sensor.

Pose/viewpoint invariance



Fig. 7. Examples of objects in different poses provided to the embedding network.

Representations from interactions

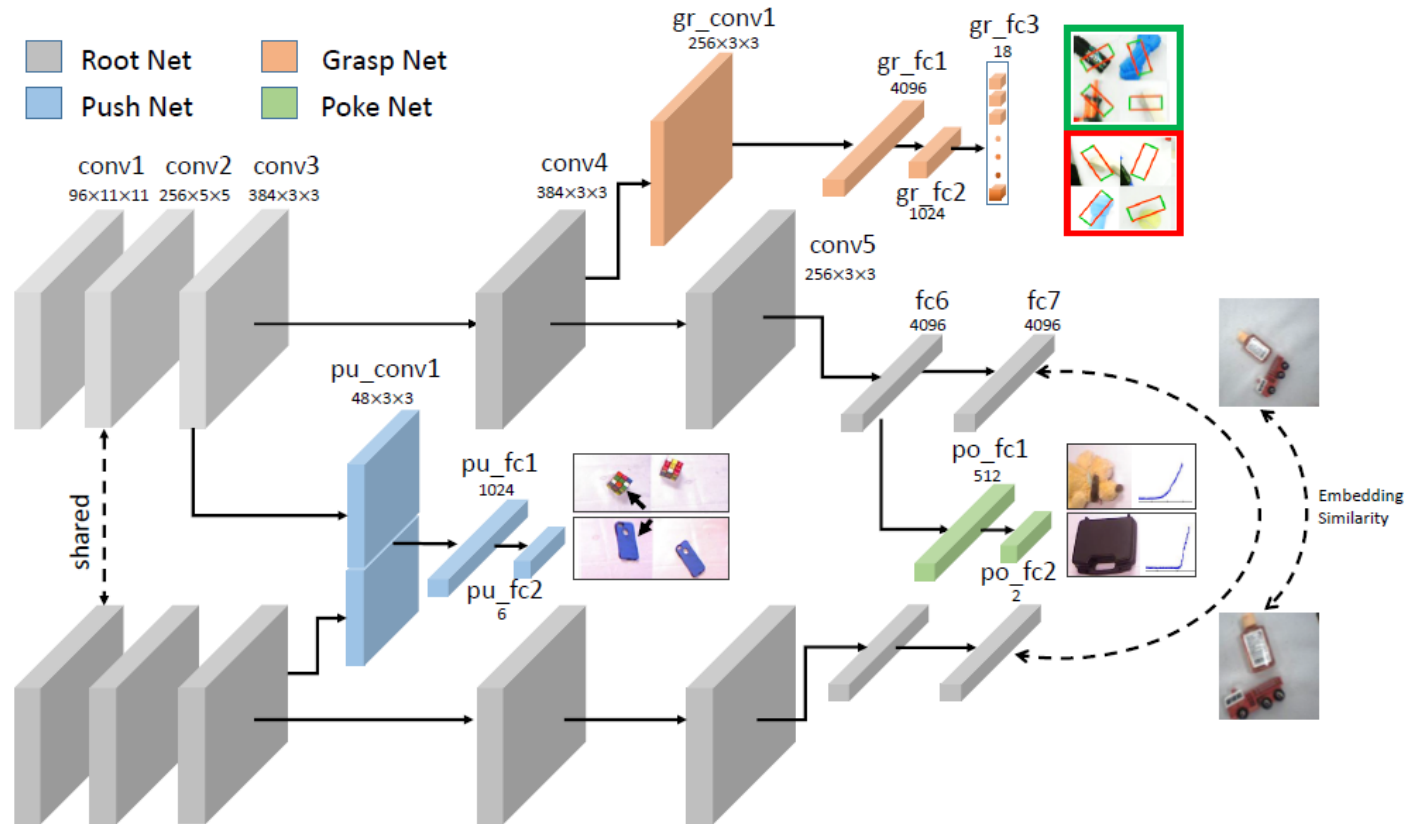


Fig. 8. Our shared convolutional architecture for four different tasks.

Classification/retrieval performance

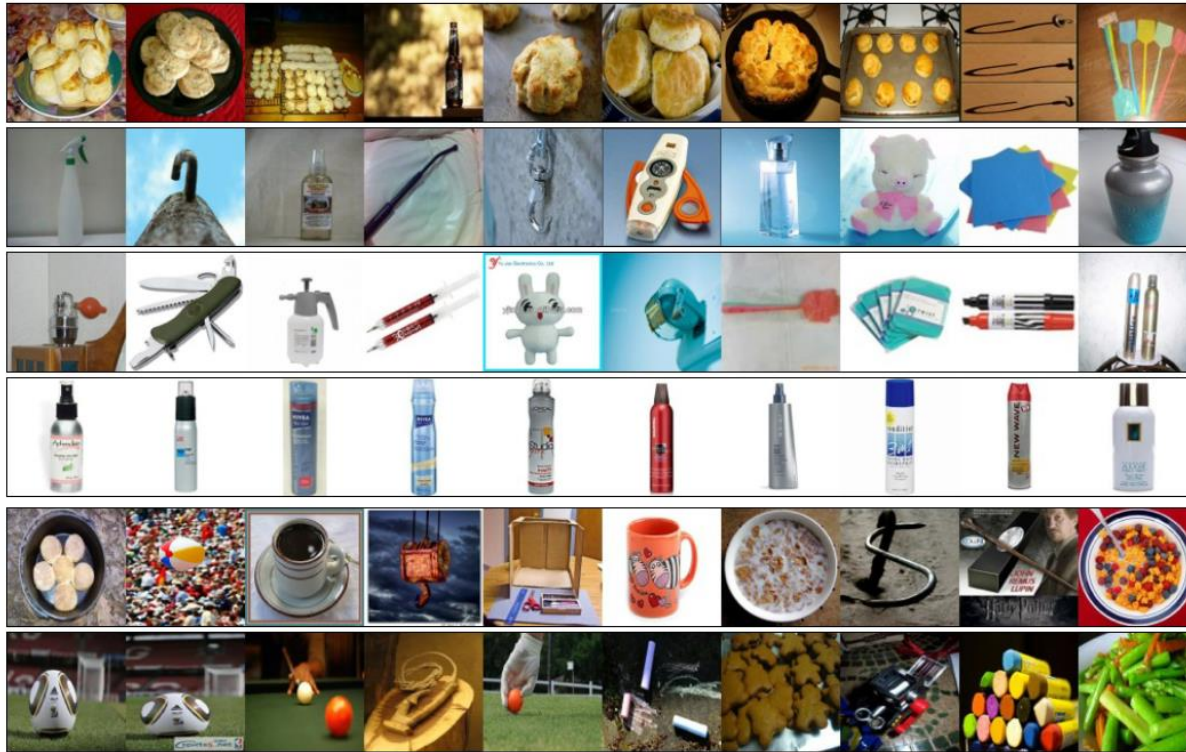


Fig. 10. The first column corresponds to query image and rest show the retrieval. Note how the network learns that cups and bowls are similar (row 5).

Classification/retrieval performance

Table 1. Classification accuracy on ImageNet Household, UW RGBD and Caltech-256

	Household	UW RGBD	Caltech-256
Root network with random init.	0.250	0.468	0.242
Root network trained on robot tasks (ours)	0.354	0.693	0.317
AlexNet trained on ImageNet	0.625	0.820	0.656

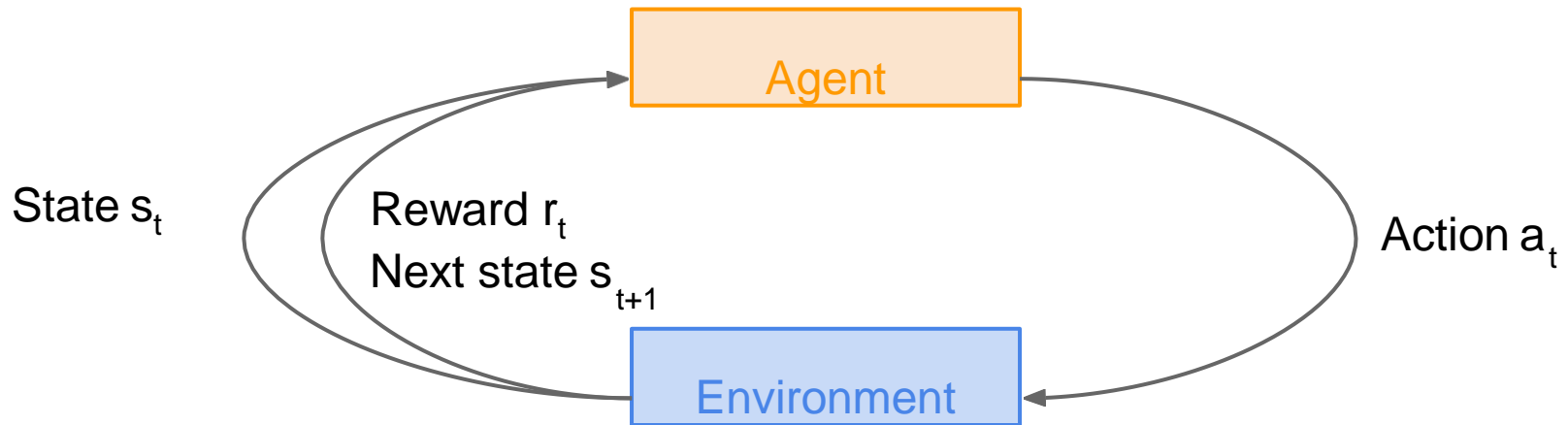
Table 2. Image Retrieval with Recall@k metric

	Instance level				Category level			
	k=1	k=5	k=10	k=20	k=1	k=5	k=10	k=20
Random Network	0.062	0.219	0.331	0.475	0.150	0.466	0.652	0.800
Our Network	0.720	0.831	0.875	0.909	0.833	0.918	0.946	0.966
AlexNet	0.686	0.857	0.903	0.941	0.854	0.953	0.969	0.982

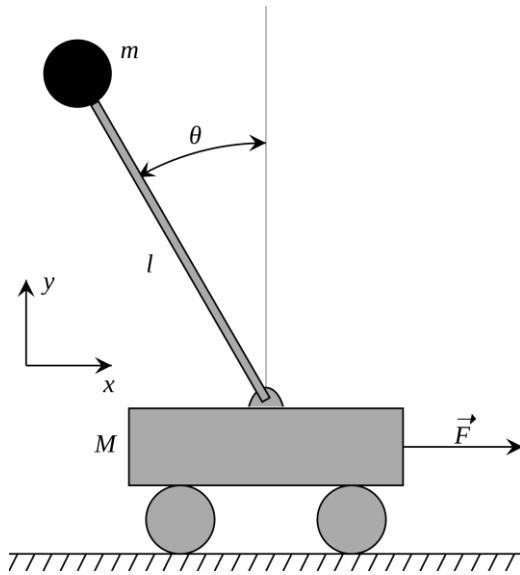
Part III: Reinforcement Learning

- Basics: actions, states, rewards, MDP
- Different techniques (Q learning, policy gradients, actor-critic, etc.)
- Example applications

Reinforcement Learning



Cart-Pole Problem



Objective: Balance a pole on top of a movable cart

State: angle, angular speed, position, horizontal velocity

Action: horizontal force applied on the cart

Reward: 1 at each time step if the pole is upright

Atari Games



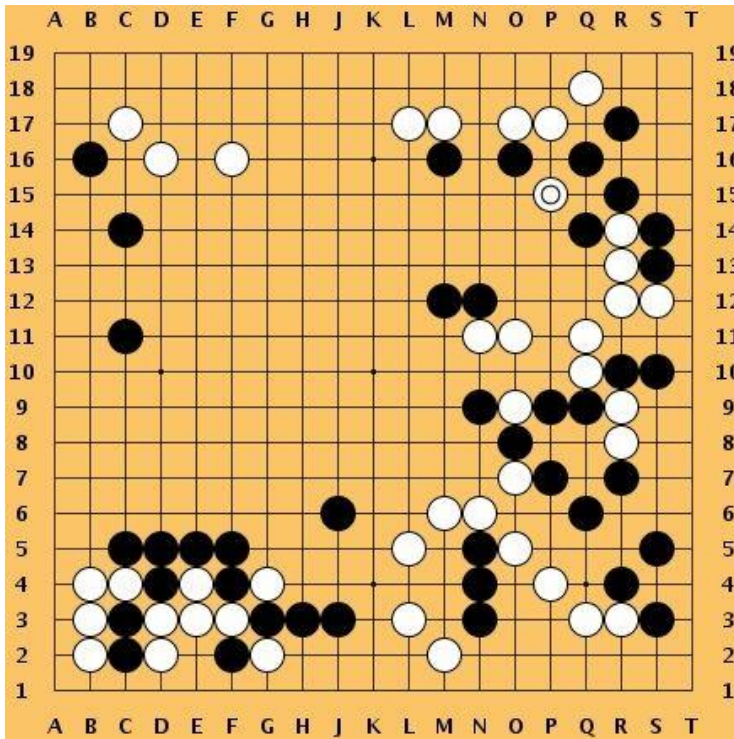
Objective: Complete the game with the highest score

State: Raw pixel inputs of the game state

Action: Game controls e.g. Left, Right, Up, Down

Reward: Score increase/decrease at each time step

Go



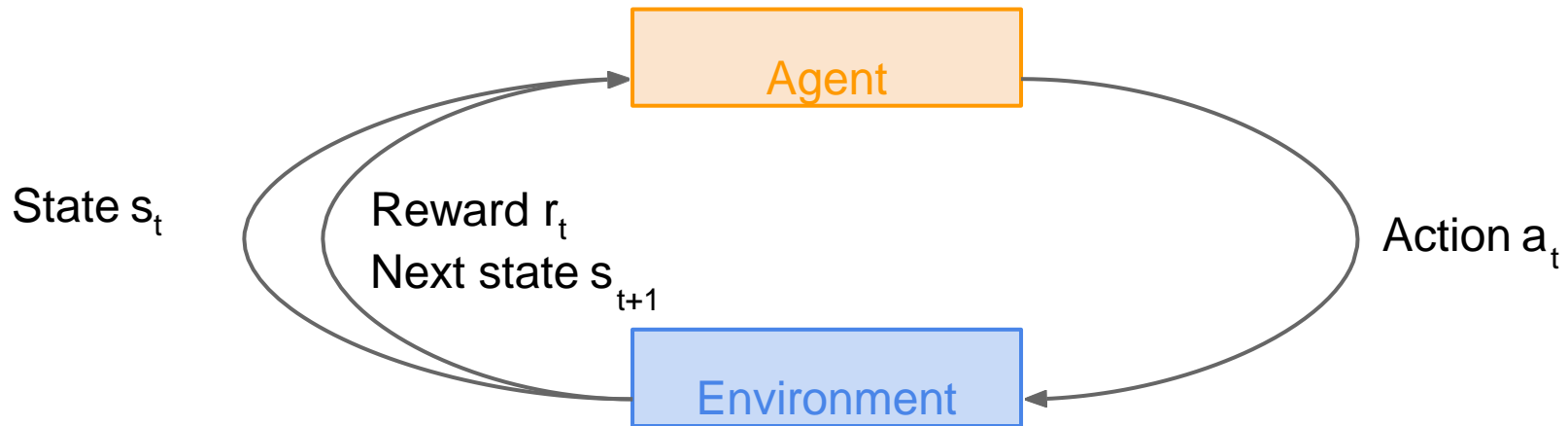
Objective: Win the game!

State: Position of all pieces

Action: Where to put the next piece down

Reward: 1 if win at the end of the game, 0 otherwise

How can we mathematically formalize the RL problem?



Markov Decision Process

- Mathematical formulation of the RL problem
- **Markov property**: Current state completely characterises the state of the world

Defined by: $(\mathcal{S}, \mathcal{A}, \mathcal{R}, \mathbb{P}, \gamma)$

\mathcal{S} : set of possible states

\mathcal{A} : set of possible actions

\mathcal{R} : distribution of reward given (state, action) pair

\mathbb{P} : transition probability i.e. distribution over next state given (state, action) pair

γ : discount factor

Markov Decision Process

- At time step $t=0$, environment samples initial state $s_0 \sim p(s_0)$
- Then, for $t=0$ until done:
 - Agent selects action a_t
 - Environment samples reward $r_t \sim R(\cdot | s_t, a_t)$
 - Environment samples next state $s_{t+1} \sim P(\cdot | s_t, a_t)$
 - Agent receives reward r_t and next state s_{t+1}
- A policy u is a function from S to A that specifies what action to take in each state
- **Objective:** find policy u^* that maximizes cumulative discounted reward: $\sum_{t \geq 0} \gamma^t r_t$

A simple MDP: Grid World

actions = {

1. right →

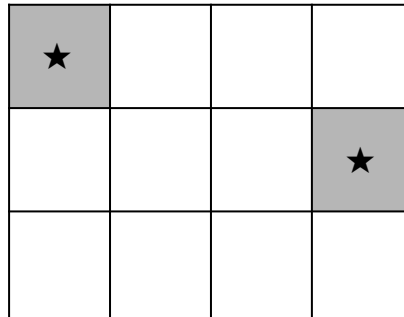
2. left ←

3. up ↑

4. down ↓

}

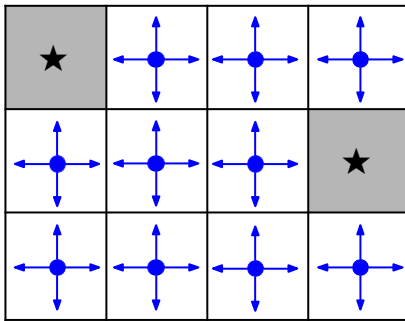
states



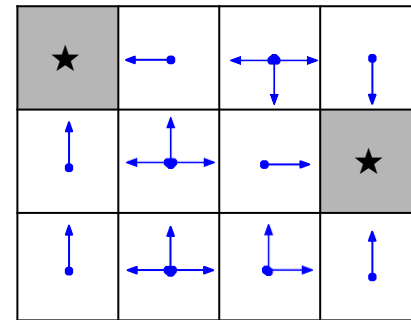
Set a negative “reward”
for each transition
(e.g. $r = -1$)

Objective: reach one of terminal states (greyed out) in
least number of actions

A simple MDP: Grid World



Random Policy



Optimal Policy

The optimal policy u^*

We want to find optimal policy u^* that maximizes the sum of rewards.

How do we handle the randomness (initial state, transition probability...)?

The optimal policy u^*

We want to find optimal policy u^* that maximizes the sum of rewards.

How do we handle the randomness (initial state, transition probability...)?

Maximize the **expected sum of rewards!**

Formally: $\pi^* = \arg \max_{\pi} \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t | \pi \right]$ with $s_0 \sim p(s_0), a_t \sim \pi(\cdot | s_t), s_{t+1} \sim p(\cdot | s_t, a_t)$

Definitions: Value function and Q-value function

Following a policy produces sample trajectories (or paths) $s_0, a_0, r_0, s_1, a_1, r_1, \dots$

How good is a state?

The **value function** at state s , is the expected cumulative reward from following the policy from state s :

$$V^\pi(s) = \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t | s_0 = s, \pi \right]$$

How good is a state-action pair?

The **Q-value function** at state s and action a , is the expected cumulative reward from taking action a in state s and then following the policy:

$$Q^\pi(s, a) = \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t | s_0 = s, a_0 = a, \pi \right]$$

Bellman equation

The optimal Q-value function Q^* is the maximum expected cumulative reward achievable from a given (state, action) pair:

$$Q^*(s, a) = \max_{\pi} \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \mid s_0 = s, a_0 = a, \pi \right]$$

Q^* satisfies the following **Bellman equation**:

$$Q^*(s, a) = \mathbb{E}_{s' \sim \mathcal{E}} \left[r + \gamma \max_{a'} Q^*(s', a') \mid s, a \right]$$

Intuition: if the optimal state-action values for the next time-step $Q^*(s', a')$ are known, then the optimal strategy is to take the action that maximizes the expected value of $r + \gamma Q^*(s', a')$

The optimal policy u^* corresponds to taking the best action in any state as specified by Q^*

Solving for the optimal policy: Q-learning

Q-learning: Use a function approximator to estimate the action-value function

$$Q(s, a; \theta) \approx Q^*(s, a)$$

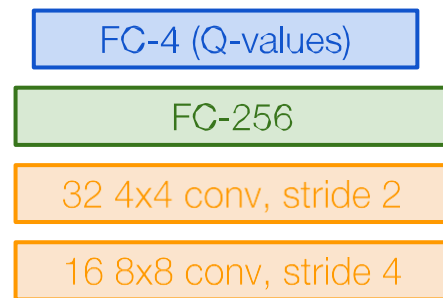
 function parameters (weights)

If the function approximator is a deep neural network => **deep q-learning!**

Q-network Architecture

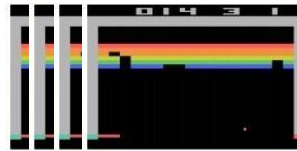
$Q(s, a; \theta)$:
neural network
with weights θ

A single feedforward pass
to compute Q-values for all
actions from the current
state => efficient!



Last FC layer has 4-d
output (if 4 actions),
corresponding to $Q(s_t, a_1)$, $Q(s_t, a_2)$, $Q(s_t, a_3)$,
 $Q(s_t, a_4)$

Number of actions between 4-18
depending on Atari game



Current state s_t : 84x84x4 stack of last 4 frames
(after RGB->grayscale conversion, downsampling, and cropping)

Putting it together: Deep Q-Learning with Experience Replay

Algorithm 1 Deep Q-learning with Experience Replay

Initialize replay memory \mathcal{D} to capacity N

Initialize action-value function Q with random weights

for episode = 1, M **do**

 Initialize sequence $s_1 = \{x_1\}$ and preprocessed sequenced $\phi_1 = \phi(s_1)$

for $t = 1, T$ **do**

 With probability ϵ select a random action a_t

 otherwise select $a_t = \max_a Q^*(\phi(s_t), a; \theta)$

 Execute action a_t in emulator and observe reward r_t and image x_{t+1}

 Set $s_{t+1} = s_t, a_t, x_{t+1}$ and preprocess $\phi_{t+1} = \phi(s_{t+1})$

 Store transition $(\phi_t, a_t, r_t, \phi_{t+1})$ in \mathcal{D}

 Sample random minibatch of transitions $(\phi_j, a_j, r_j, \phi_{j+1})$ from \mathcal{D}

 Set $y_j = \begin{cases} r_j & \text{for terminal } \phi_{j+1} \\ r_j + \gamma \max_{a'} Q(\phi_{j+1}, a'; \theta) & \text{for non-terminal } \phi_{j+1} \end{cases}$

 Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ according to equation 3

end for

end for

Putting it together: Deep Q-Learning with Experience Replay

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Initialize replay memory \mathcal{D} to capacity N

Initialize action-value function Q with random weights

← Initialize replay memory, Q-network

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for episode = 1, M **do**

← Play M episodes (full games)

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 Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ according to equation 3

end for

end for

← Initialize state
(starting game
screen pixels) at the
beginning of each
episode

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end for

end for



For each timestep t
of the game

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 Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ according to equation 3

end for

end for

← With small probability, select a random action (explore), otherwise select greedy action from current policy

Putting it together: Deep Q-Learning with Experience Replay

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end for

end for

Take the action (a_t),
and observe the
reward r_t and next
state s_{t+1}



Putting it together: Deep Q-Learning with Experience Replay

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 Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ according to equation 3

end for

end for

Store transition in
replay memory



Putting it together: Deep Q-Learning with Experience Replay

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 Perform a gradient descent step on $(y_j - Q(\phi_j, a_j; \theta))^2$ according to equation 3

end for

end for

← Experience Replay:
Sample a random
minibatch of transitions
from replay memory
and perform a gradient
descent step

Policy Gradients

What is a problem with Q-learning?

The Q-function can be very complicated!

Example: a robot grasping an object has a very high-dimensional state => hard to learn exact value of every (state, action) pair

But the policy can be much simpler: just close your hand

Can we learn a policy directly, e.g. finding the best policy from a collection of policies?

Policy Gradients

Formally, let's define a class of parameterized policies: $\Pi = \{\pi_\theta, \theta \in \mathbb{R}^m\}$

For each policy, define its value:

$$J(\theta) = \mathbb{E} \left[\sum_{t \geq 0} \gamma^t r_t \middle| \pi_\theta \right]$$

We want to find the optimal policy $\theta^* = \arg \max_{\theta} J(\theta)$

How can we do this?

Gradient ascent on policy parameters!

REINFORCE Algorithm (orig. Williams 1992)

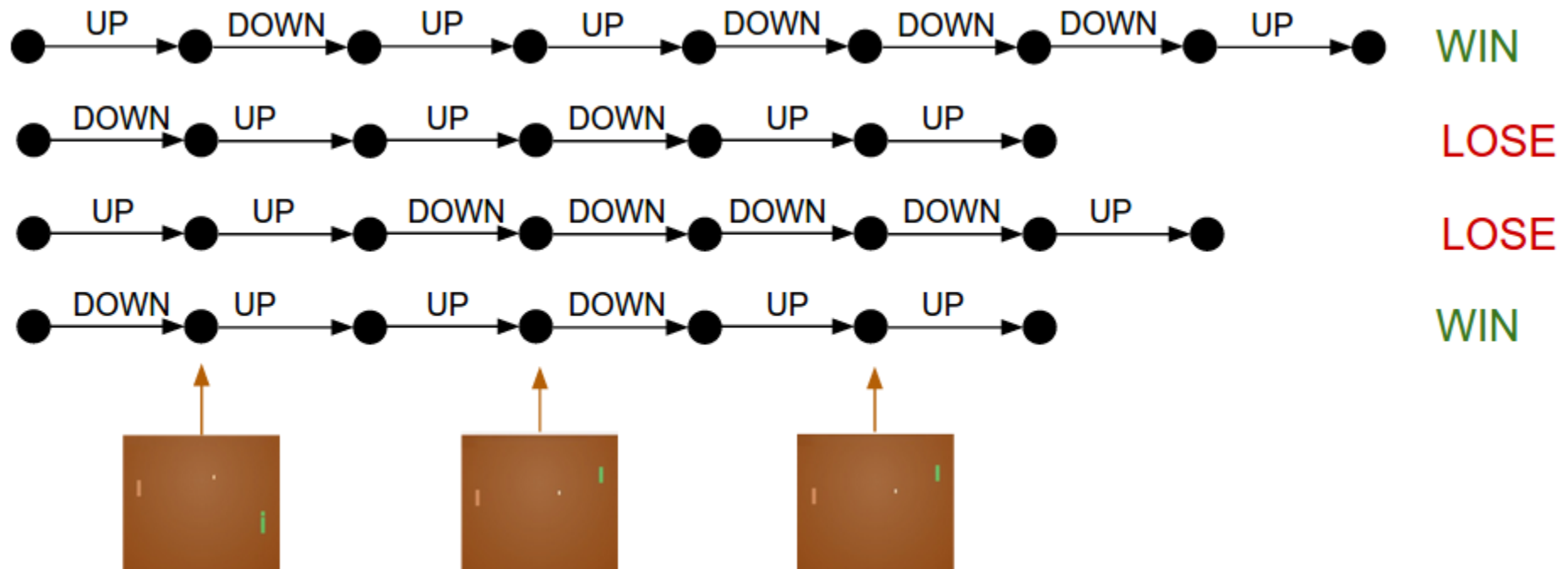
Gradient estimator: $\nabla_{\theta} J(\theta) \approx \sum_{t \geq 0} r(\tau) \nabla_{\theta} \log \pi_{\theta}(a_t | s_t)$

Interpretation:

- If $r(r)$ is high, push up the probabilities of the actions seen
- If $r(r)$ is low, push down the probabilities of the actions seen

Might seem simplistic to say that if a trajectory is good then all its actions were good. **But in expectation, it averages out!**

Policy Gradients



Policy Gradients

- Objective: $\sum_i A_i \log p(y_i | x_i)$
- x_i = state
- y_i = sampled action
- A_i = “advantage” e.g. +1/-1 for win/lose in simplest version, or discounted, or improvement over “baseline”

Policy Gradients vs Q-Learning

- Policy gradients suffers from high variance and instability; might want to make gradients smaller (e.g. relative to a baseline)
- Policy gradients can handle continuous action spaces (Gaussian policy)
- Estimating exact value of state-action pairs vs choosing what actions to take (value not important)
- Step-by-step (did I correctly estimate the reward at this time) vs delayed feedback (run policy and wait until game terminates)

Actor-Critic Algorithm

We can combine Policy Gradients and Q-learning by training both an **actor** (the policy) and a **critic** (the Q-function).

- The actor decides which action to take, and the critic tells the actor how good its action was and how it should adjust
- Also alleviates the task of the critic as it only has to learn the values of (state, action) pairs generated by the policy
- Can also incorporate Q-learning tricks e.g. experience replay
- **Remark:** we can define by the **advantage function** how much an action was better than expected

$$A^\pi(s, a) = Q^\pi(s, a) - V^\pi(s)$$

RL for object detection

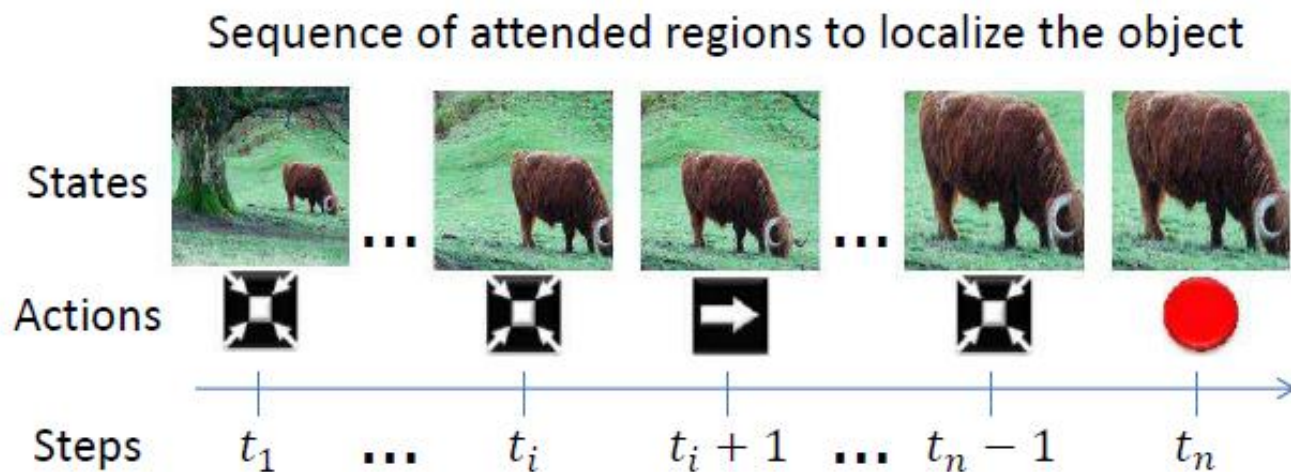


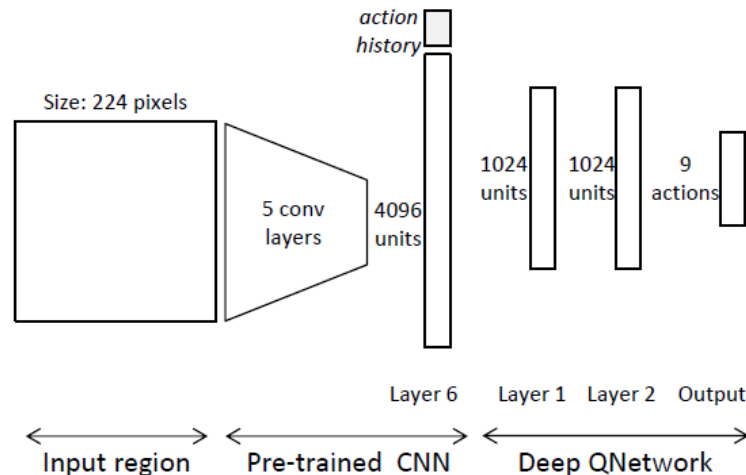
Figure 1. A sequence of actions taken by the proposed algorithm to localize a cow. The algorithm attends regions and decides how to transform the bounding box to progressively localize the object.

RL for object detection



Figure 2. Illustration of the actions in the proposed MDP, giving 4 degrees of freedom to the agent for transforming boxes.

$$R_a(s, s') = \text{sign}(IoU(b', g) - IoU(b, g)) \quad R_w(s, s') = \begin{cases} +\eta & \text{if } IoU(b, g) \geq \tau \\ -\eta & \text{otherwise} \end{cases}$$



RL for navigation

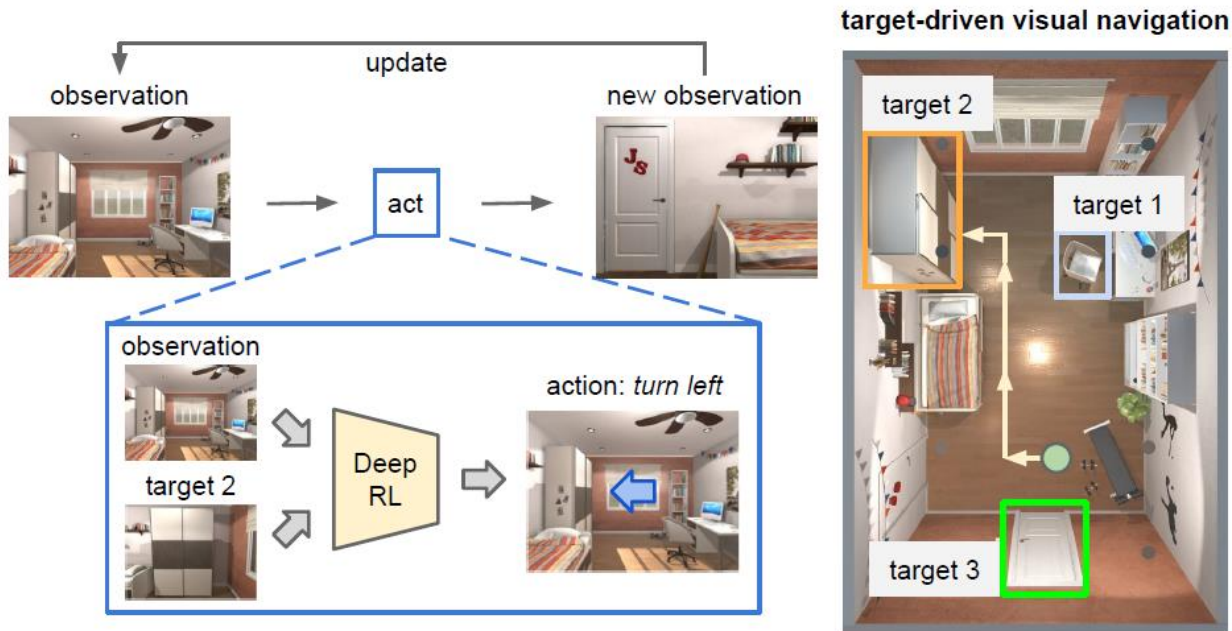


Fig. 1. The goal of our deep reinforcement learning model is to navigate towards a visual target with a minimum number of steps. Our model takes the current observation and the image of the target as input and generates an action in the 3D environment as the output. Our model learns to navigate to different targets in a scene without re-training.

RL for navigation



Figure 1: Our goal is to use scene priors to improve navigation in unseen scenes and towards novel objects. (a) There is no mug in the field of view of the agent, but the likely location for finding a mug is the cabinet near the coffee machine. (b) The agent has not seen a mango before, but it infers that the most likely location for finding a mango is the fridge since similar objects such as apple appear there as well. The most likely locations are shown with the orange box.

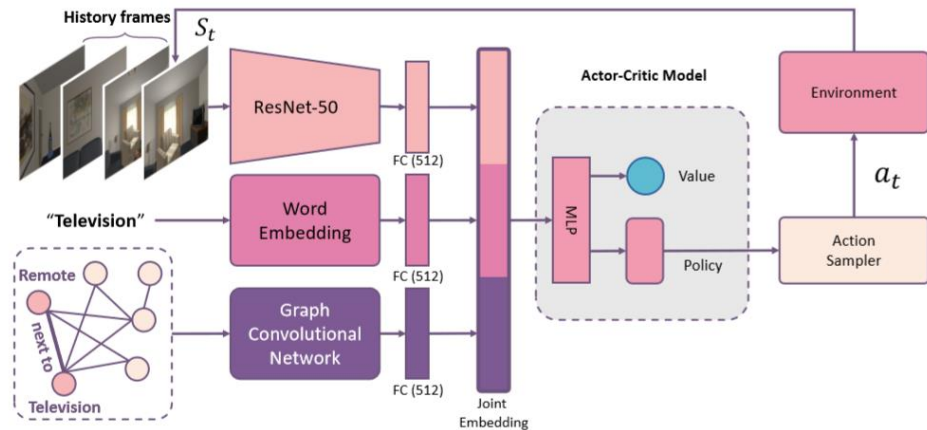


Figure 2: **Overview of the architecture.** Our model to incorporate semantic knowledge into semantic navigation. Specifically, we learn a policy network that decides an action based on the visual features of the current state, the semantic target category feature and the features extracted from the knowledge graph. We extract features from the parts of the knowledge graph that are activated.

RL for question-answering

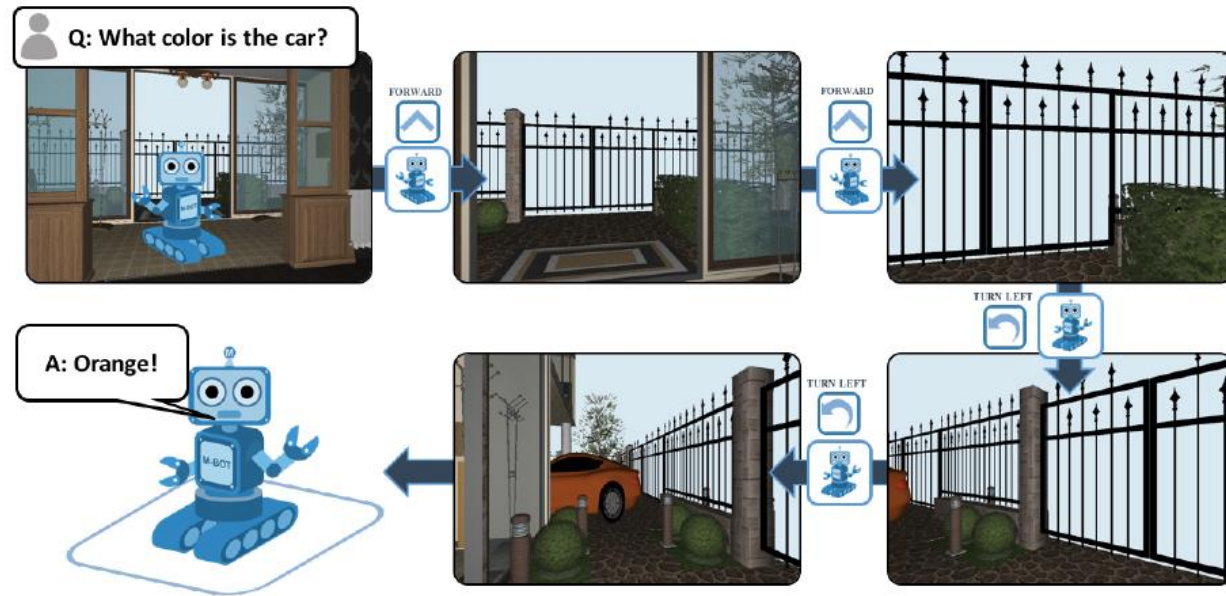


Figure 1: Embodied Question Answering – EmbodiedQA– tasks agents with navigating rich 3D environments in order to answer questions. These agents must jointly learn language understanding, visual reasoning, and goal-driven navigation to succeed.

RL for question-answering

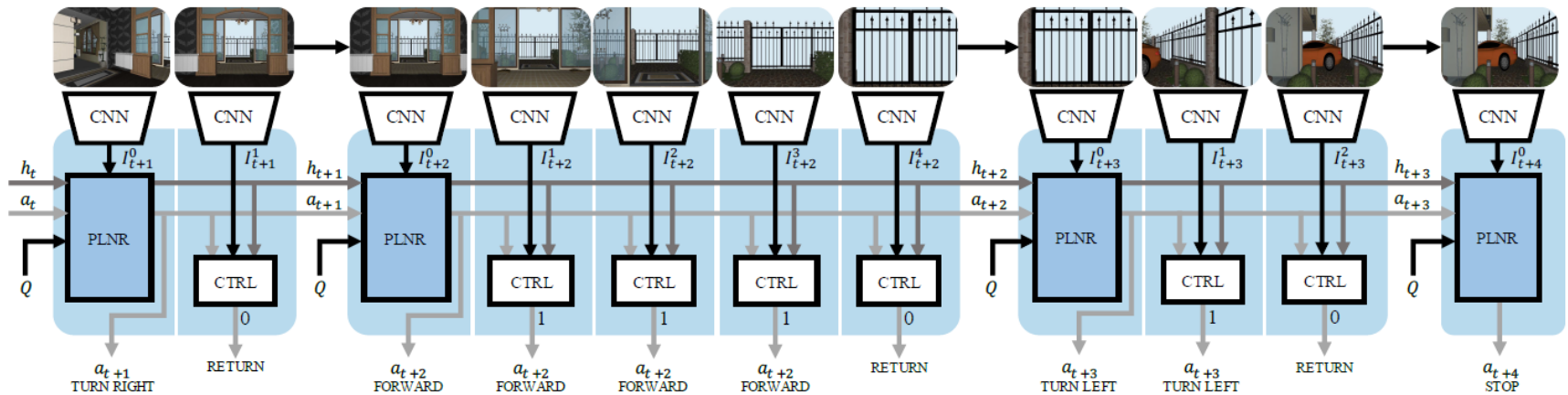
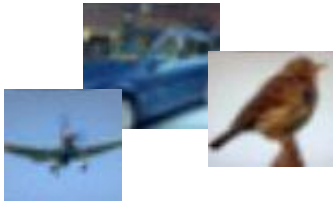


Figure 4: Our PACMAN navigator decomposes navigation into a planner and a controller. The planner selects actions and the controller executes these actions a variable number of times. This enables the planner to operate on shorter timescales, strengthening gradient flows.

Part IV: Generation

- Motivation and taxonomy of methods
- Variational Autoencoders (VAEs)
- Generative Adversarial Networks (GANs)
- Applications and variants of GANs
- Dealing with sparse data, progressive training

Generative Models



Training data $\sim p_{\text{data}}(x)$



Generated samples $\sim p_{\text{model}}(x)$

Want to learn $p_{\text{model}}(x)$ similar to $p_{\text{data}}(x)$

Generative Models



Training data $\sim p_{\text{data}}(x)$



Generated samples $\sim p_{\text{model}}(x)$

Want to learn $p_{\text{model}}(x)$ similar to $p_{\text{data}}(x)$

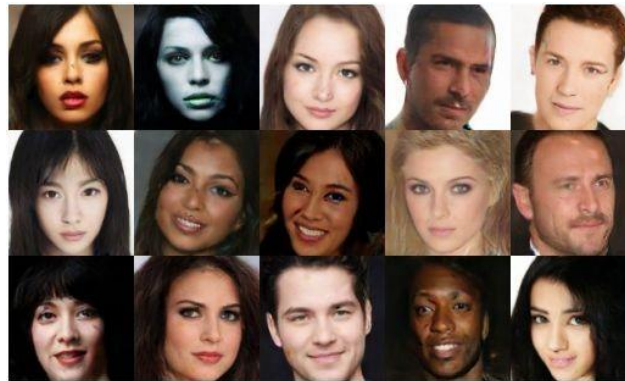
Addresses density estimation, a core problem in unsupervised learning

Several flavors:

- Explicit density estimation: explicitly define and solve for $p_{\text{model}}(x)$
- Implicit density estimation: learn model that can sample from $p_{\text{model}}(x)$ w/o explicitly defining it

Why Generative Models?

- Realistic samples for artwork, super-resolution, colorization, etc.



- Generative models can be used to enhance training datasets with diverse synthetic data
- Generative models of time-series data can be used for simulation

Taxonomy of Generative Models

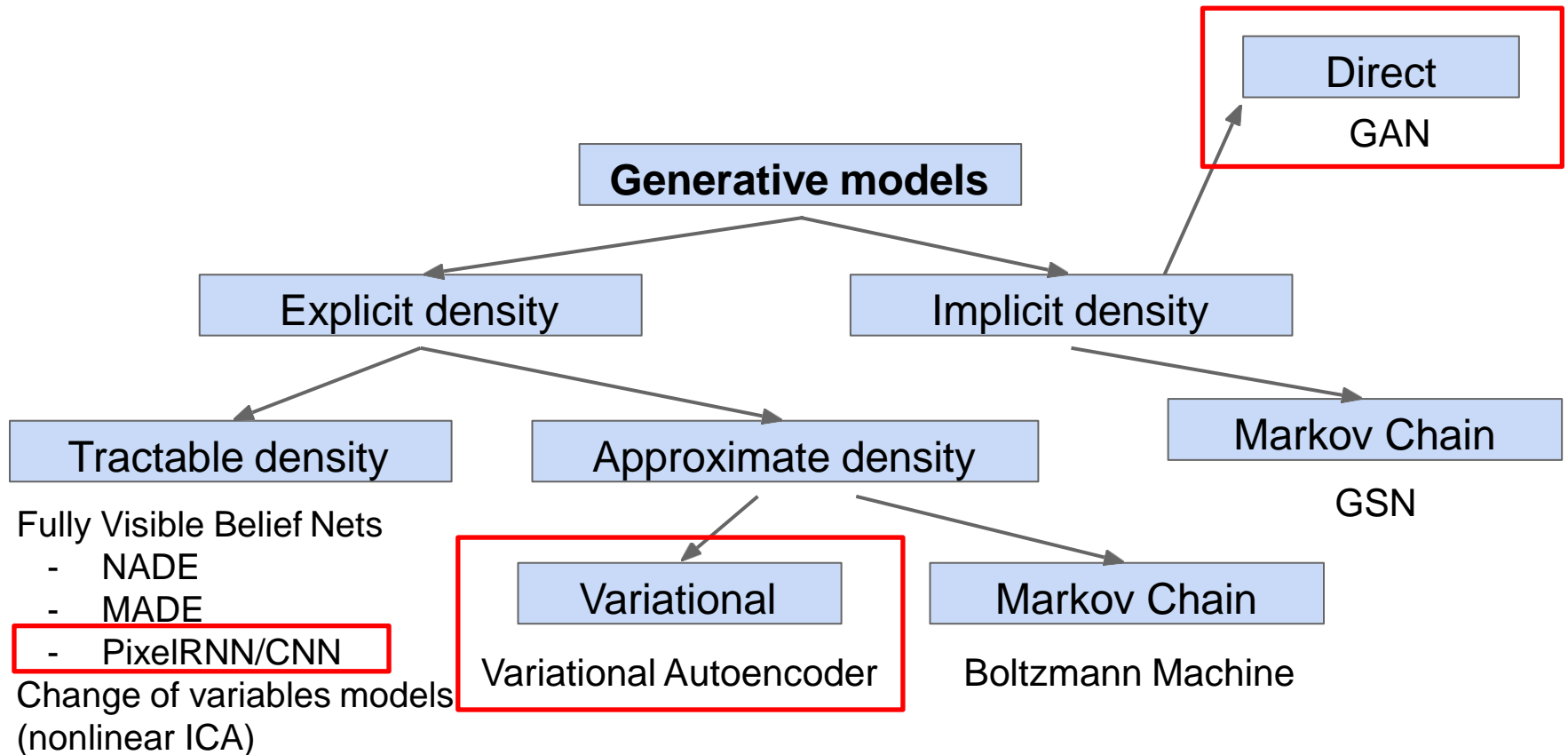


Figure copyright and adapted from Ian Goodfellow, Tutorial on Generative Adversarial Networks, 2017.

PixelRNN and PixelCNN

Fully visible belief network

Explicit density model

Use chain rule to decompose likelihood of an image x into product of 1-d distributions:

$$p(x) = \prod_{i=1}^n p(x_i | x_1, \dots, x_{i-1})$$

Likelihood of
image x

Probability of i'th pixel value
given all previous pixels

Will need to define ordering of “previous pixels”

Complex distribution over pixel values => Express using a neural network!

Then maximize likelihood of training data

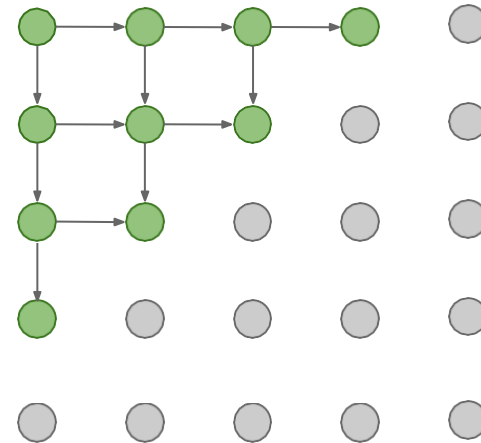
PixelRNN

[van der Oord et al. 2016]

Generate image pixels starting from corner

Dependency on previous pixels modeled using an RNN (LSTM)

Drawback: sequential generation is slow!



PixelCNN

[van der Oord et al. 2016]

Still generate image pixels starting from corner

Dependency on previous pixels now modeled using a CNN over context region

Training: maximize likelihood of training images

$$p(x) = \prod_{i=1}^n p(x_i | x_1, \dots, x_{i-1})$$

Training is faster than PixelRNN (can parallelize convolutions since context region values known from training images)

Generation must still proceed sequentially => still slow

Softmax loss at each pixel

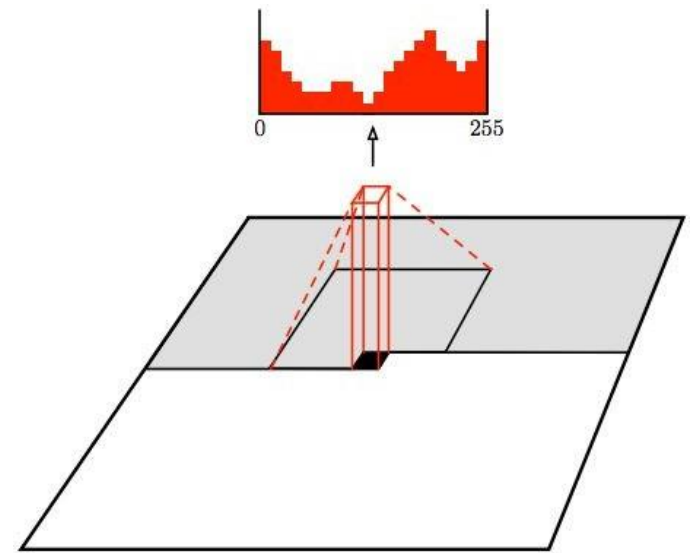


Figure copyright van der Oord et al., 2016. Reproduced with permission.

Variational Autoencoders (VAEs)

So far...

PixelCNNs define tractable density function, optimize likelihood of training data:

$$p_{\theta}(x) = \prod_{i=1}^n p_{\theta}(x_i | x_1, \dots, x_{i-1})$$

VAEs define intractable density function with latent \mathbf{z} :

$$p_{\theta}(x) = \int p_{\theta}(z) p_{\theta}(x|z) dz$$

Cannot optimize directly, derive and optimize lower bound on likelihood instead

Some background: Autoencoders

Unsupervised approach for learning a lower-dimensional feature representation from unlabeled training data

\mathbf{z} usually smaller than \mathbf{x}
(dimensionality reduction)

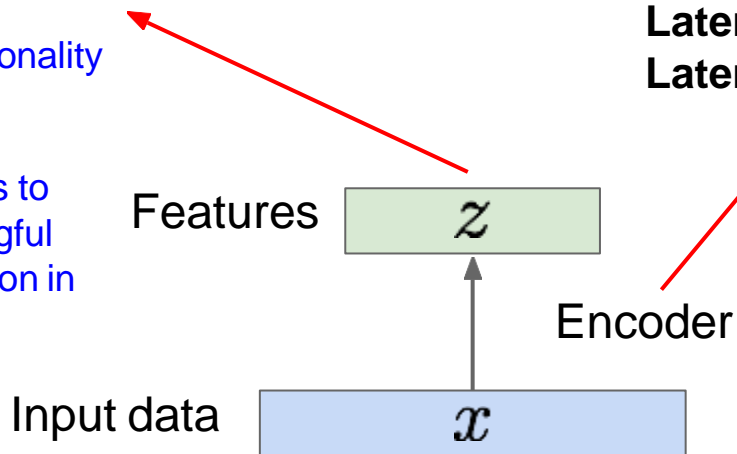
Q: Why dimensionality reduction?

A: Want features to capture meaningful factors of variation in data

Originally: Linear + nonlinearity (sigmoid)

Later: Deep, fully-connected

Later: ReLU CNN

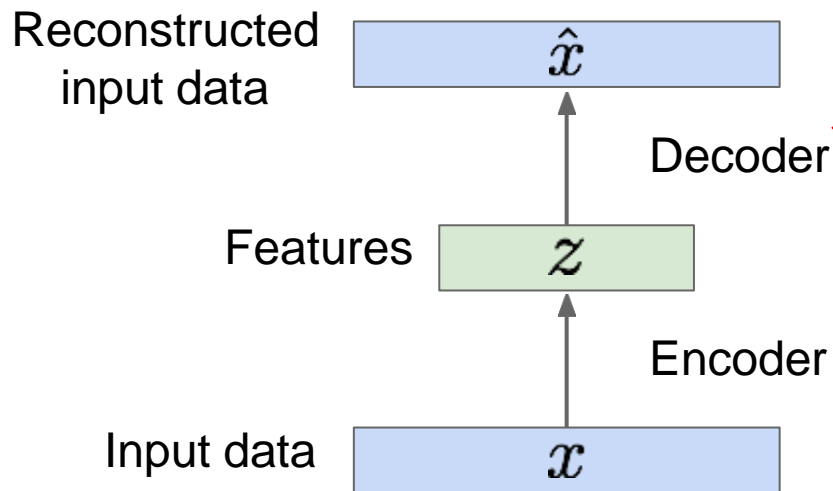


Some background: Autoencoders

How to learn this feature representation?

Train such that features can be used to reconstruct original data

“Autoencoding” - encoding itself



Originally: Linear + nonlinearity (sigmoid)

Later: Deep, fully-connected

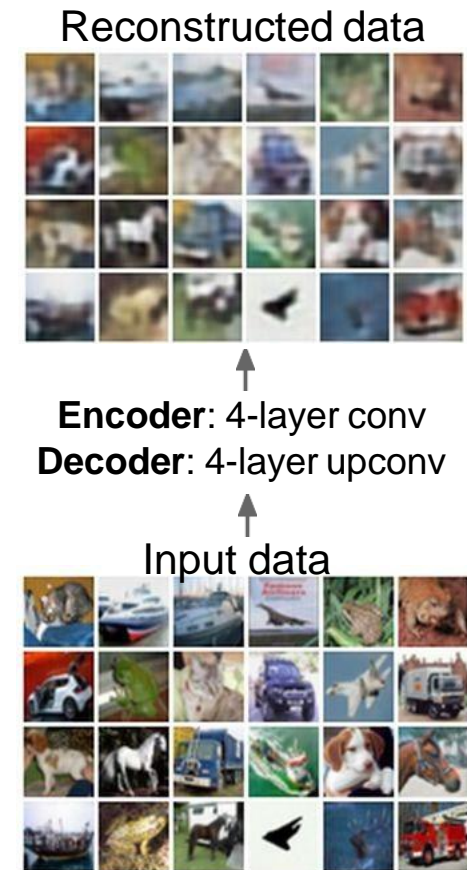
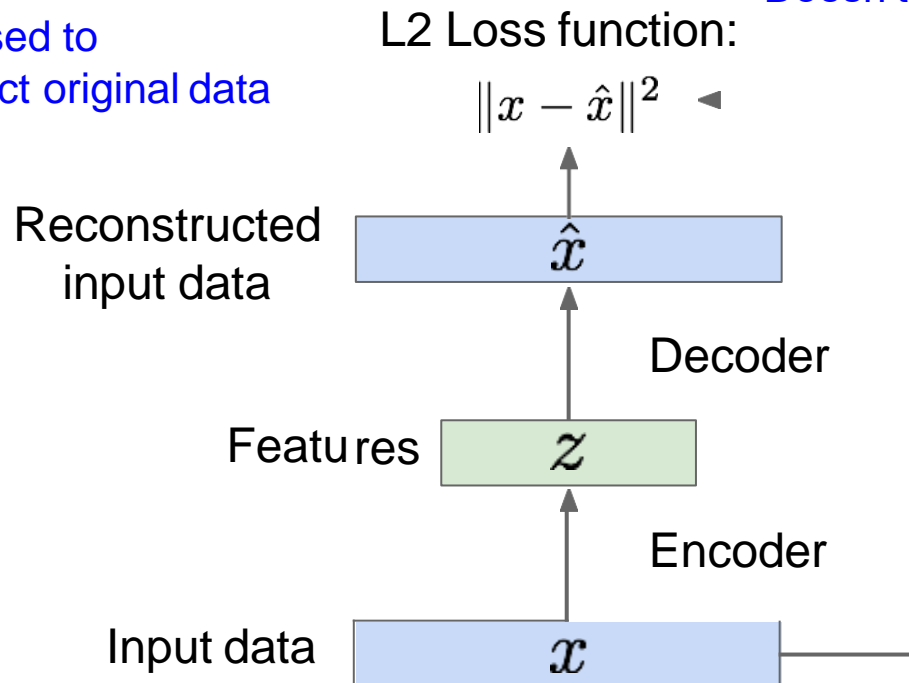
Later: ReLU CNN (upconv)



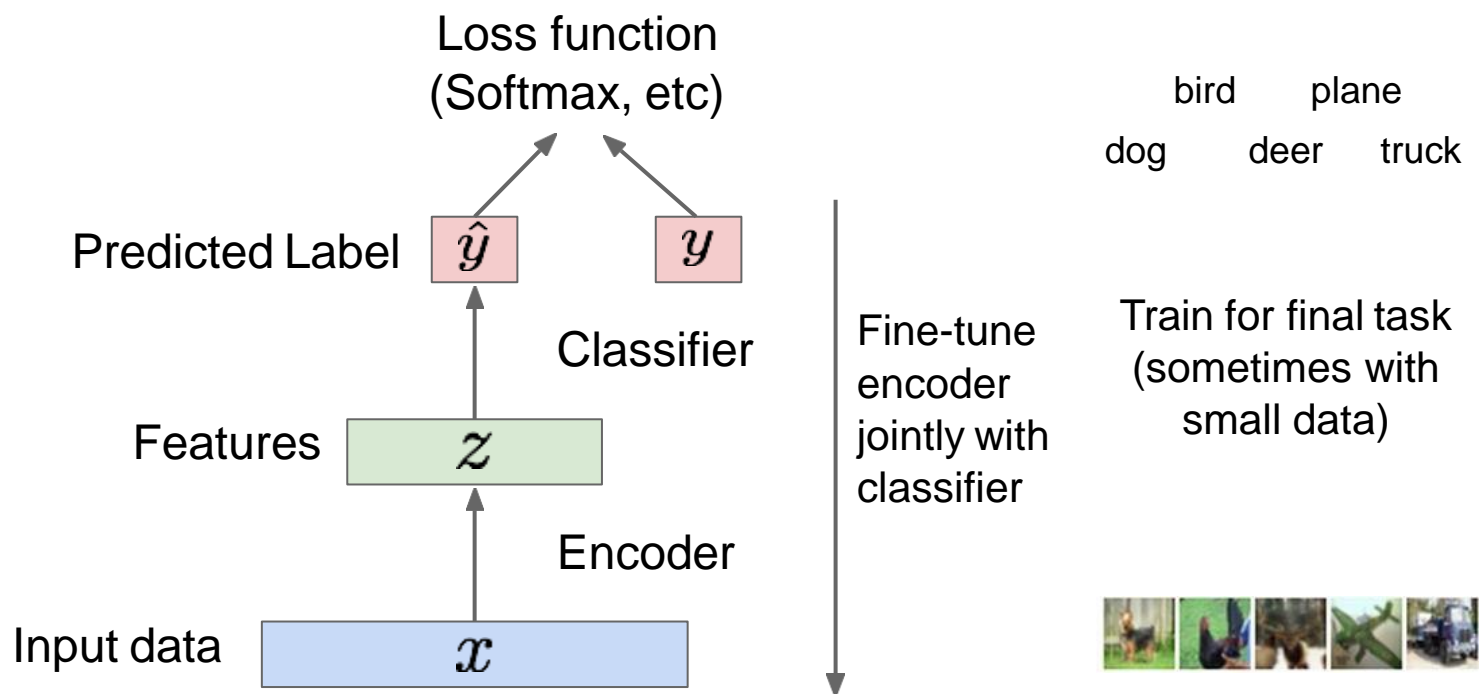
Some background: Autoencoders

Train such that features
can be used to
reconstruct original data

Doesn't use labels!

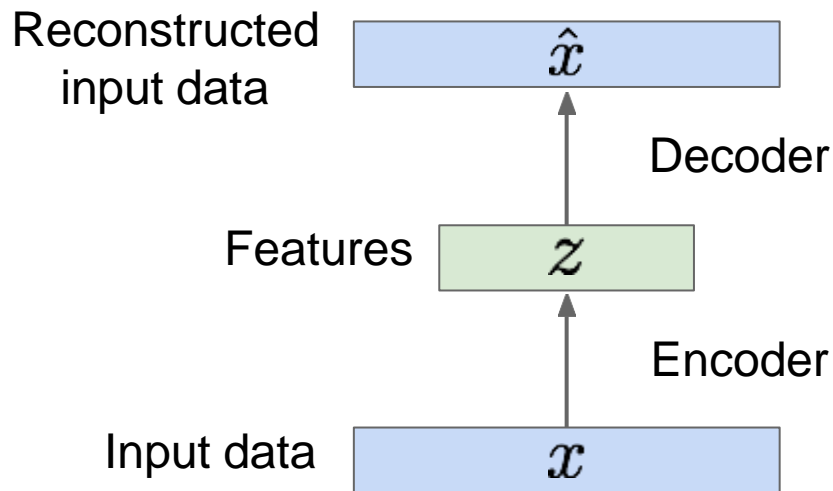


Some background: Autoencoders



Some background: Autoencoders

Autoencoders can reconstruct data, and can learn features to initialize a supervised model



Features capture factors of variation in training data. Can we generate new images from an autoencoder?

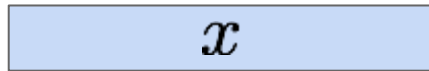
Variational Autoencoders

Probabilistic spin on autoencoders - will let us sample from the model to generate data!

Assume training data $\{x^{(i)}\}_{i=1}^N$ is generated from underlying unobserved (latent) representation \mathbf{z}

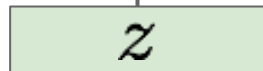
Sample from
true conditional

$$p_{\theta^*}(x \mid z^{(i)})$$



Sample from
true prior

$$p_{\theta^*}(z)$$



Intuition (remember from autoencoders!):
x is an image, **z** is latent factors used to
generate **x**: attributes, orientation, etc.

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

How should we represent this model?

Choose prior $p(z)$ to be simple, e.g. Gaussian.

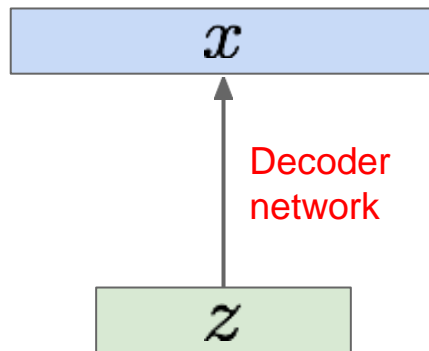
Conditional $p(x|z)$ is complex (generates image) => represent with neural network

Sample from
true conditional

$$p_{\theta^*}(x | z^{(i)})$$

Sample from
true prior

$$p_{\theta^*}(z)$$



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

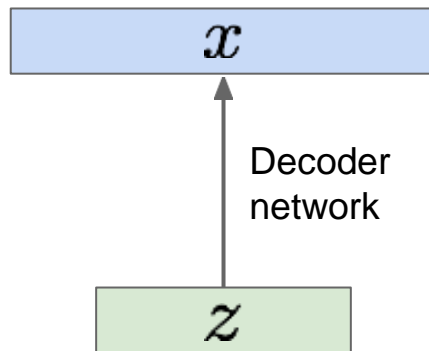
Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

Sample from
true conditional

$$p_{\theta^*}(x | z^{(i)})$$

Sample from
true prior
 $p_{\theta^*}(z)$



How to train the model?

Learn model parameters to maximize
likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Now with latent z

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

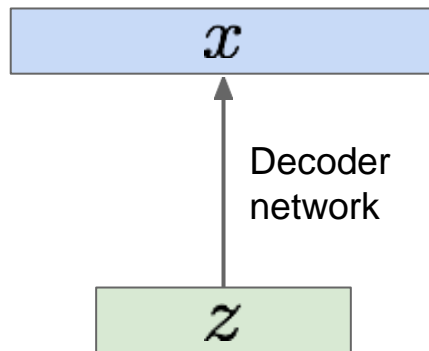
Variational Autoencoders

We want to estimate the true parameters θ^* of this generative model.

Sample from
true conditional

$$p_{\theta^*}(x | z^{(i)})$$

Sample from
true prior
 $p_{\theta^*}(z)$



How to train the model?

Learn model parameters to maximize
likelihood of training data

$$p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$$

Q: What is the problem with this?

Intractable!

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders: Intractability

Data likelihood: $p_{\theta}(x) = \int p_{\theta}(z)p_{\theta}(x|z)dz$

Simple Gaussian prior

Decoder neural network

Intractable to compute
 $p(x|z)$ for every z !

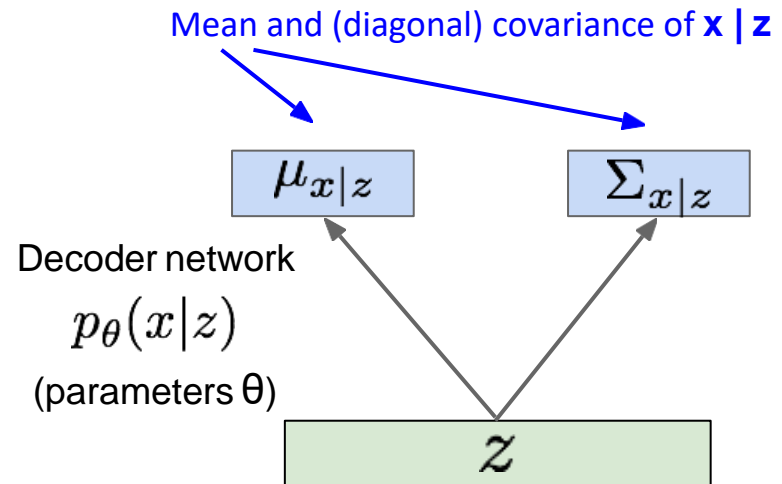
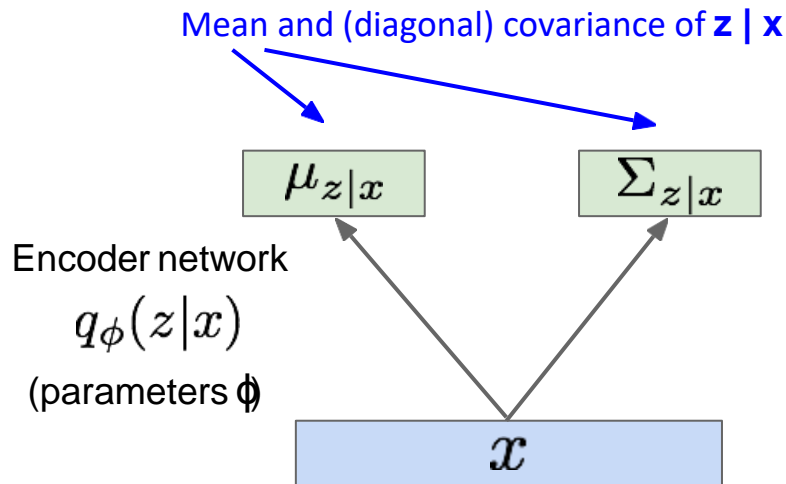
Posterior density also intractable: $p_{\theta}(z|x) = p_{\theta}(x|z)p_{\theta}(z)/p_{\theta}(x)$

Intractable data likelihood

- Solution: In addition to decoder network modeling $p_{\theta}(x|z)$, define additional encoder network $q_{\phi}(z|x)$ that approximates $p_{\theta}(z|x)$
- This allows us to derive a lower bound on the data likelihood that is tractable, which we can optimize – omitted, see hidden slides

Variational Autoencoders

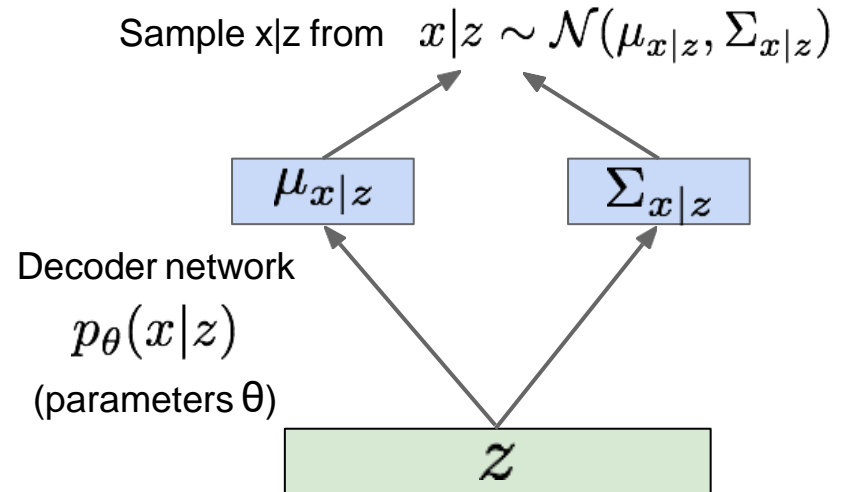
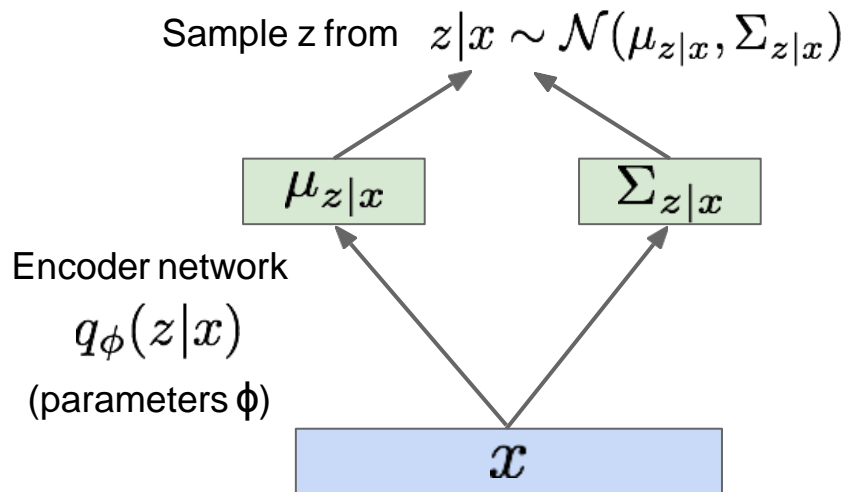
Since we're modeling probabilistic generation of data, encoder and decoder networks are probabilistic



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

Variational Autoencoders

Since we're modeling probabilistic generation of data, encoder and decoder networks are probabilistic



Encoder and decoder networks also called
“recognition”/“inference” and “generation” networks

Kingma and Welling, “Auto-Encoding Variational Bayes”, ICLR 2014

Variational Autoencoders

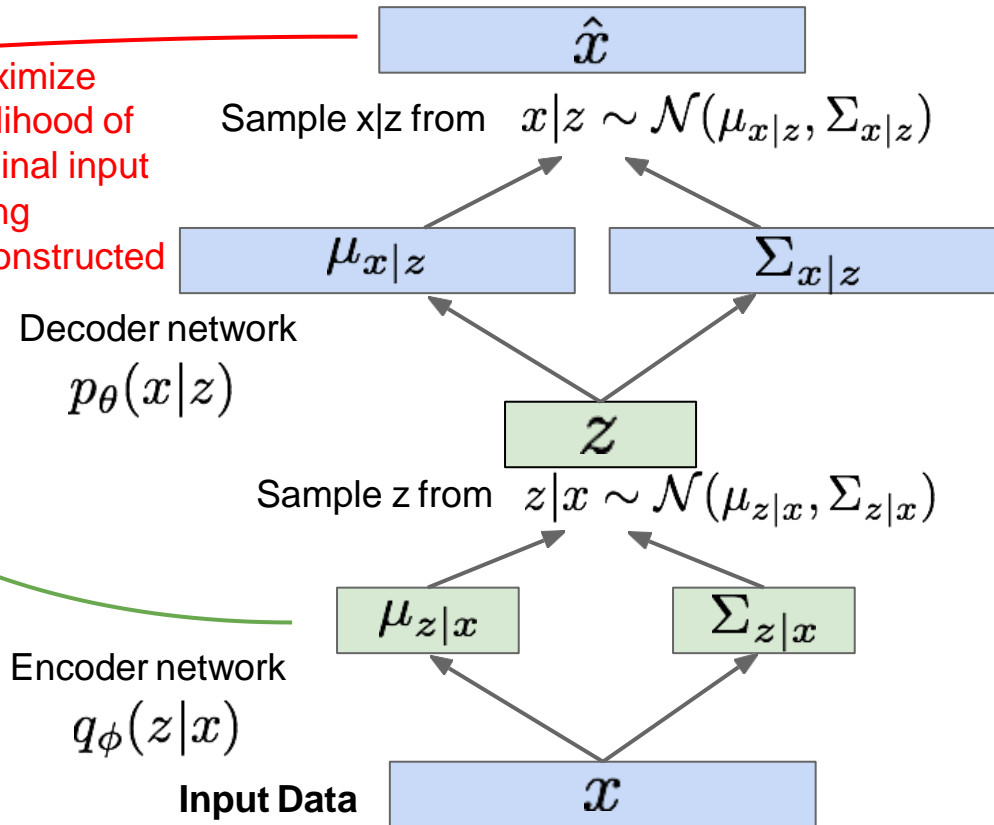
Putting it all together: maximizing the likelihood lower bound

$$\underbrace{\mathbb{E}_z \left[\log p_\theta(x^{(i)} | z) \right] - D_{KL}(q_\phi(z | x^{(i)}) || p_\theta(z))}_{\mathcal{L}(x^{(i)}, \theta, \phi)}$$

Make approximate posterior distribution close to prior

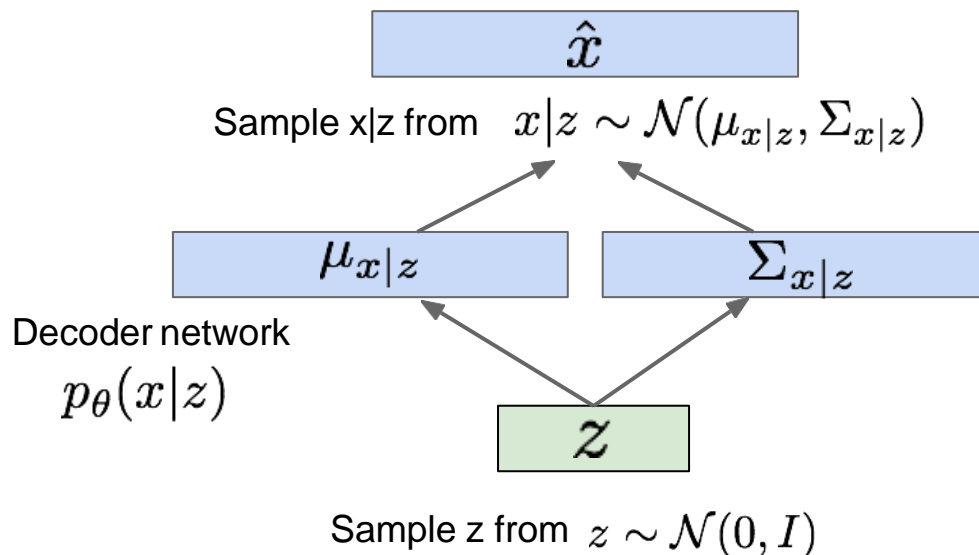
For every minibatch of input data: compute this forward pass, and then backprop!

Maximize likelihood of original input being reconstructed

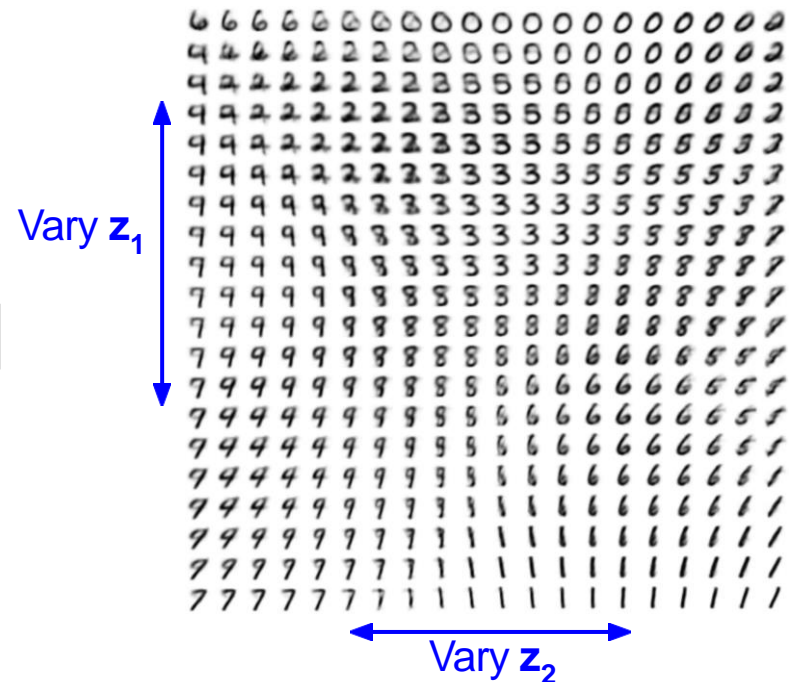


VAEs: Generating Data

Use decoder network. Now sample z from prior!



Data manifold for 2-d z



Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

VAEs: Generating Data

Diagonal prior on \mathbf{z}
=> independent
latent variables

Different
dimensions of \mathbf{z}
encode
interpretable factors
of variation

Degree of smile

Vary z_1

Also good feature representation that
can be computed using $q_\phi(\mathbf{z}|\mathbf{x})$!



Vary z_2

Head pose

Kingma and Welling, "Auto-Encoding Variational Bayes", ICLR 2014

VAEs: Generating Data



32x32 CIFAR-10



Labeled Faces in the Wild

Figures copyright (L) Dirk Kingma et al. 2016; (R) Anders Larsen et al. 2017. Reproduced with permission.

Variational Autoencoders

Probabilistic spin to traditional autoencoders => allows generating data
Defines an intractable density => derive and optimize a lower bound

Pros:

- Principled approach to generative models
- Allows inference of $q(z|x)$, can be useful feature representation for other tasks

Cons:

- Maximizes lower bound of likelihood: okay, but not as good evaluation as PixelRNN/PixelCNN
- Samples blurrier and lower quality compared to state-of-the-art (GANs)

So far...

PixelCNNs define tractable density function, optimize likelihood of training data:

$$p_{\theta}(x) = \prod_{i=1}^n p_{\theta}(x_i | x_1, \dots, x_{i-1})$$

VAEs define intractable density function with latent \mathbf{z} :

$$p_{\theta}(x) = \int p_{\theta}(z) p_{\theta}(x|z) dz$$

Cannot optimize directly, derive and optimize lower bound on likelihood instead

What if we give up on explicitly modeling density, and just want ability to sample?

GANs: don't work with any explicit density function!

Instead, take game-theoretic approach: learn to generate from training distribution through 2-player game

Generative Adversarial Networks

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Problem: Want to sample from complex, high-dimensional training distribution. No direct way to do this!

Solution: Sample from a simple distribution, e.g. random noise. Learn transformation to training distribution.

Q: What can we use to represent this complex transformation?

Generative Adversarial Networks

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Problem: Want to sample from complex, high-dimensional training distribution. No direct way to do this!

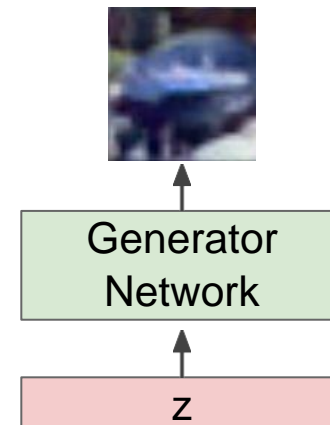
Solution: Sample from a simple distribution, e.g. random noise. Learn transformation to training distribution.

Q: What can we use to represent this complex transformation?

A: A neural network!

Output: Sample from training distribution

Input: Random noise



Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Generator network: try to fool the discriminator by generating real-looking images

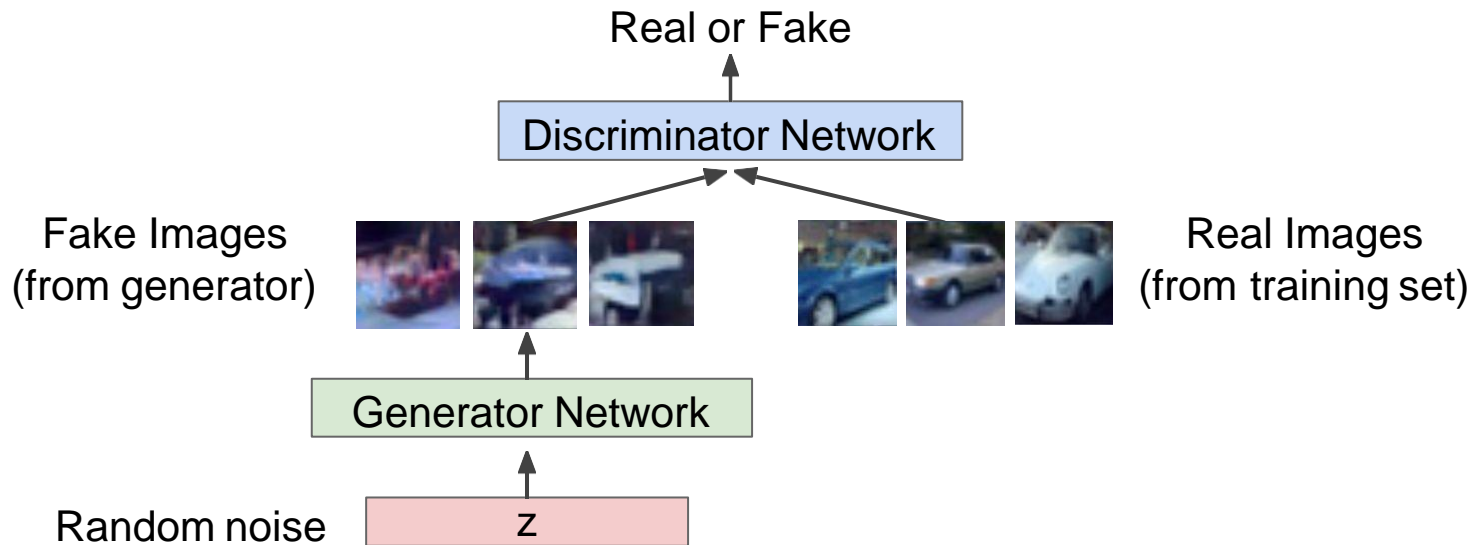
Discriminator network: try to distinguish between real and fake images

Training GANs: Two-player game

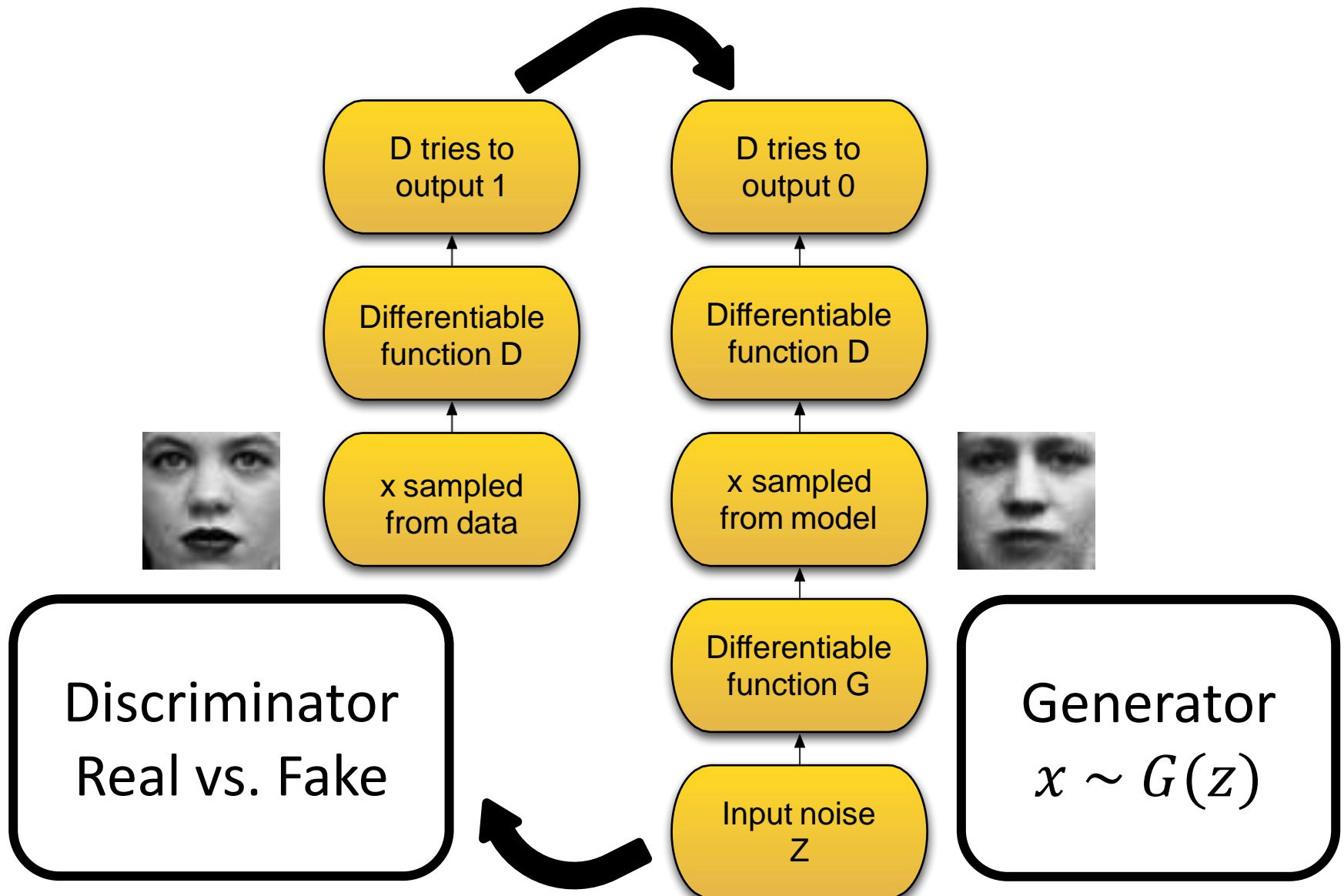
Ian Goodfellow et al., "Generative Adversarial Nets", NIPS 2014

Generator network: try to fool the discriminator by generating real-looking images

Discriminator network: try to distinguish between real and fake images



Adversarial Networks Framework



Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Generator network: try to fool the discriminator by generating real-looking images

Discriminator network: try to distinguish between real and fake images

Train jointly in **minimax game**

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Training GANs: Two-player game

Ian Goodfellow et al., "Generative Adversarial Nets", NIPS 2014

Generator network: try to fool the discriminator by generating real-looking images

Discriminator network: try to distinguish between real and fake images

Train jointly in **minimax game**

Discriminator outputs likelihood in (0,1) of real image

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log \underbrace{D_{\theta_d}(x)}_{\substack{\text{Discriminator output} \\ \text{for real data } x}} + \mathbb{E}_{z \sim p(z)} \log(1 - \underbrace{D_{\theta_d}(G_{\theta_g}(z))}_{\substack{\text{Discriminator output for} \\ \text{generated fake data } G(z)}}) \right]$$

- Discriminator (θ_d) wants to **maximize objective** such that $D(x)$ is close to 1 (real) and $D(G(z))$ is close to 0 (fake)
- Generator (θ_g) wants to **minimize objective** such that $D(G(z))$ is close to 1 (discriminator is fooled into thinking generated $G(z)$ is real)

Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

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$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

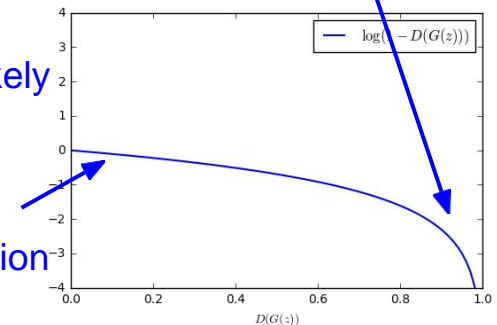
2. **Gradient descent** on generator

$$\min_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z)))$$

In practice, optimizing this generator objective does not work well!

When sample is likely fake, want to learn from it to improve generator. But gradient in this region is relatively flat!

Gradient signal dominated by region where sample is already good



Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Minimax objective function:

$$\min_{\theta_g} \max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

Alternate between:

1. **Gradient ascent** on discriminator

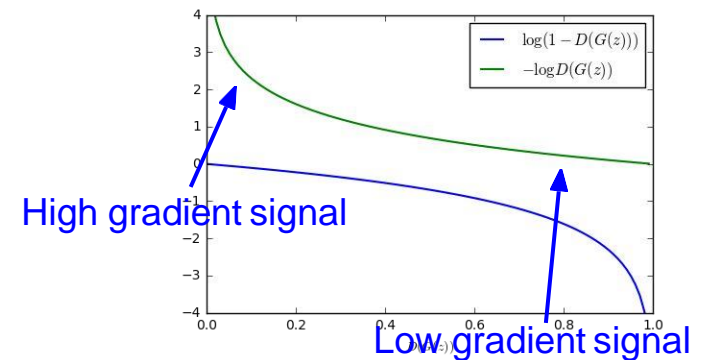
$$\max_{\theta_d} \left[\mathbb{E}_{x \sim p_{data}} \log D_{\theta_d}(x) + \mathbb{E}_{z \sim p(z)} \log(1 - D_{\theta_d}(G_{\theta_g}(z))) \right]$$

2. **Instead: Gradient ascent** on generator, **different objective**

$$\max_{\theta_g} \mathbb{E}_{z \sim p(z)} \log(D_{\theta_d}(G_{\theta_g}(z)))$$

Instead of minimizing likelihood of discriminator being correct, now maximize likelihood of discriminator being wrong.

Same objective of fooling discriminator, but now higher gradient signal for bad samples => works much better! Standard in practice.



Training GANs: Two-player game

Ian Goodfellow et al., “Generative Adversarial Nets”, NIPS 2014

Putting it together: GAN training algorithm

for number of training iterations **do**

for k steps **do**

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Sample minibatch of m examples $\{x^{(1)}, \dots, x^{(m)}\}$ from data generating distribution $p_{\text{data}}(x)$.
- Update the discriminator by ascending its stochastic gradient:

$$\nabla_{\theta_d} \frac{1}{m} \sum_{i=1}^m \left[\log D_{\theta_d}(x^{(i)}) + \log(1 - D_{\theta_d}(G_{\theta_g}(z^{(i)}))) \right]$$

end for

- Sample minibatch of m noise samples $\{z^{(1)}, \dots, z^{(m)}\}$ from noise prior $p_g(z)$.
- Update the generator by ascending its stochastic gradient (improved objective):

$$\nabla_{\theta_g} \frac{1}{m} \sum_{i=1}^m \log(D_{\theta_d}(G_{\theta_g}(z^{(i)})))$$

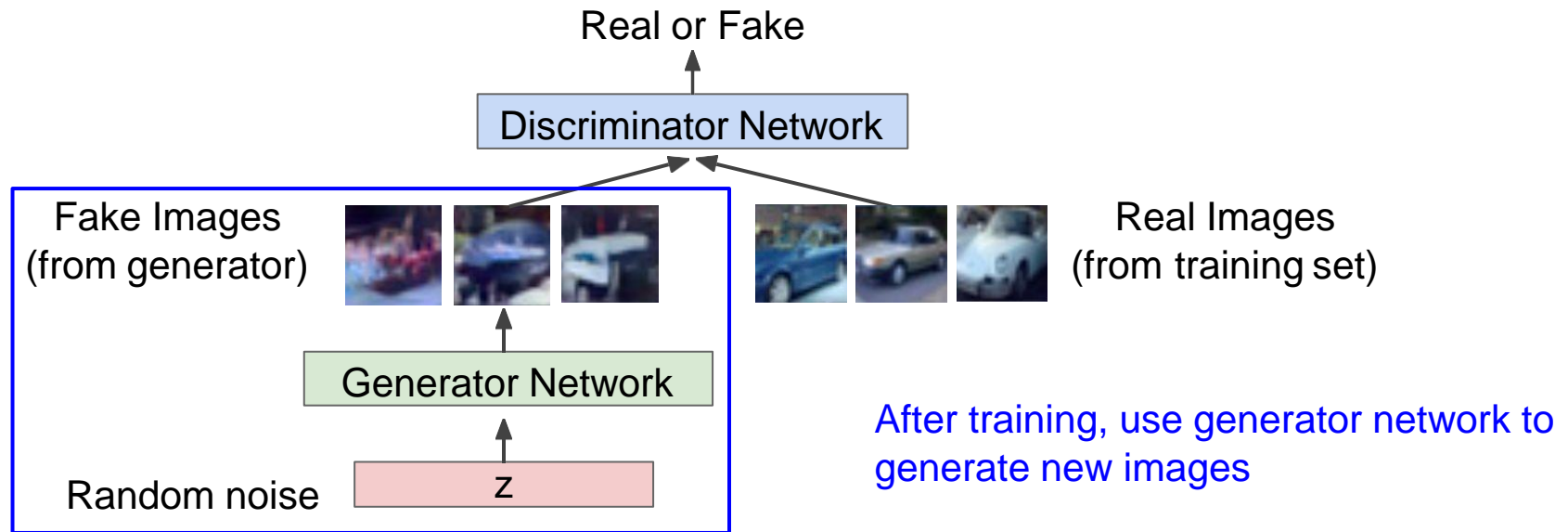
end for

Training GANs: Two-player game

Ian Goodfellow et al., "Generative Adversarial Nets", NIPS 2014

Generator network: try to fool the discriminator by generating real-looking images

Discriminator network: try to distinguish between real and fake images



GAN training is challenging

- Vanishing gradient – when discriminator is very good
- Mode collapse – too little diversity in the samples generated
- Lack of convergence because hard to reach Nash equilibrium
- Loss metric doesn't always correspond to image quality; Frechet Inception Distance (FID) is a decent choice

Alternative loss functions

Name	Paper Link	Value Function
GAN	Arxiv	$L_D^{GAN} = E[\log(D(x))] + E[\log(1 - D(G(z)))]$ $L_G^{GAN} = E[\log(D(G(z)))]$
LSGAN	Arxiv	$L_D^{LSGAN} = E[(D(x) - 1)^2] + E[D(G(z))^2]$ $L_G^{LSGAN} = E[(D(G(z)) - 1)^2]$
WGAN	Arxiv	$L_D^{WGAN} = E[D(x)] - E[D(G(z))]$ $L_G^{WGAN} = E[D(G(z))]$ $W_D \leftarrow clip_by_value(W_D, -0.01, 0.01)$
WGAN_GP	Arxiv	$L_D^{WGAN_GP} = L_D^{WGAN} + \lambda E[(\nabla D(\alpha x - (1 - \alpha G(z))) - 1)^2]$ $L_G^{WGAN_GP} = L_G^{WGAN}$
DRAGAN	Arxiv	$L_D^{DRAGAN} = L_D^{GAN} + \lambda E[(\nabla D(\alpha x - (1 - \alpha G_p)) - 1)^2]$ $L_G^{DRAGAN} = L_G^{GAN}$
CGAN	Arxiv	$L_D^{CGAN} = E[\log(D(x, c))] + E[\log(1 - D(G(z), c))]$ $L_G^{CGAN} = E[\log(D(G(z), c))]$
infoGAN	Arxiv	$L_{D,Q}^{infoGAN} = L_D^{GAN} - \lambda L_I(c, c')$ $L_G^{infoGAN} = L_G^{GAN} - \lambda L_I(c, c')$
ACGAN	Arxiv	$L_{D,Q}^{ACGAN} = L_D^{GAN} + E[P(class = c x)] + E[P(class = c G(z))]$ $L_G^{ACGAN} = L_G^{GAN} + E[P(class = c G(z))]$
EBGAN	Arxiv	$L_D^{EBGAN} = D_{AE}(x) + \max(0, m - D_{AE}(G(z)))$ $L_G^{EBGAN} = D_{AE}(G(z)) + \lambda \cdot PT$
BEGAN	Arxiv	$L_D^{BEGAN} = D_{AE}(x) - k_t D_{AE}(G(z))$ $L_G^{BEGAN} = D_{AE}(G(z))$ $k_{t+1} = k_t + \lambda(\gamma D_{AE}(x) - D_{AE}(G(z)))$

<https://github.com/hwalsuklee/tensorflow-generative-model-collections>

https://medium.com/@jonathan_hui/gan-wasserstein-gan-wgan-gp-6a1a2aa1b490

Tips and tricks

- Use batchnorm, ReLU
- Regularize norm of gradients
- Use one of the new loss functions
- Add noise to inputs or labels
- Append image similarity to avoid mode collapse
- Use labels when available (CGAN)
- ...

Celebrities Who Never Existed



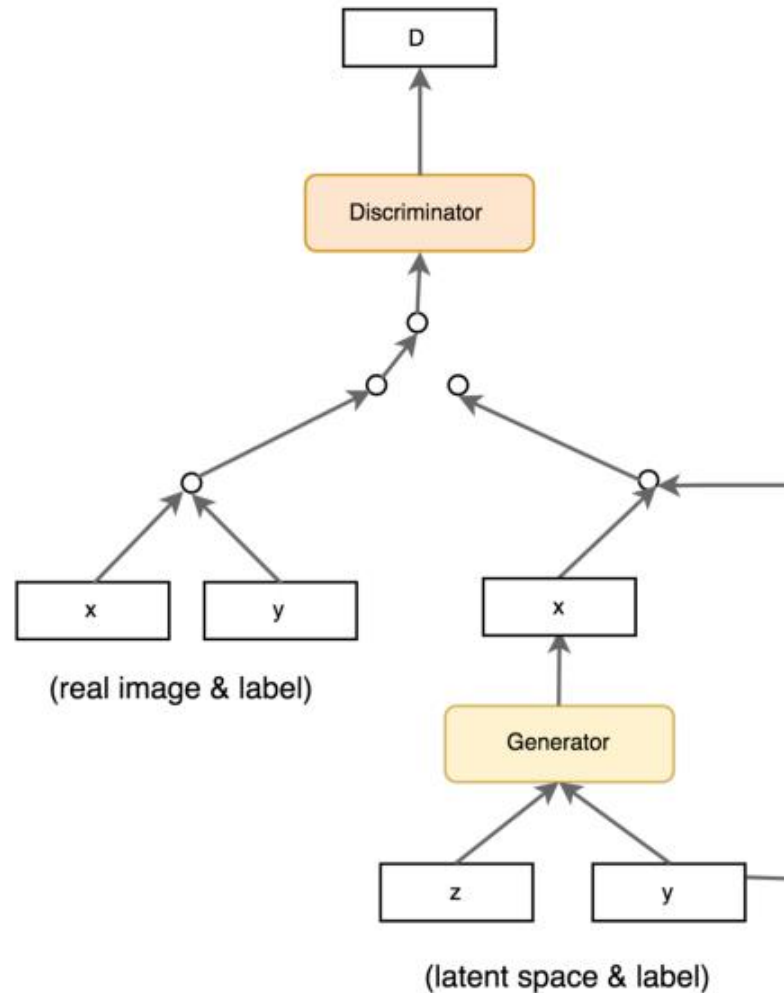
Creative Adversarial Networks

CAN: Top ranked by human subjects

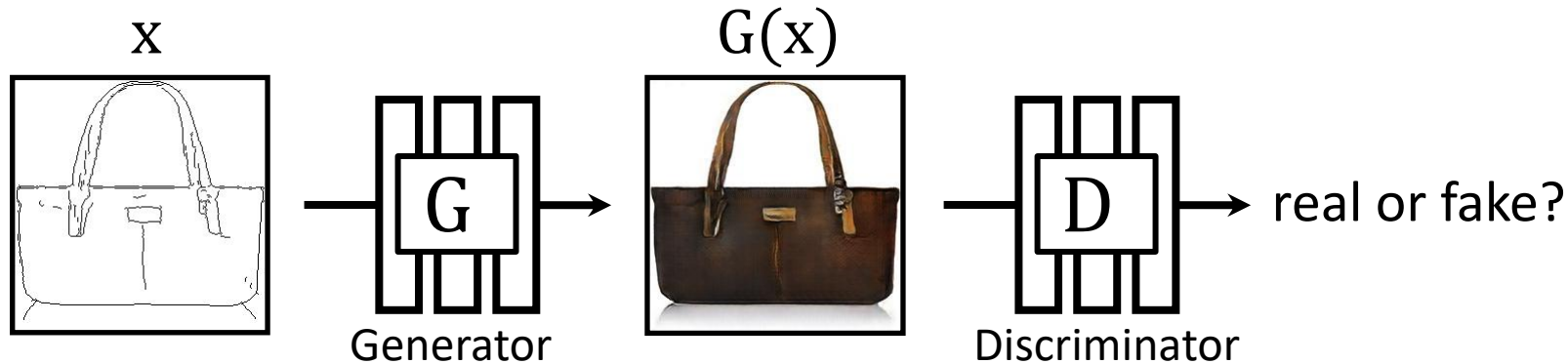


(Elgammal et al., 2017)

Conditional GANs



GANs



G : generate fake samples that can fool D

D : classify fake samples vs. real images

[Goodfellow et al. 2014]

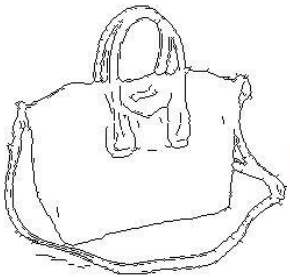
Conditional GANs



Edges → Images

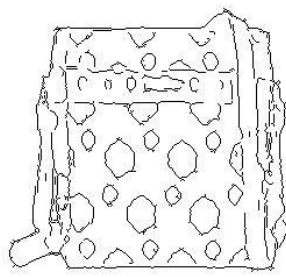
Input

Output



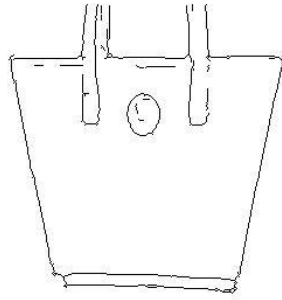
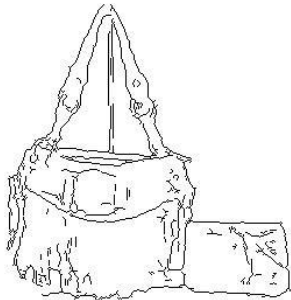
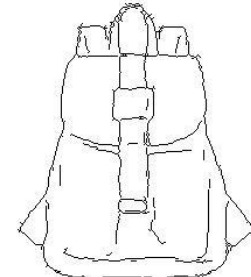
Input

Output



Input

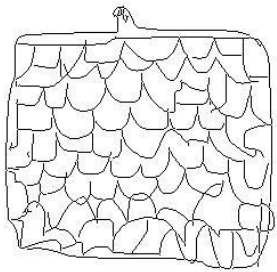
Output



Edges from [Xie & Tu, 2015]

Sketches → Images

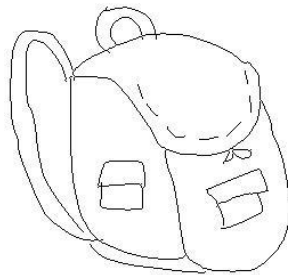
Input



Output



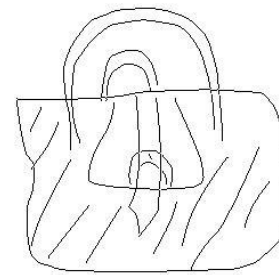
Input



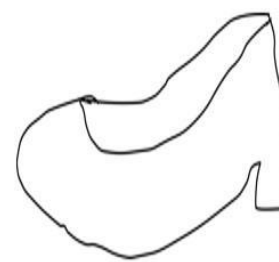
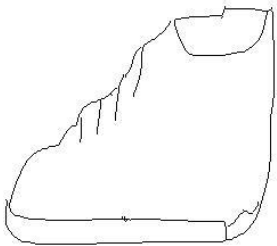
Output



Input



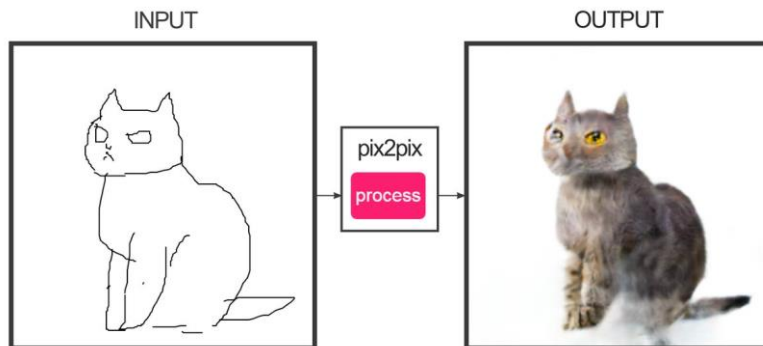
Output



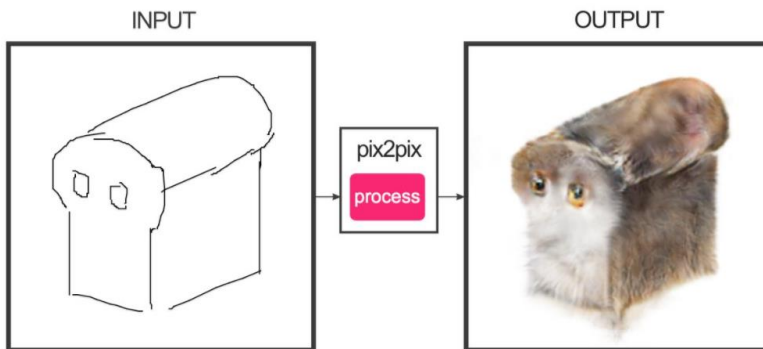
Trained on Edges → Images

Data from [Eitz, Hays, Alexa, 2012]

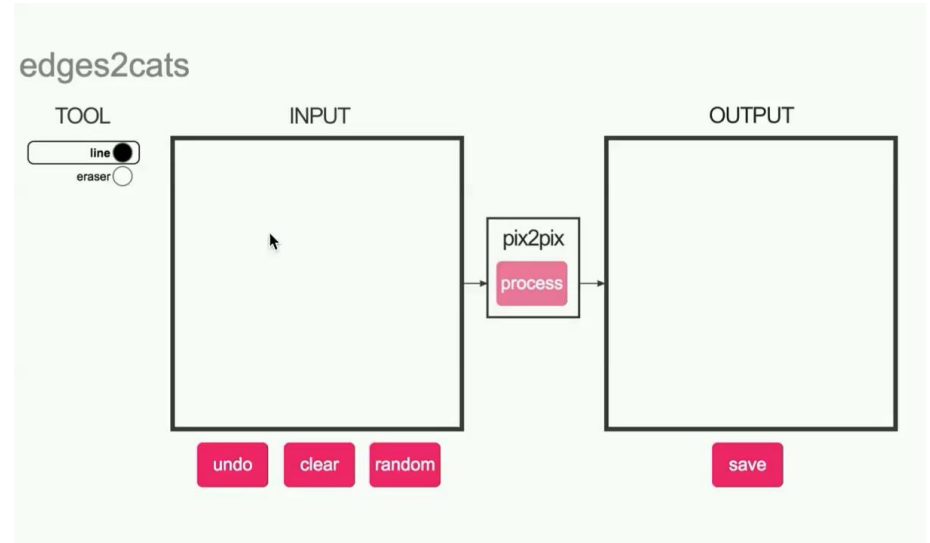
#edges2cats [Christopher Hesse]



@gods_tail



Ivy Tasi @ivymyt



@matthematician



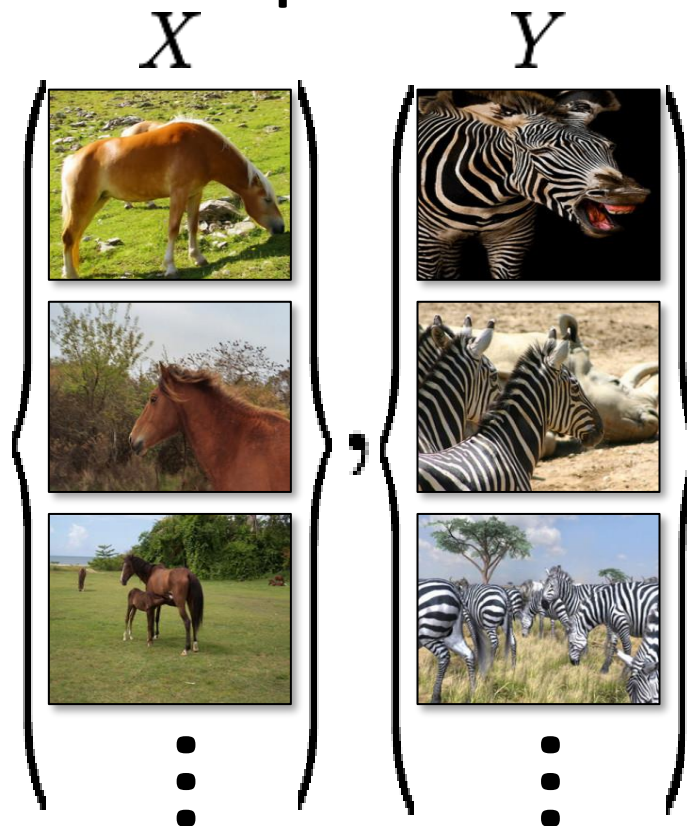
Vitaly Vidmirov @vvid

<https://affinelayer.com/pixsrv/>

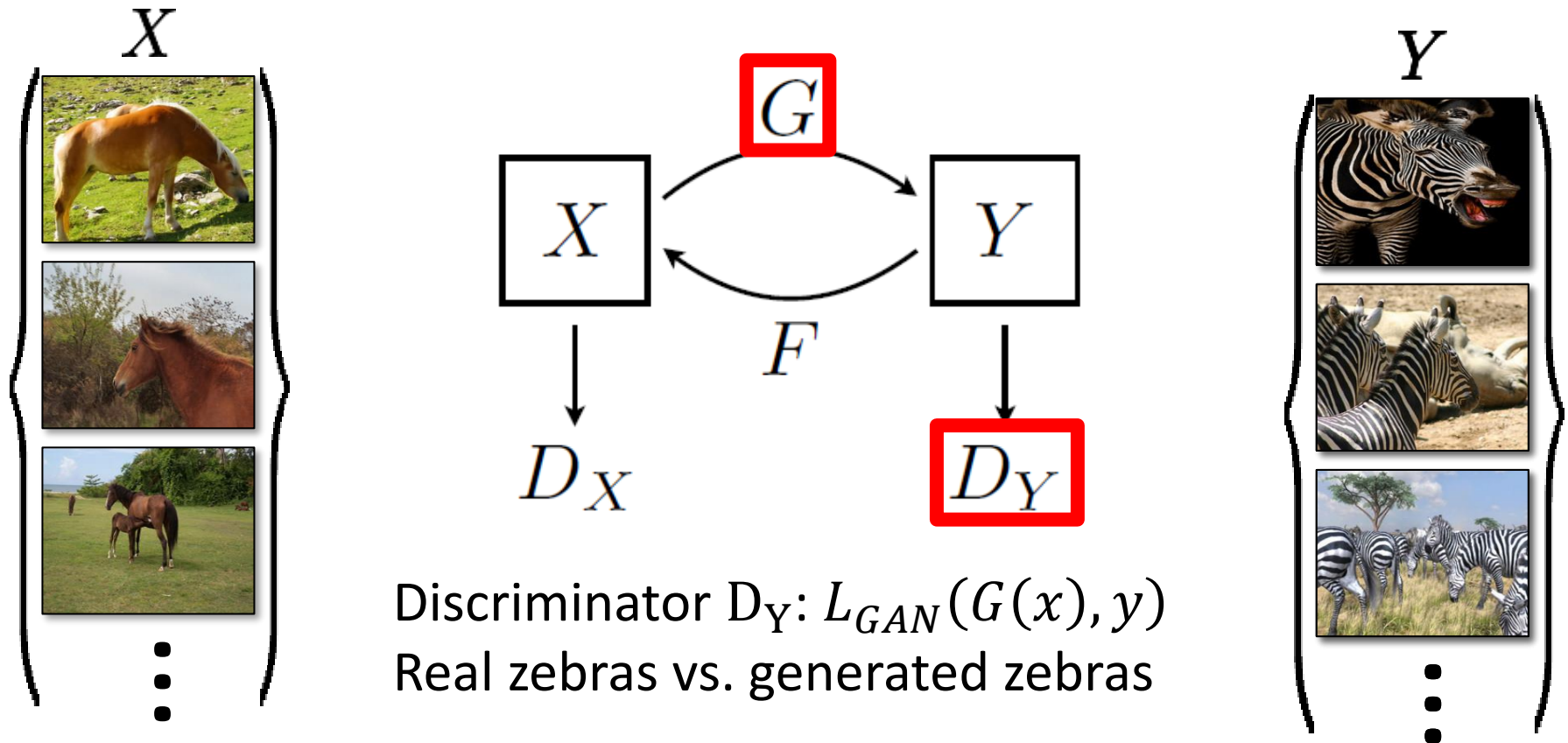
Paired



Unpaired

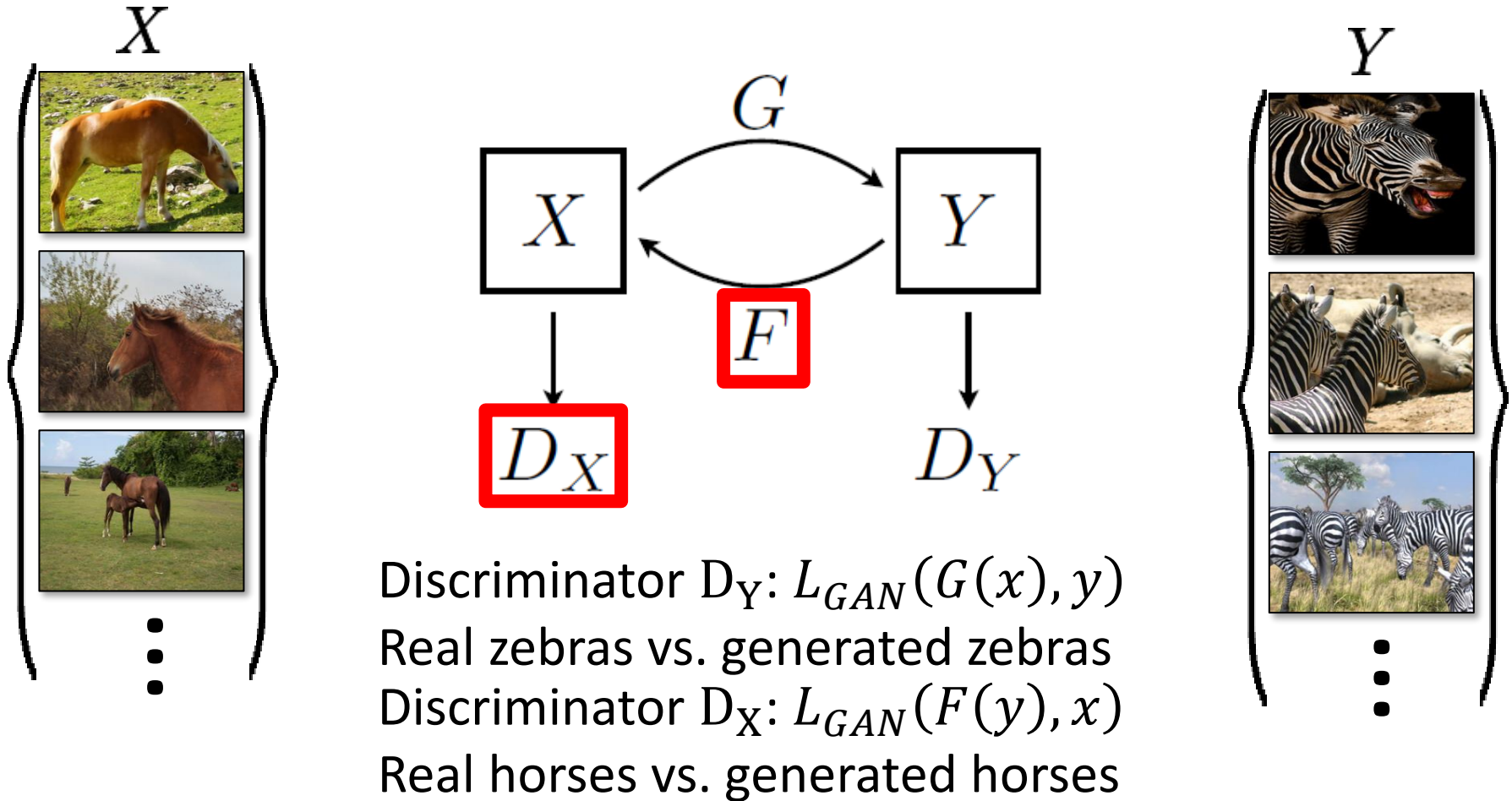


Cycle Consistency

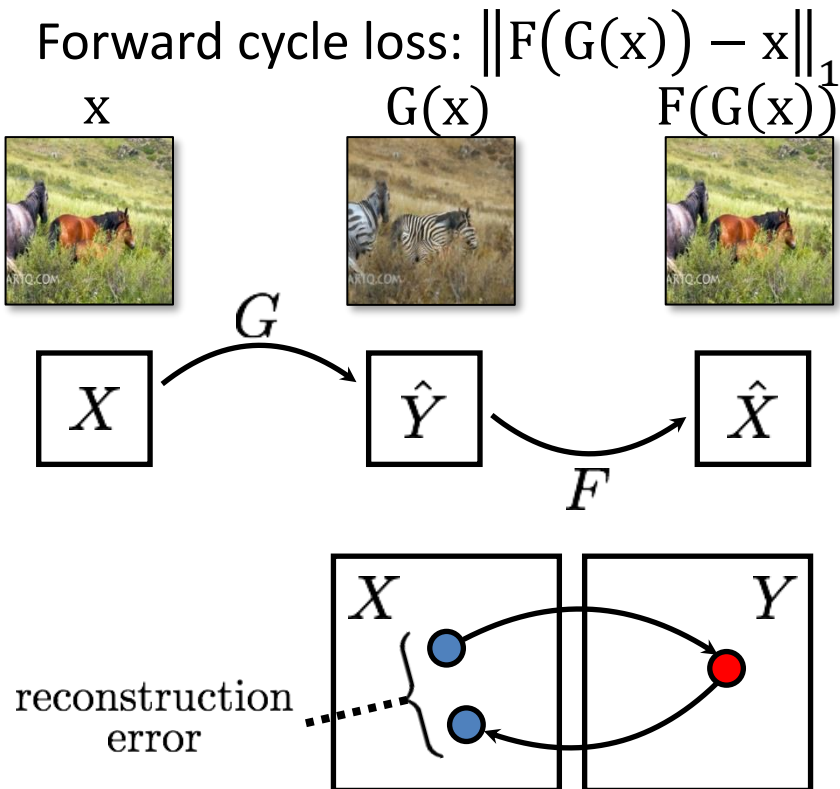


Discriminator D_Y : $L_{GAN}(G(x), y)$
Real zebras vs. generated zebras

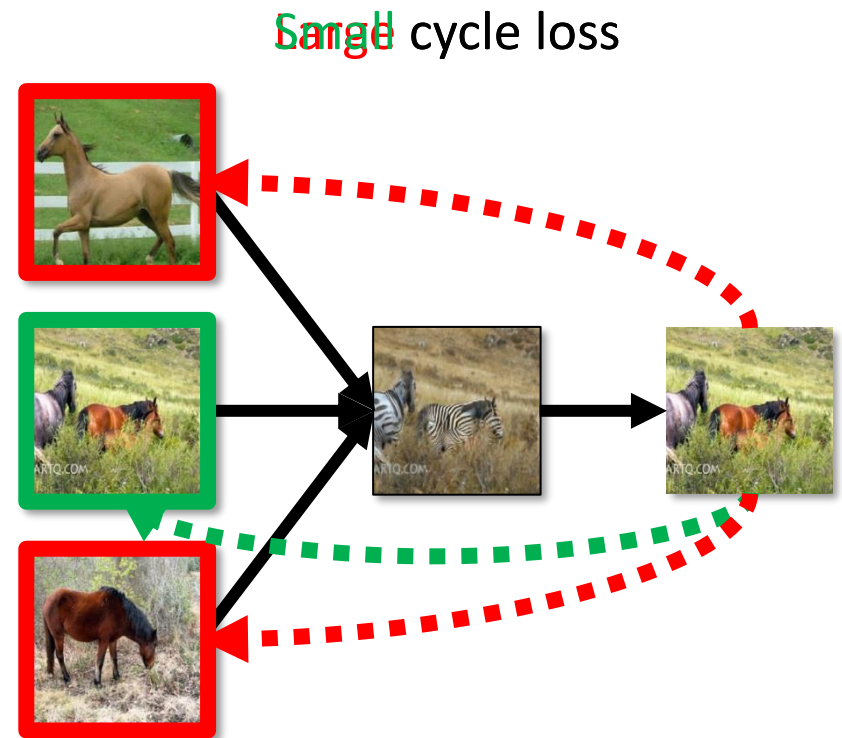
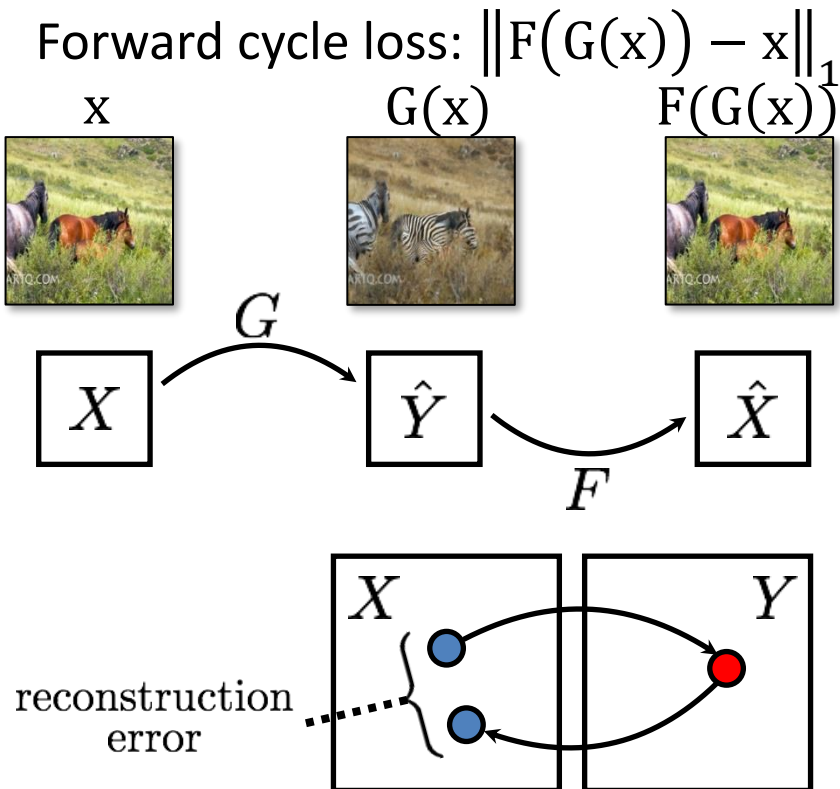
Cycle Consistency



Cycle Consistency



Cycle Consistency



Helps cope with mode collapse

Training Details: Objective

$$\begin{aligned}\mathcal{L}_{\text{GAN}}(G, D_Y, X, Y) = & \mathbb{E}_{y \sim p_{\text{data}}(y)} [\log D_Y(y)] \\ & + \mathbb{E}_{x \sim p_{\text{data}}(x)} [\log(1 - D_Y(G(x)))],\end{aligned}$$

$$\begin{aligned}\mathcal{L}_{\text{cyc}}(G, F) = & \mathbb{E}_{x \sim p_{\text{data}}(x)} [\|F(G(x)) - x\|_1] \\ & + \mathbb{E}_{y \sim p_{\text{data}}(y)} [\|G(F(y)) - y\|_1].\end{aligned}$$

$$\begin{aligned}\mathcal{L}(G, F, D_X, D_Y) = & \mathcal{L}_{\text{GAN}}(G, D_Y, X, Y) \\ & + \mathcal{L}_{\text{GAN}}(F, D_X, Y, X) \\ & + \lambda \mathcal{L}_{\text{cyc}}(G, F),\end{aligned}$$

$$G^*, F^* = \arg \min_{G, F} \max_{D_X, D_Y} \mathcal{L}(G, F, D_X, D_Y).$$

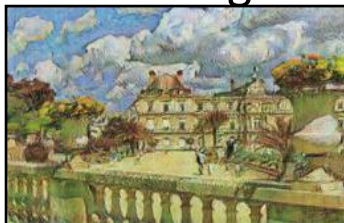
Input



Monet



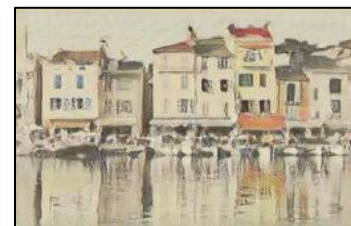
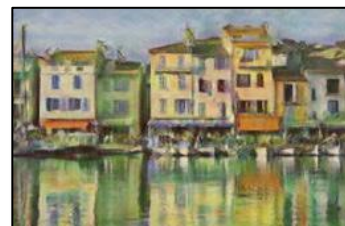
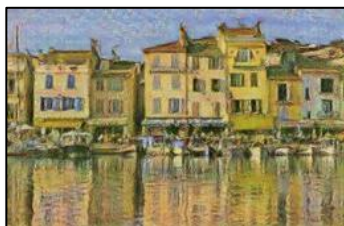
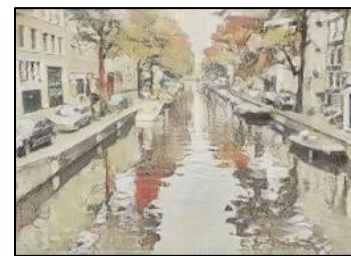
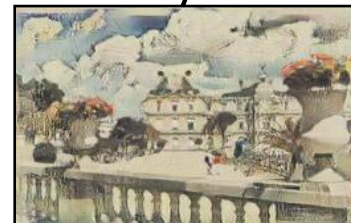
Van Gogh



Cezanne



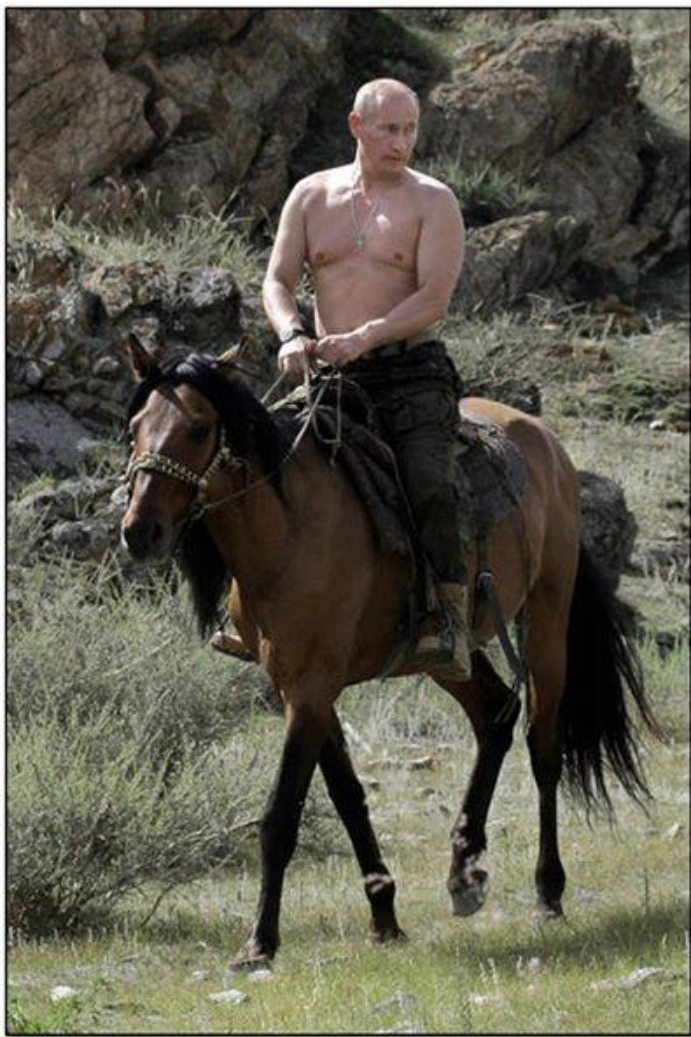
Ukiyo-e



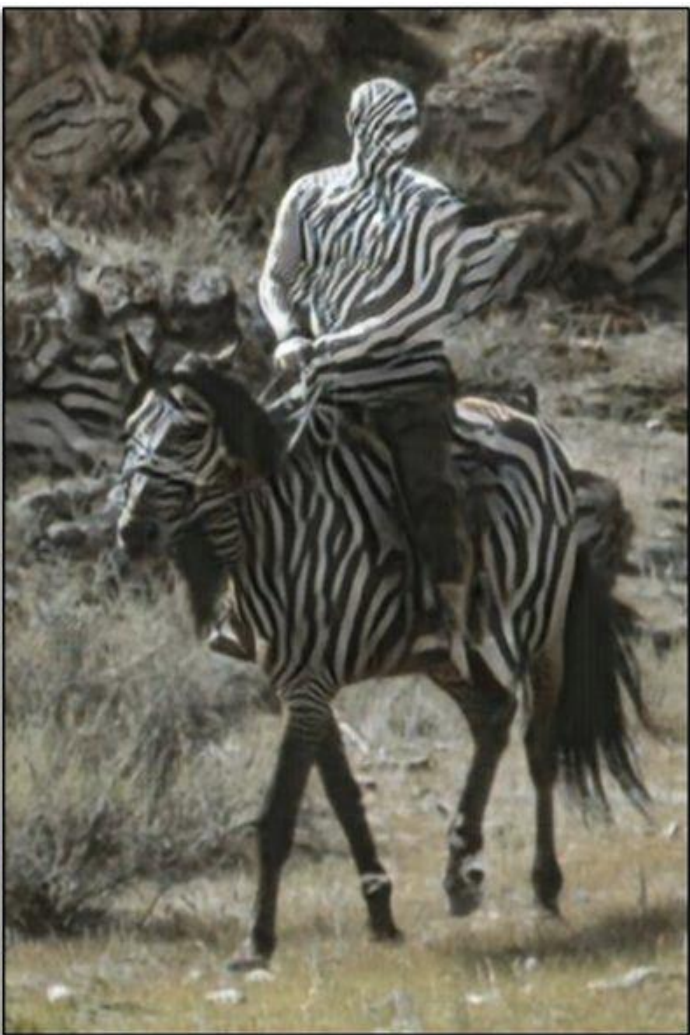
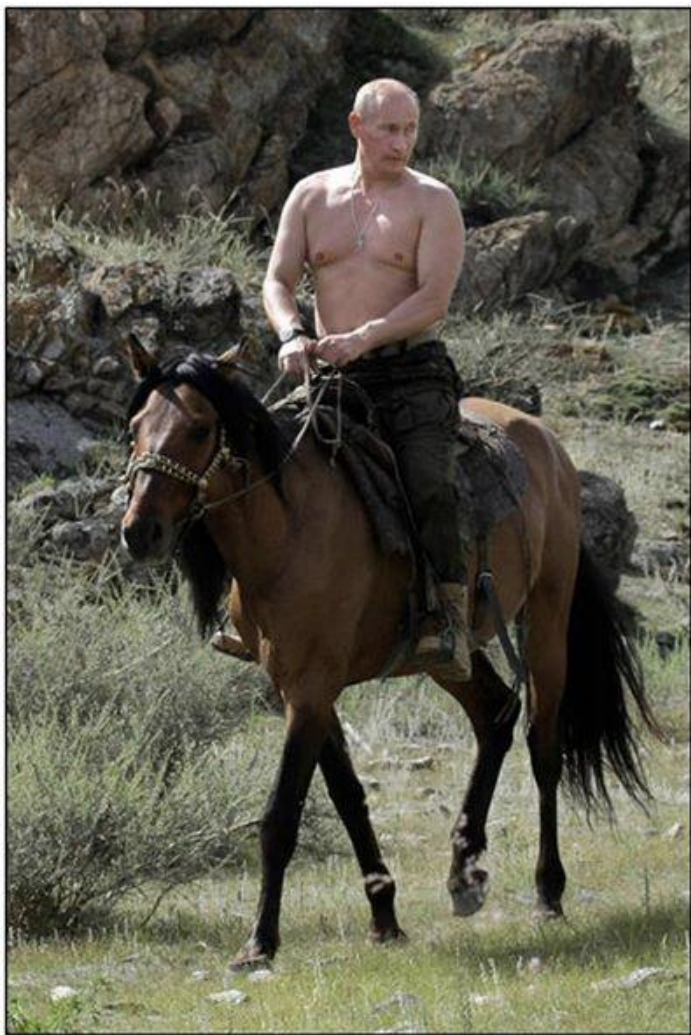






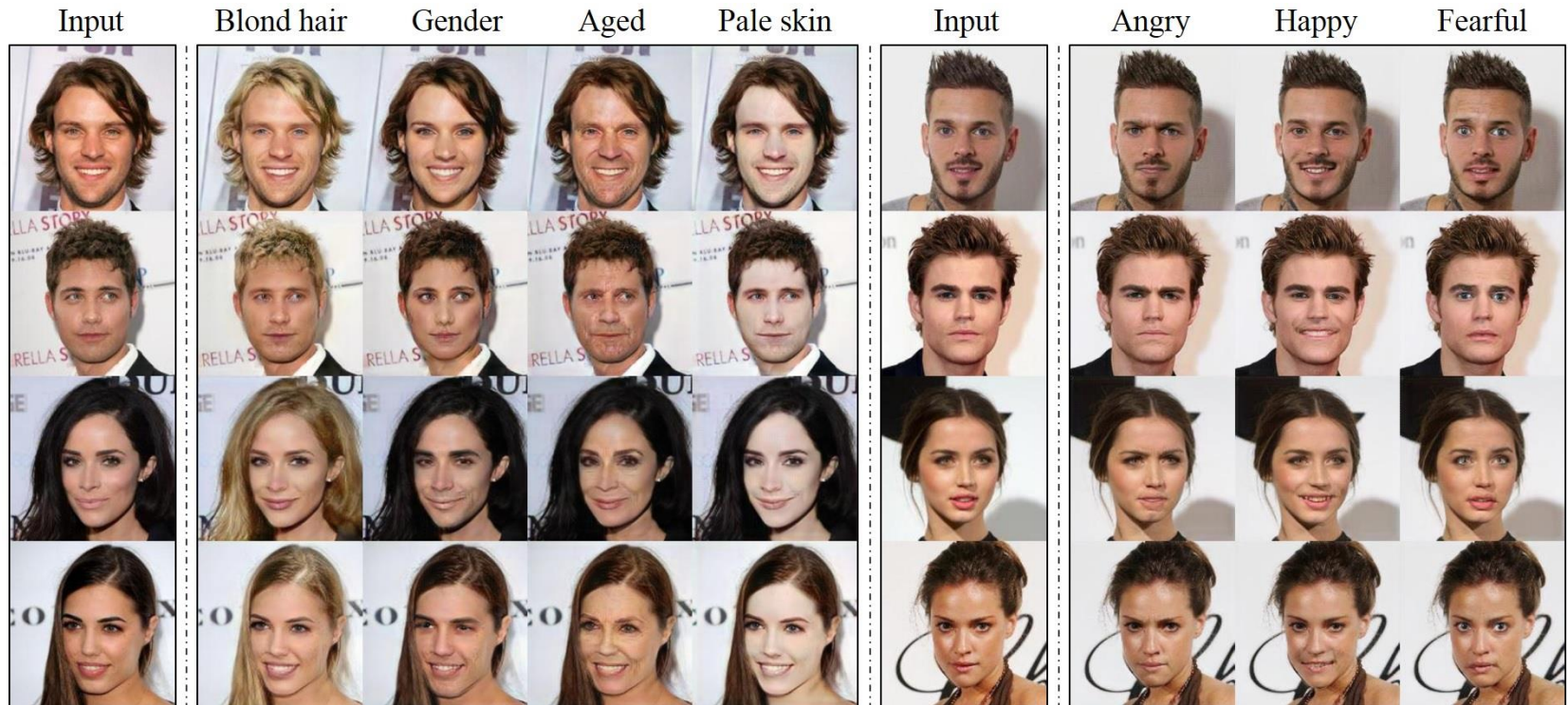


Pix2pix / CycleGAN

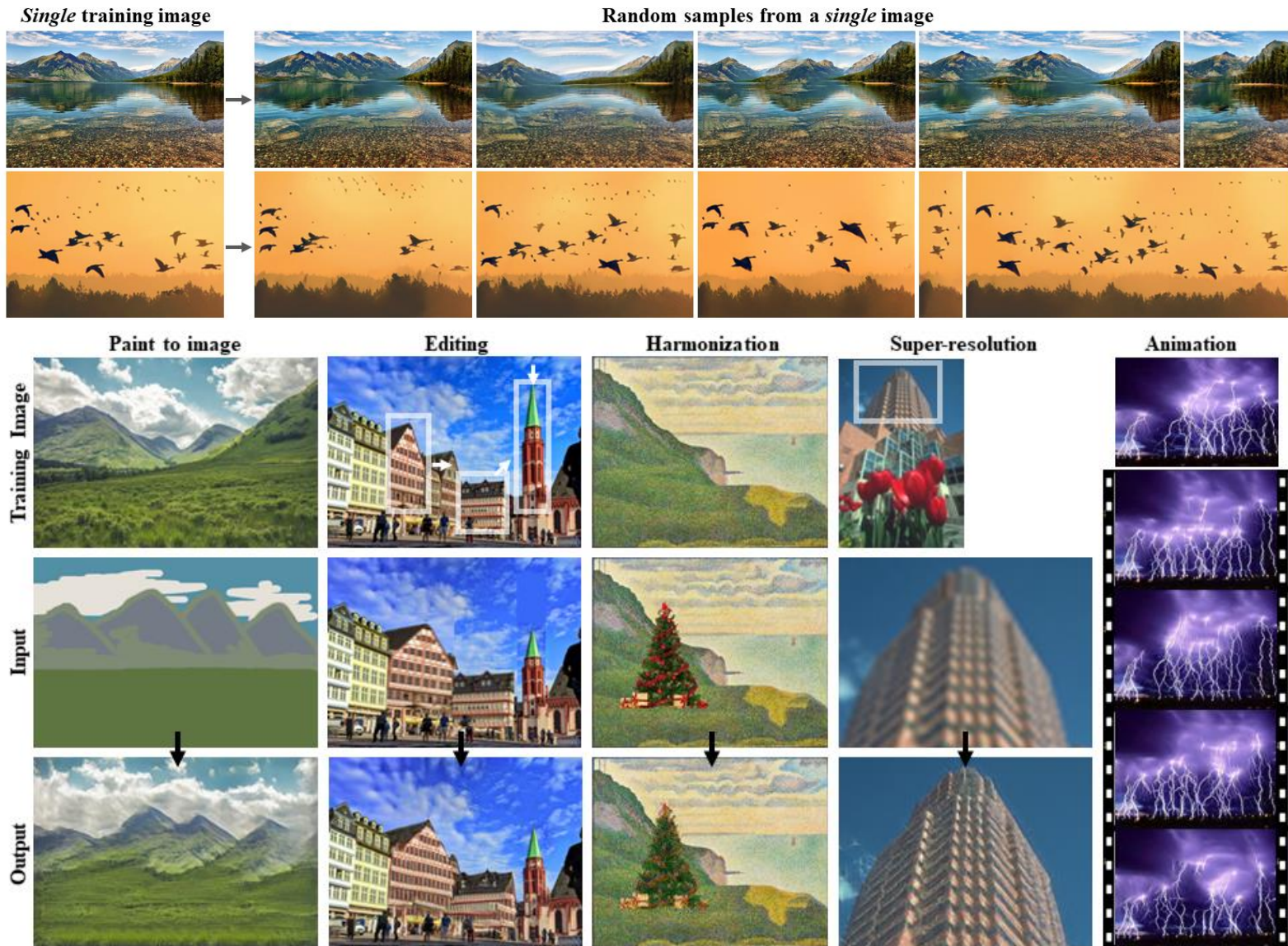


Pix2pix / CycleGAN

StarGAN



SinGAN



Generating with little data for ads

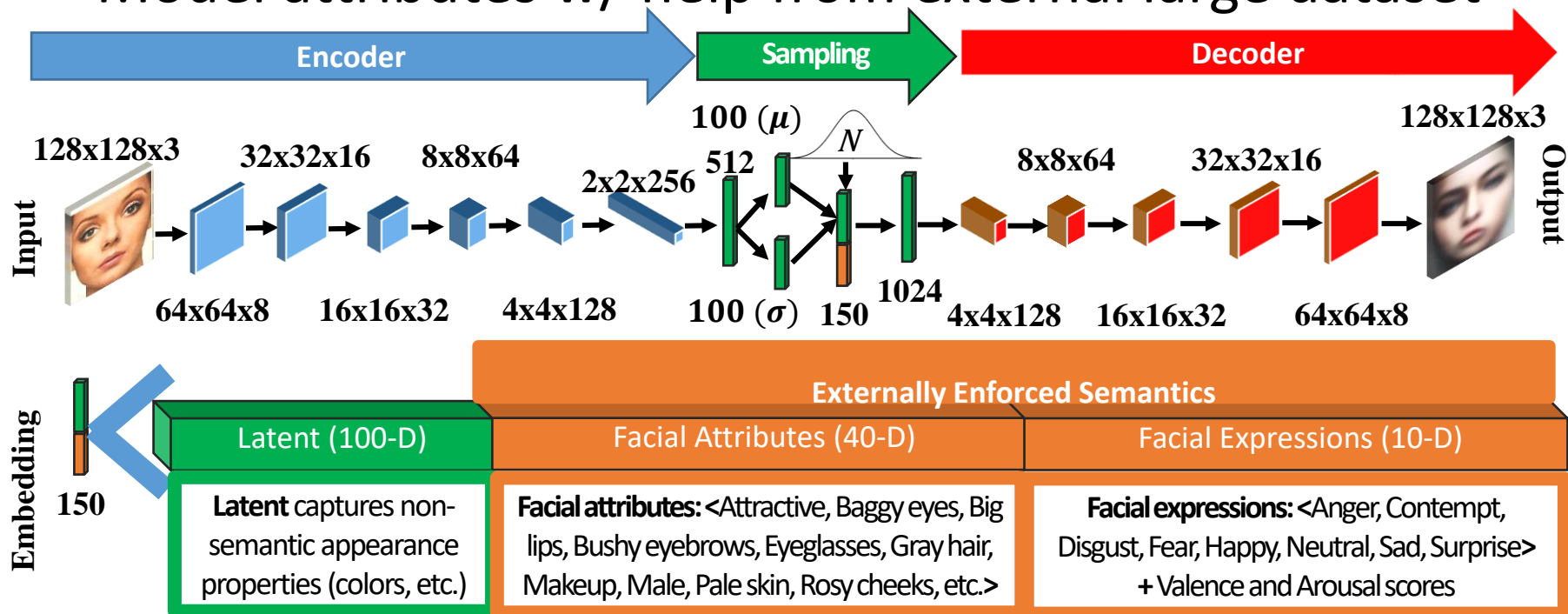
- Faces are persuasive and carry meaning/sentiment



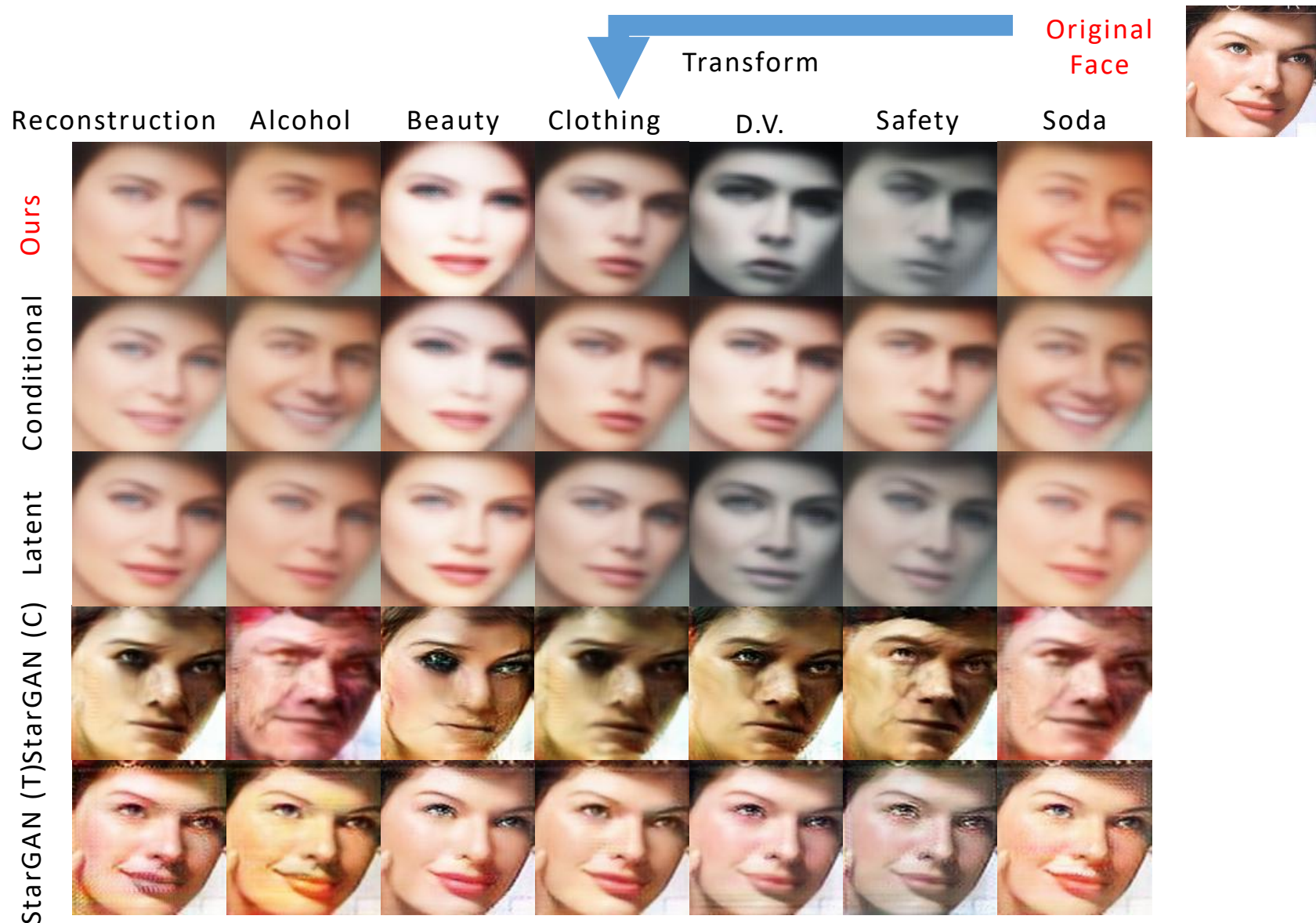
- We learn to generate faces appropriate for each ad category
- Because our data is so diverse yet limited in count, standard approaches that directly model pixel distributions don't work well

Generating with little data for ads

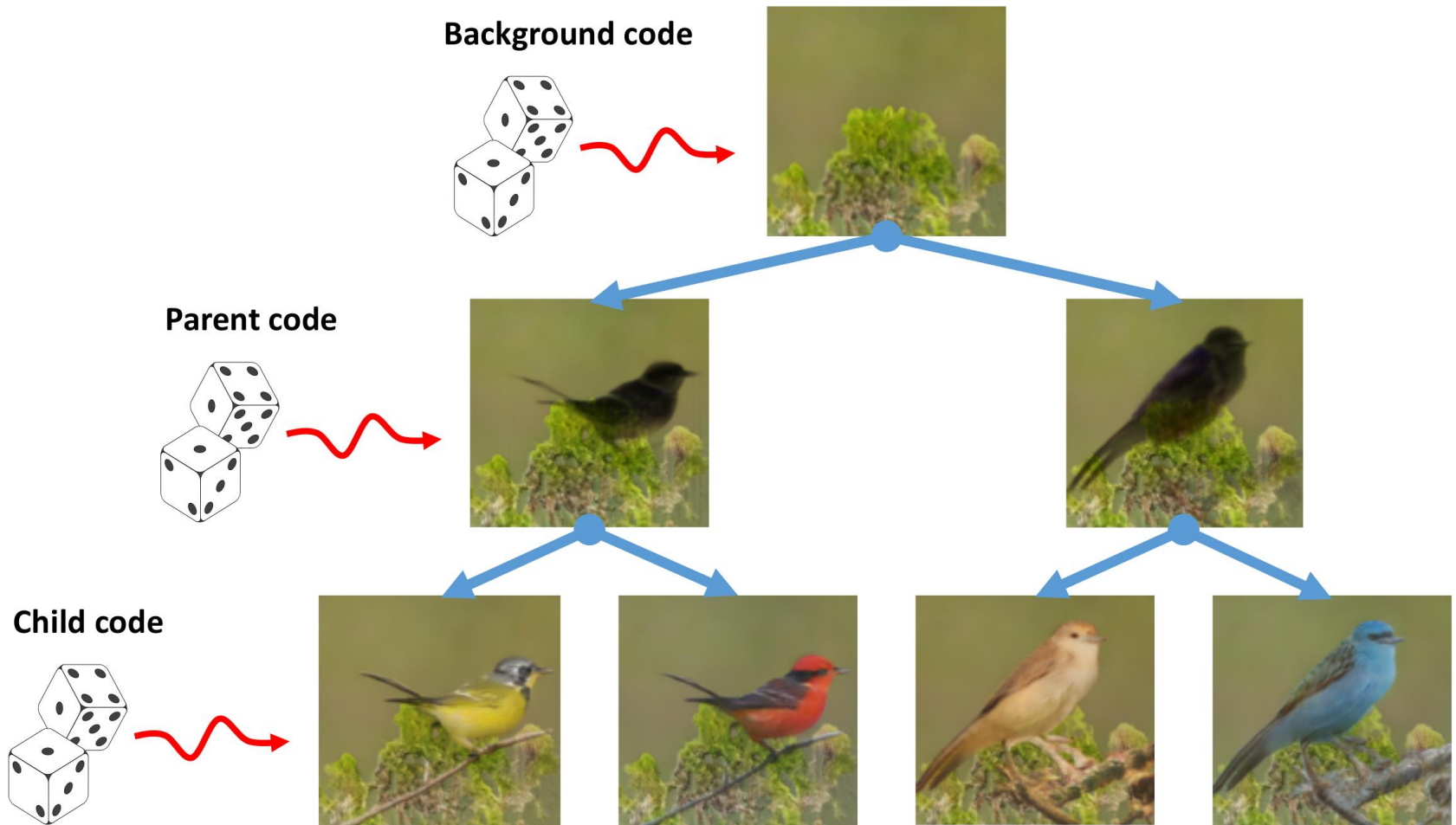
- Instead we model the distribution over *attributes* for each category (e.g. domestic violence ads contain “black eye”, beauty contains “red lips”)
- Generate an image with the attributes of an ad class
- Model attributes w/ help from external large dataset



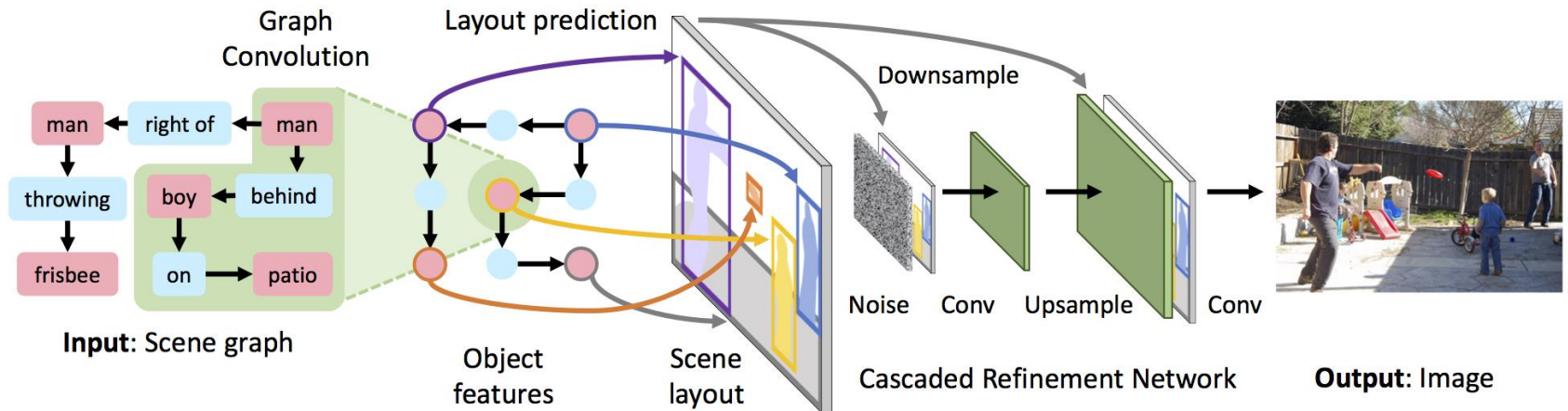
Generating with little data for ads



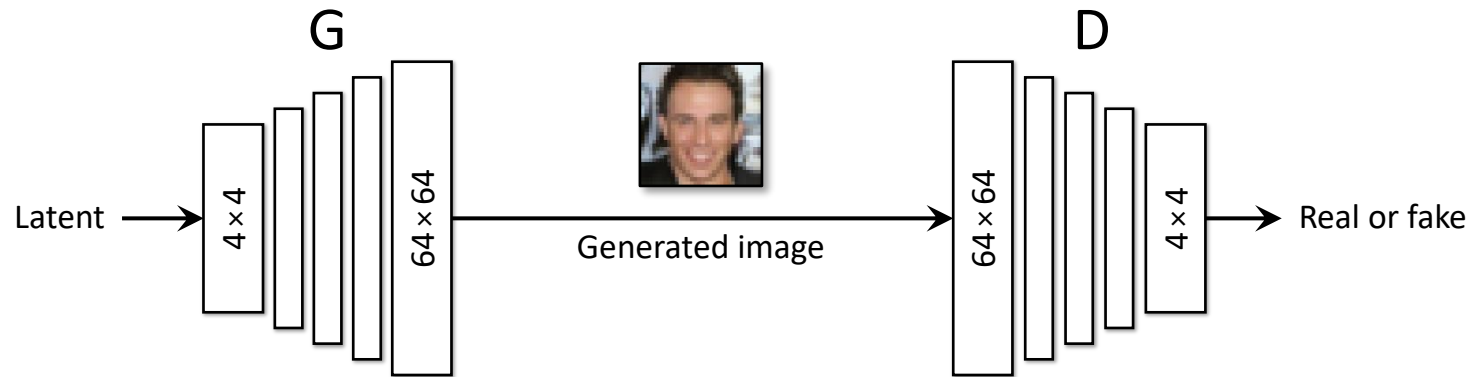
Stagewise generation



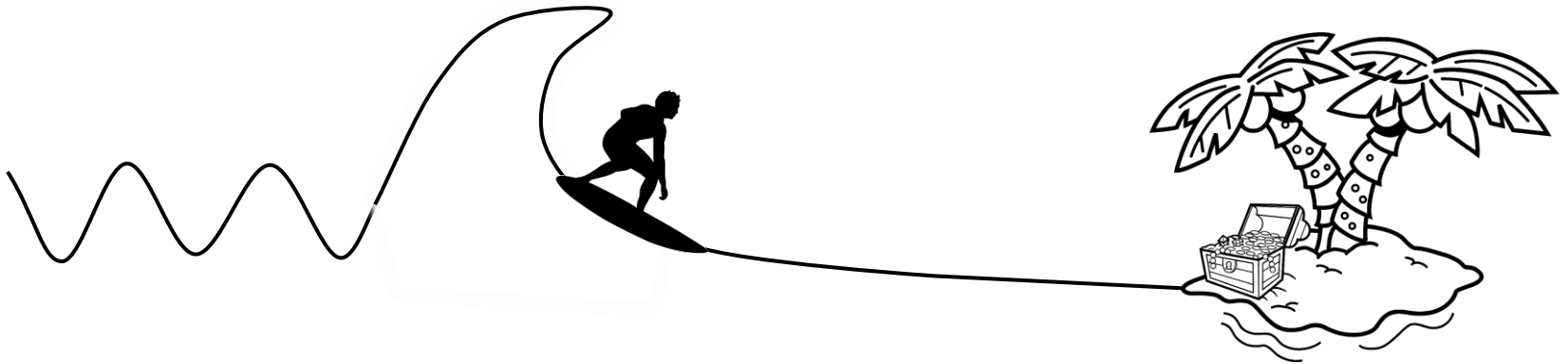
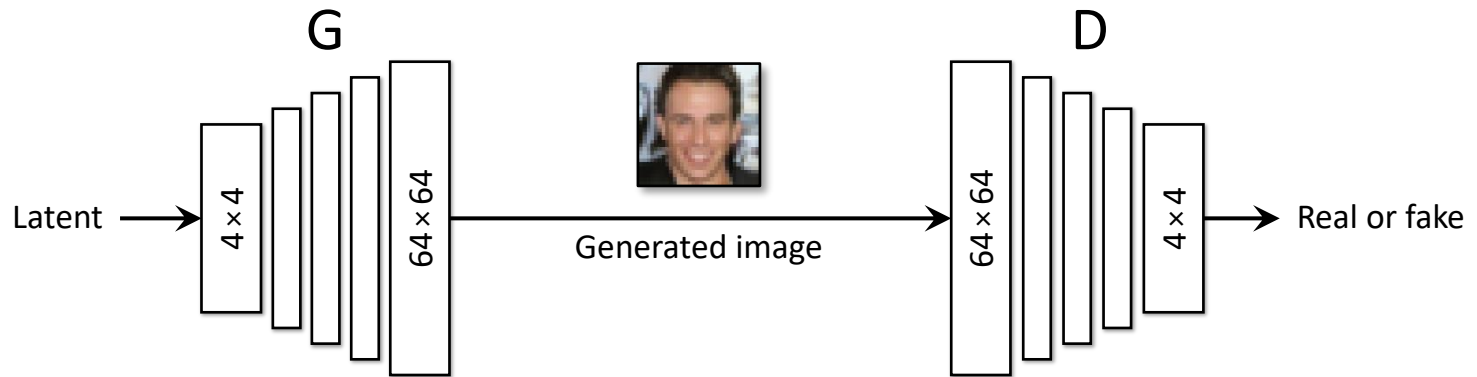
Stagewise generation



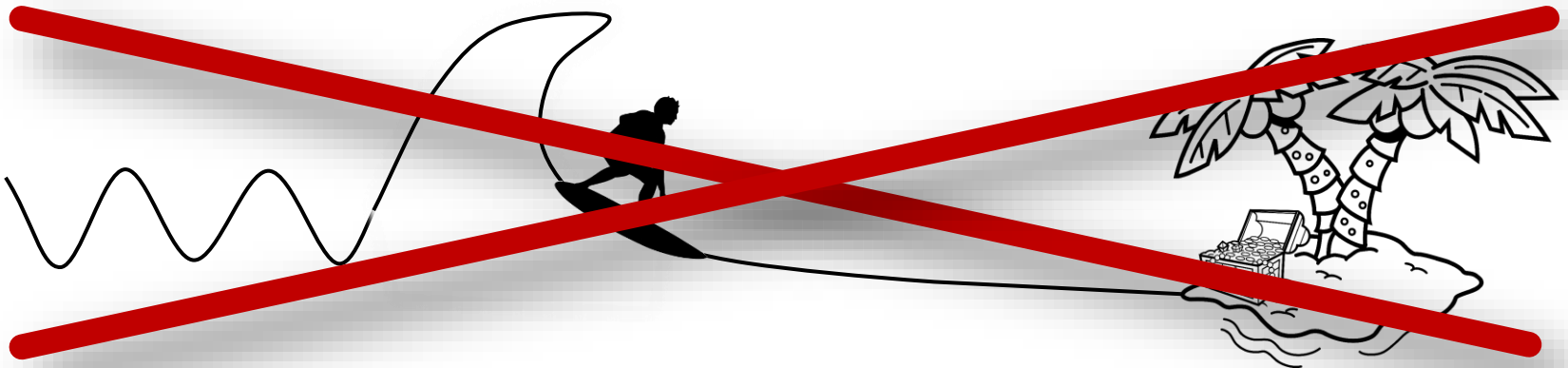
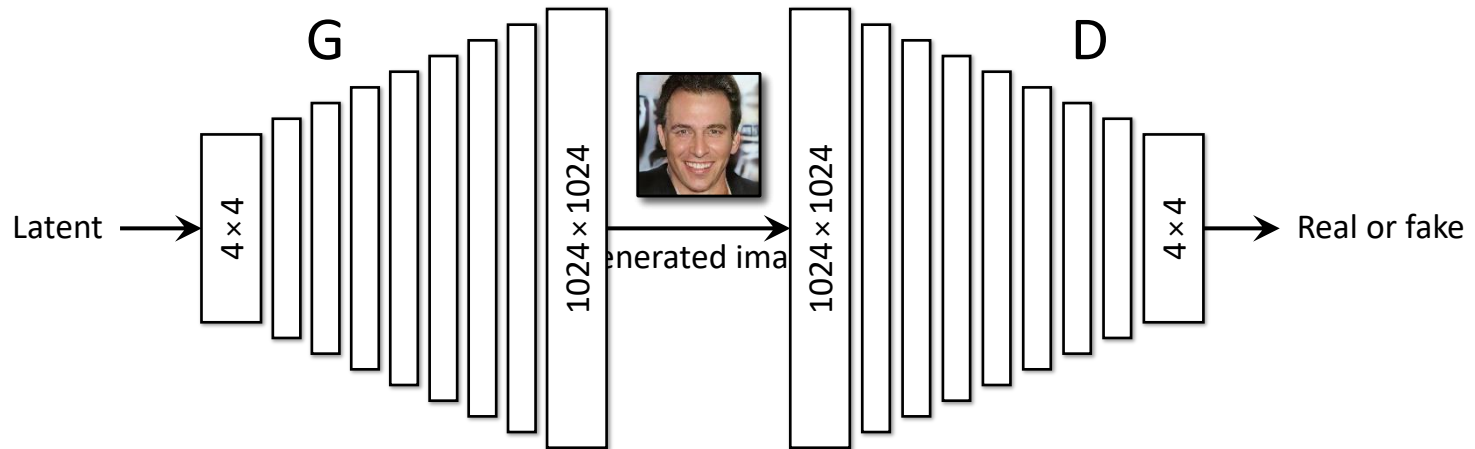
Progressive generation



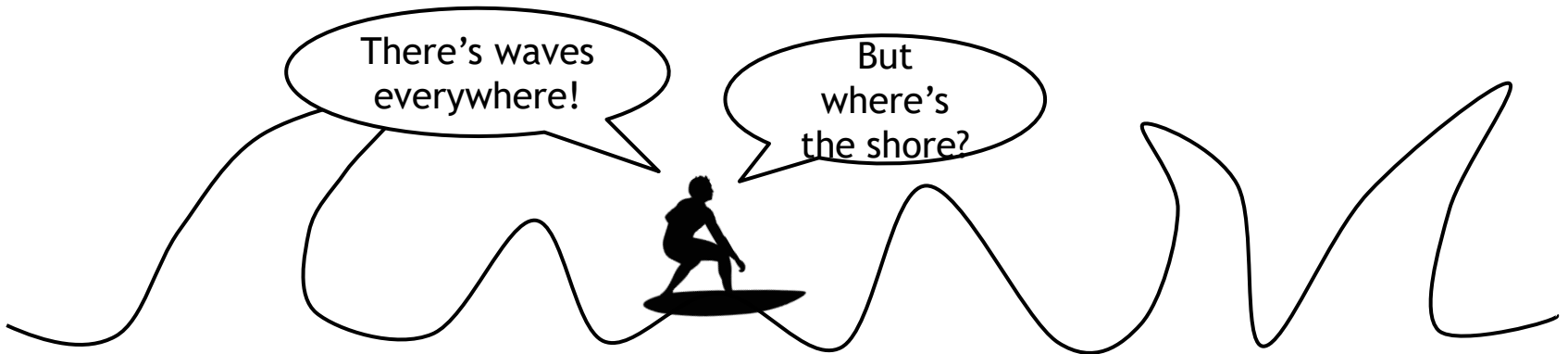
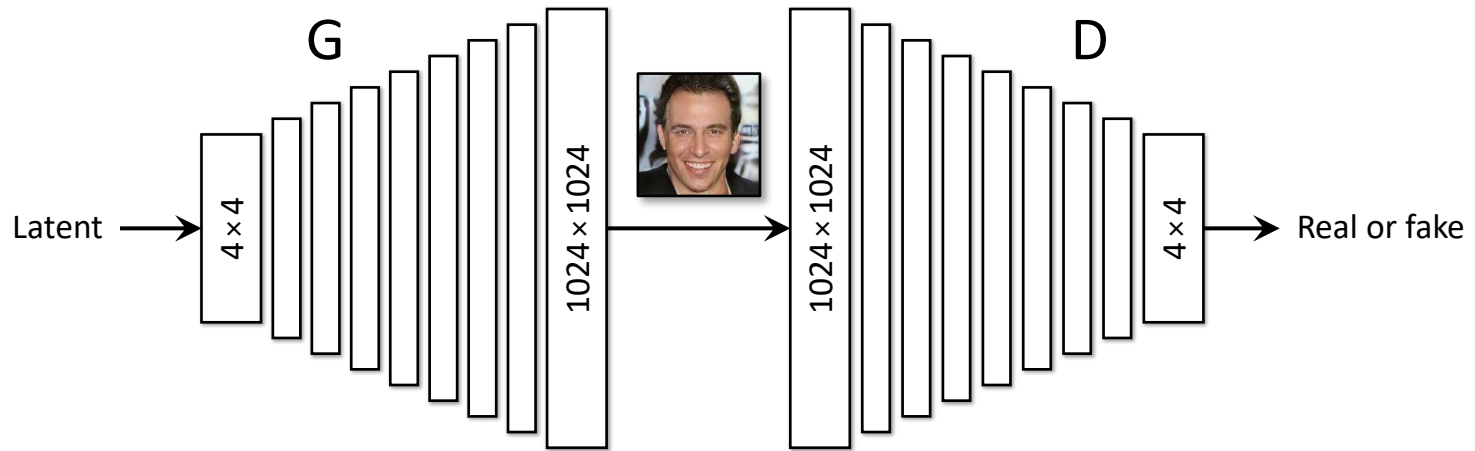
Progressive generation



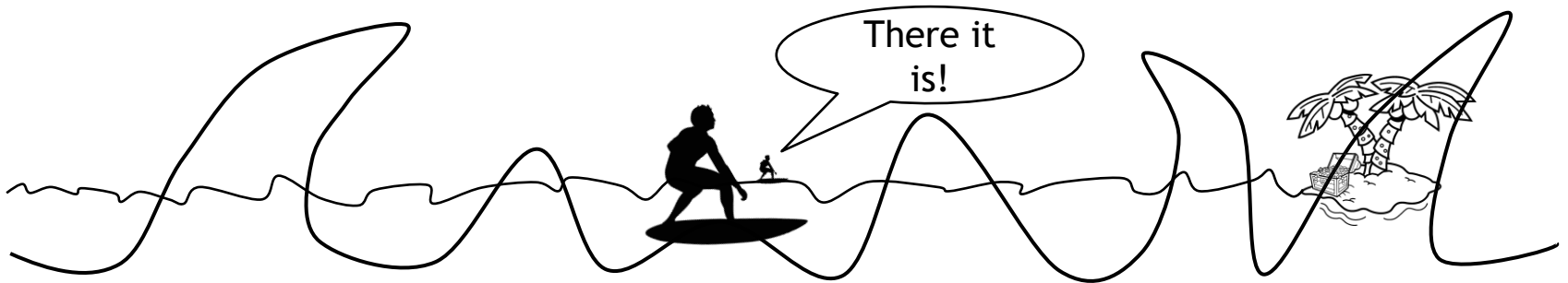
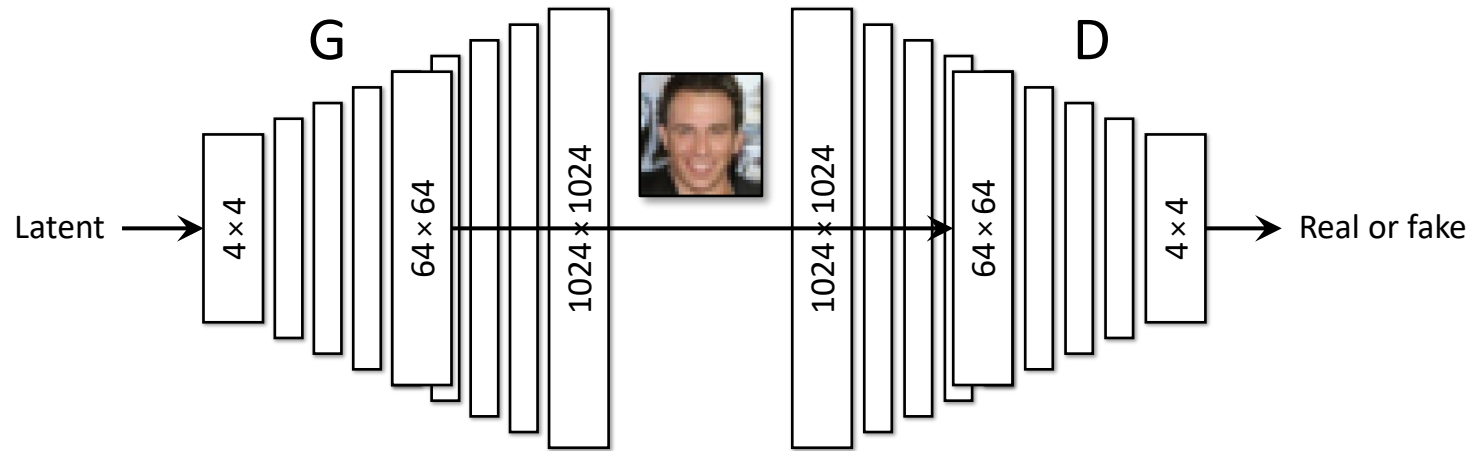
Progressive generation



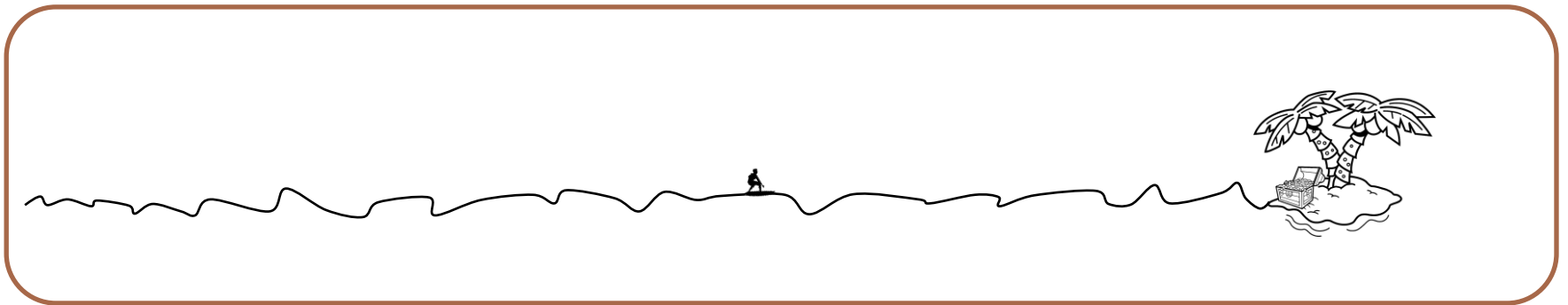
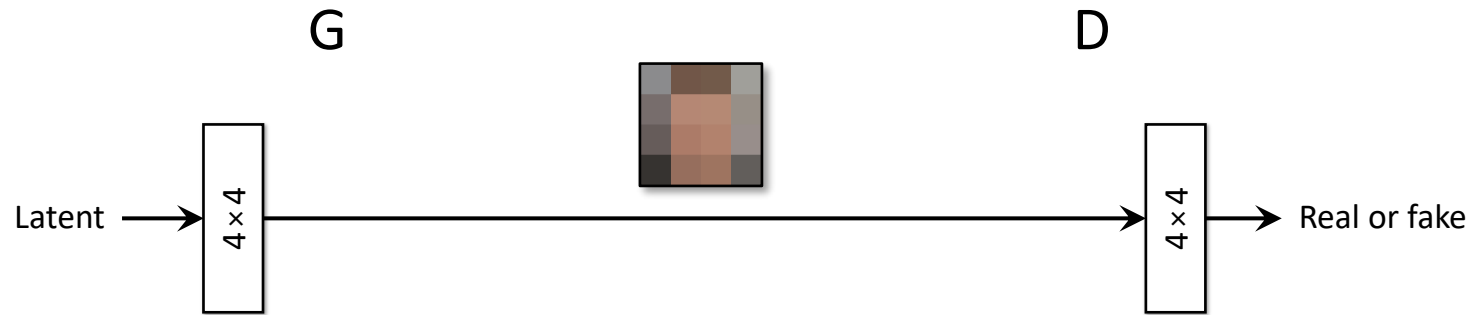
Progressive generation



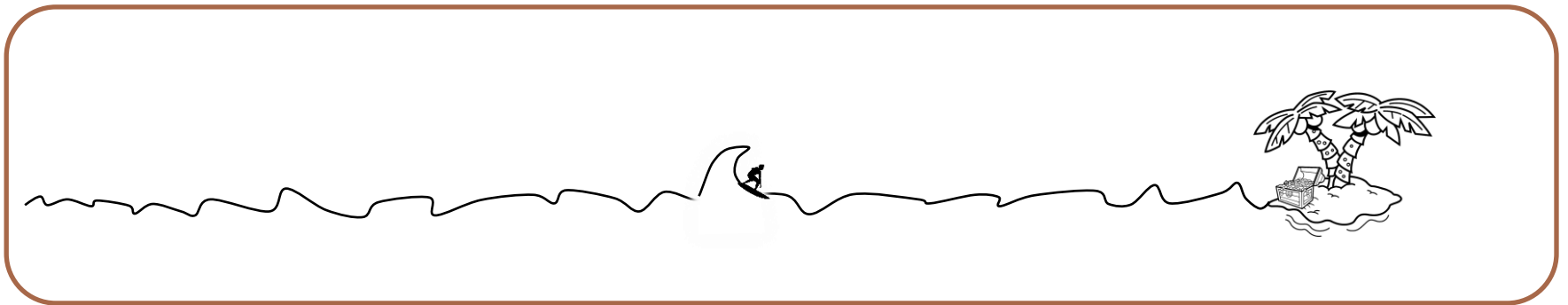
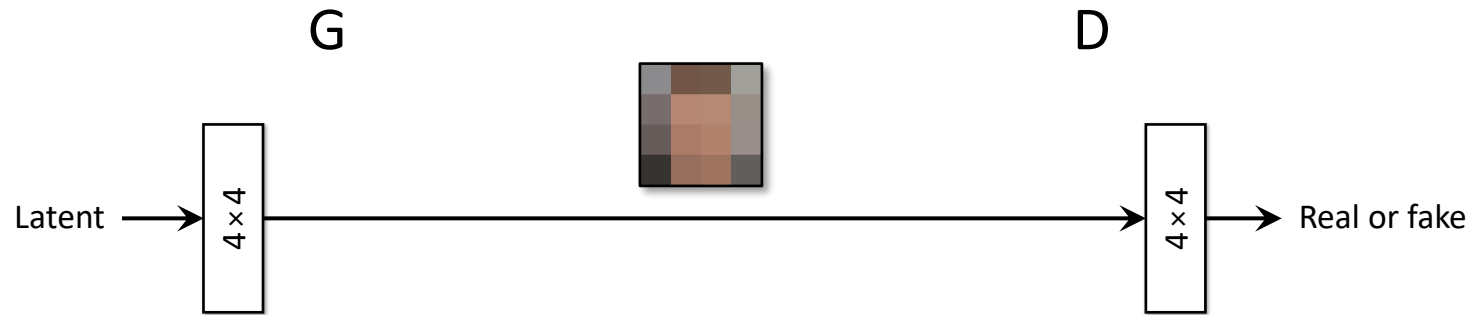
Progressive generation



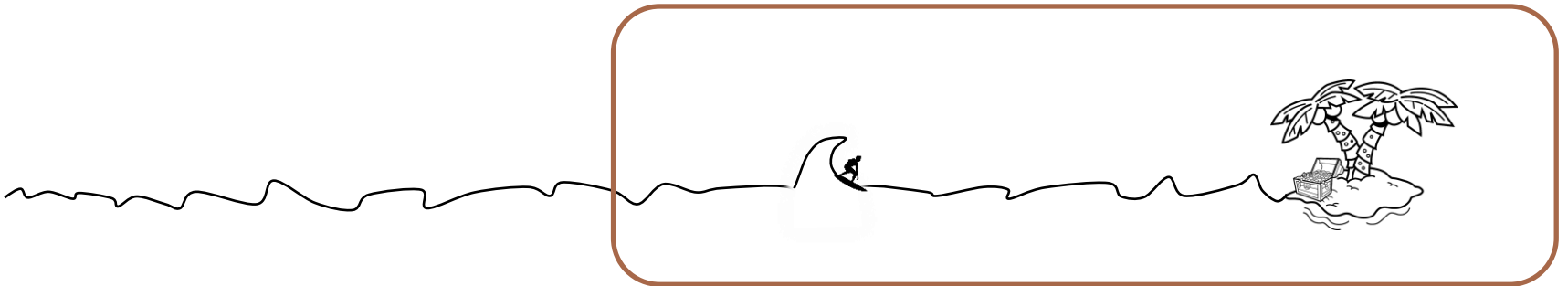
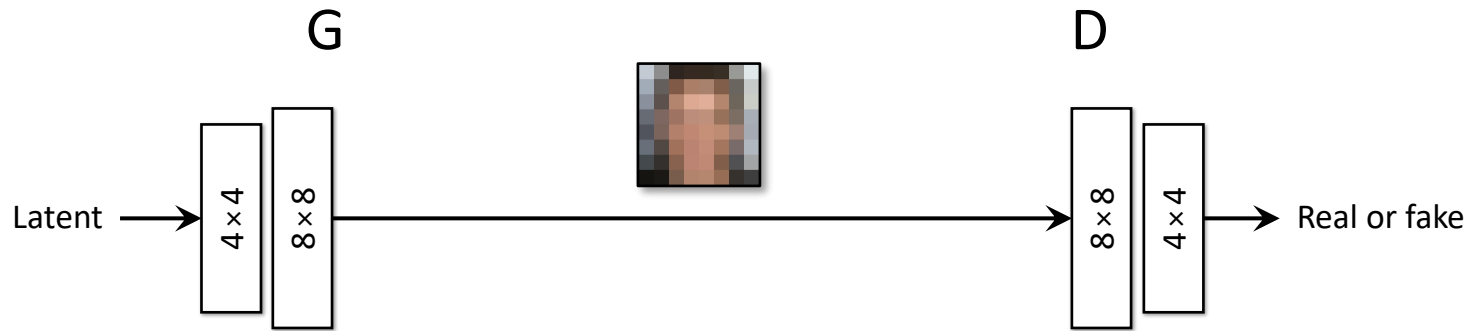
Progressive generation



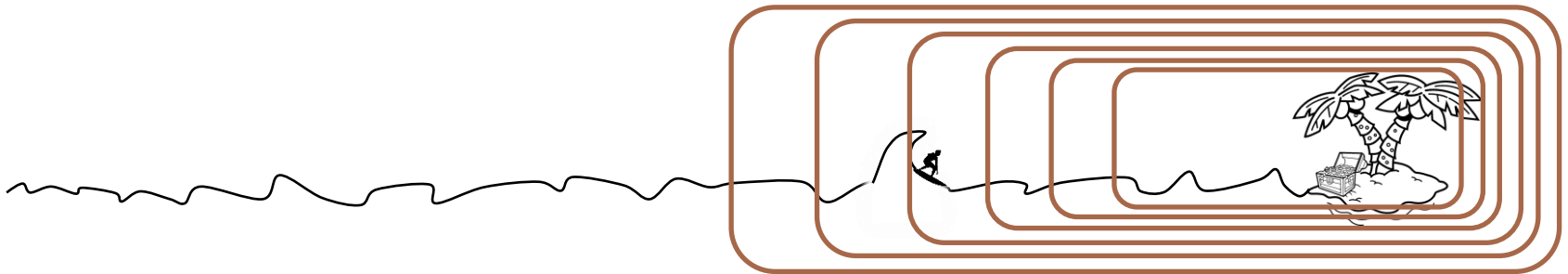
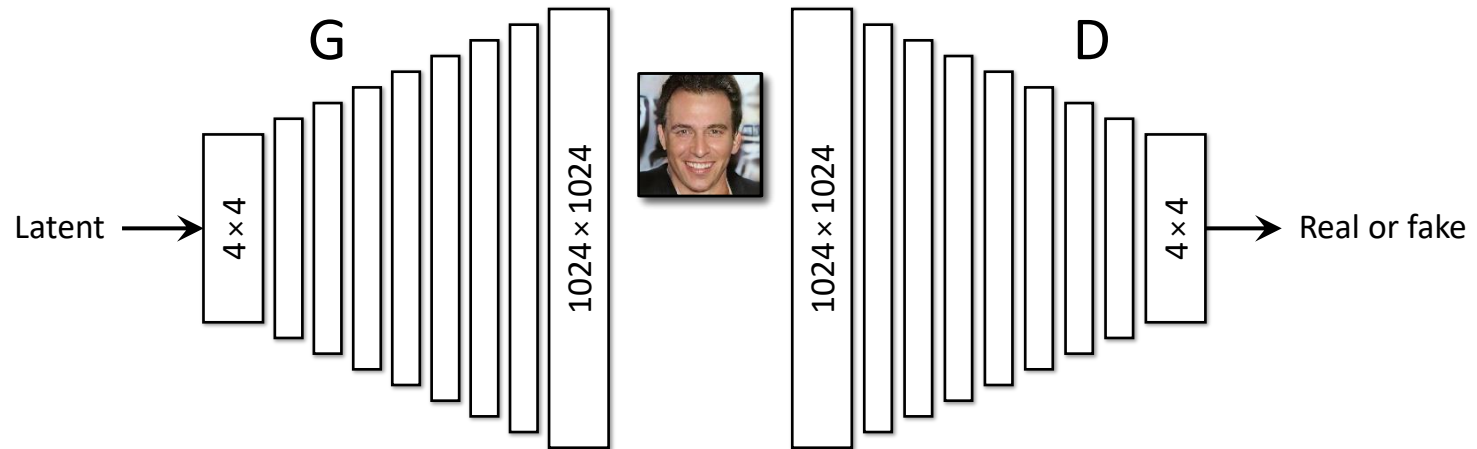
Progressive generation



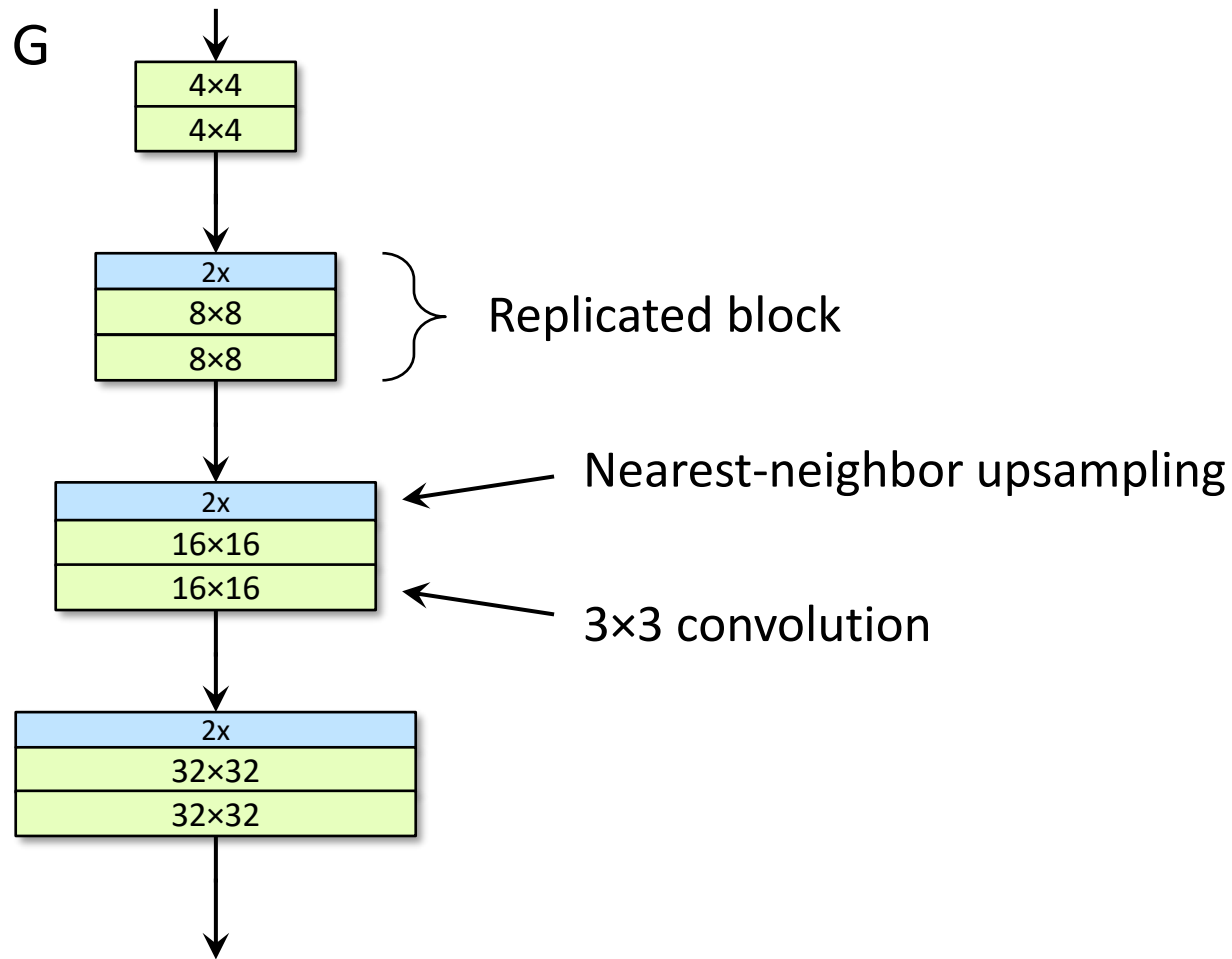
Progressive generation



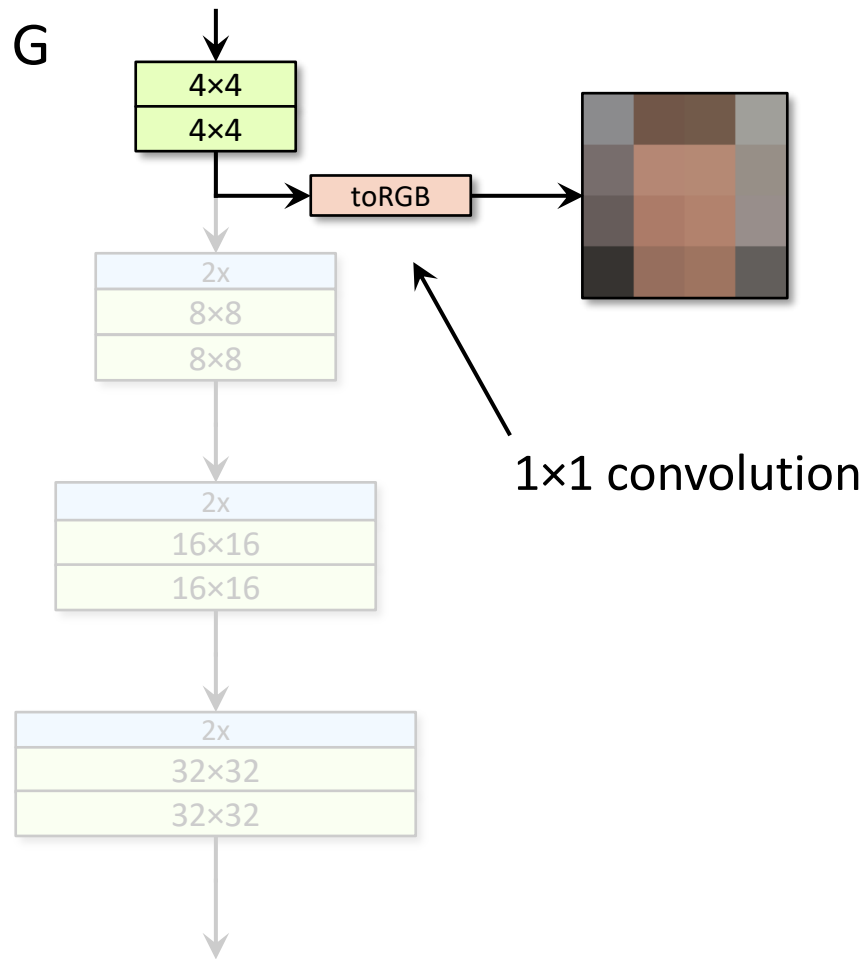
Progressive generation



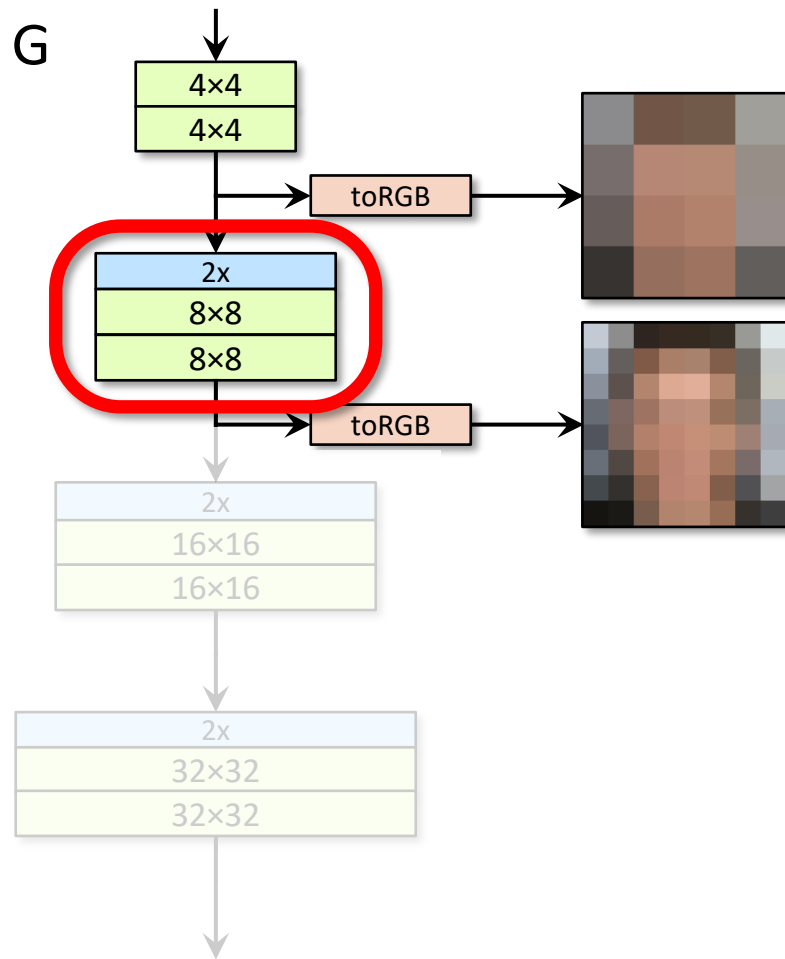
Progressive generation



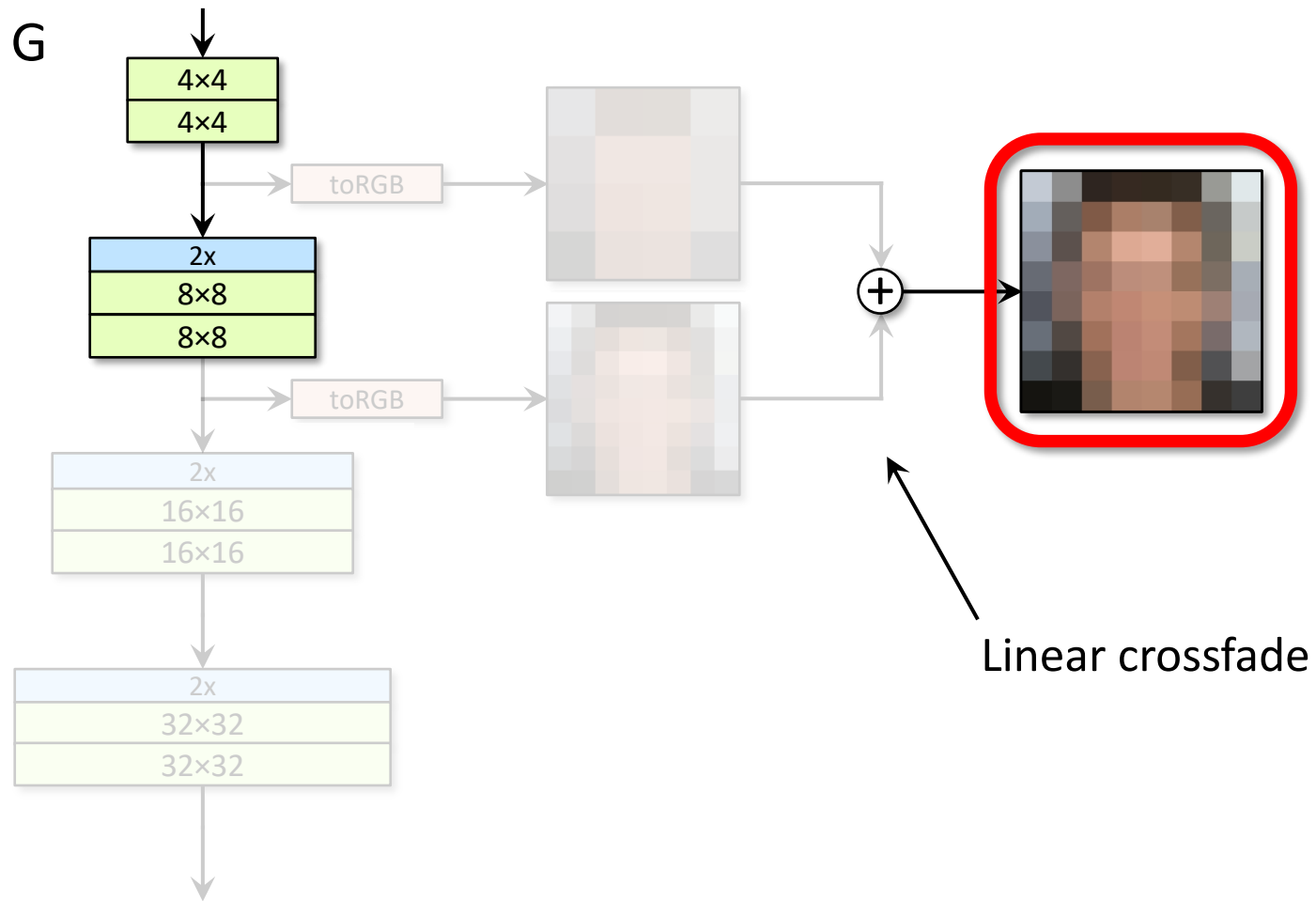
Progressive generation



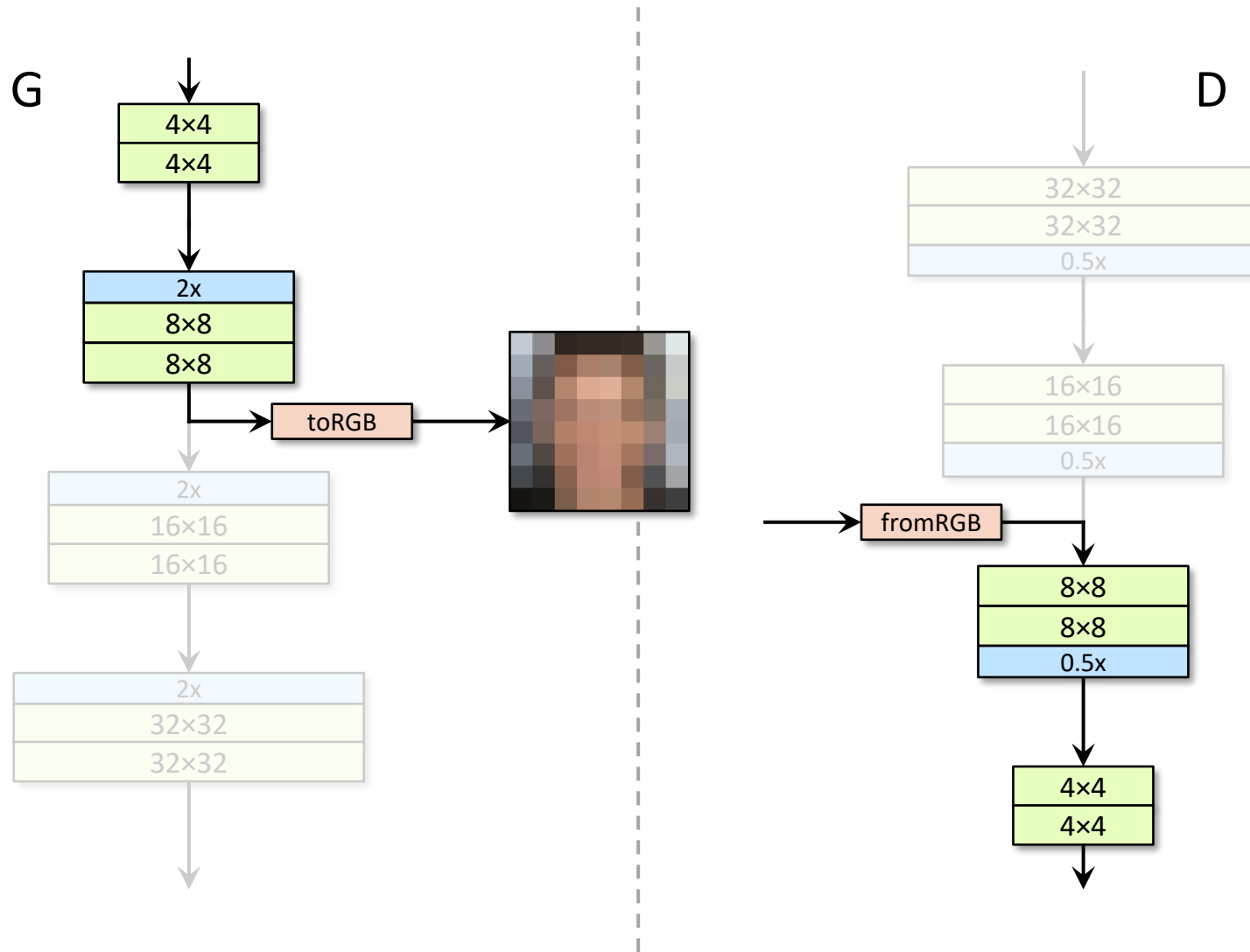
Progressive generation



Progressive generation



Progressive generation



Part V: Ethics (Politics, Privacy, Bias)

- Politics and deep fakes
 - Examples from DARPA
 - Detection methods
- Privacy
 - GANs for anonymity in the cloud
 - What can be reconstructed
- Security
 - Adversarial perturbations
- Bias
 - What models show
 - How to cope
- AI for the people

“Deepfakes”



You can be anyone you want...



Detection methods

FaceForensics++: Learning to Detect Manipulated Facial Images

Andreas Rössler¹ Davide Cozzolino² Luisa Verdoliva² Christian Riess³
Justus Thies¹ Matthias Nießner¹

¹Technical University of Munich ²University Federico II of Naples ³University of Erlangen-Nuremberg

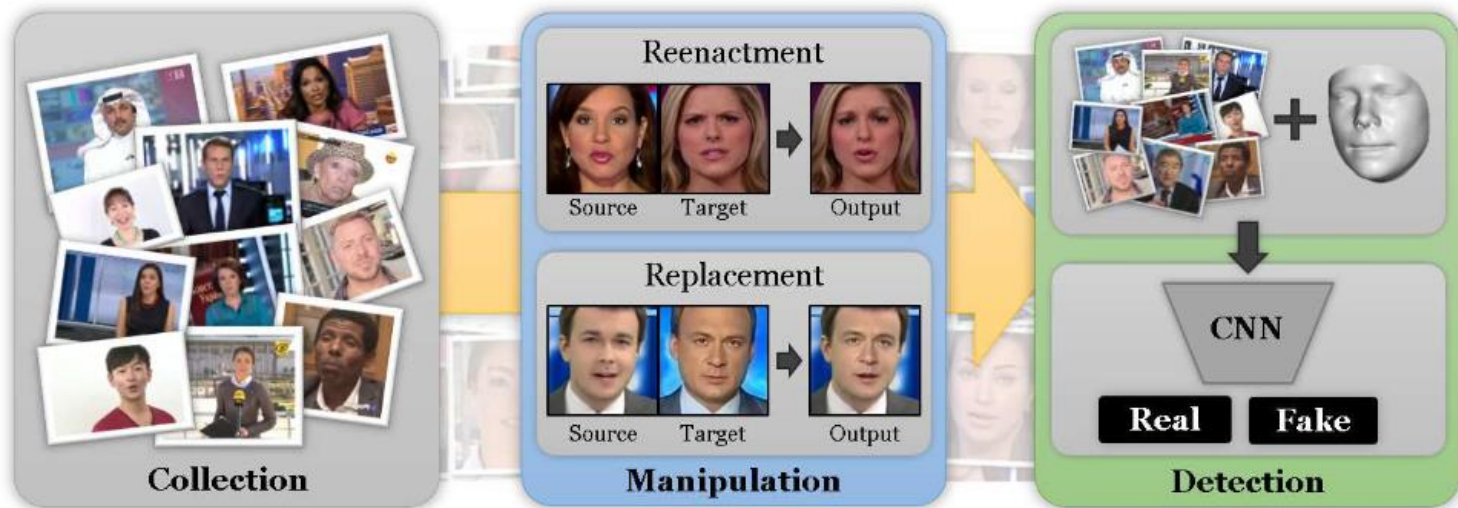


Figure 1: *FaceForensics++* is a dataset of facial forgeries that enables researchers to train deep-learning-based approaches in a supervised fashion. The dataset contains manipulations created with four state-of-the-art methods, namely, *Face2Face*, *FaceSwap*, *DeepFakes*, and *NeuralTextures*.

Detection methods

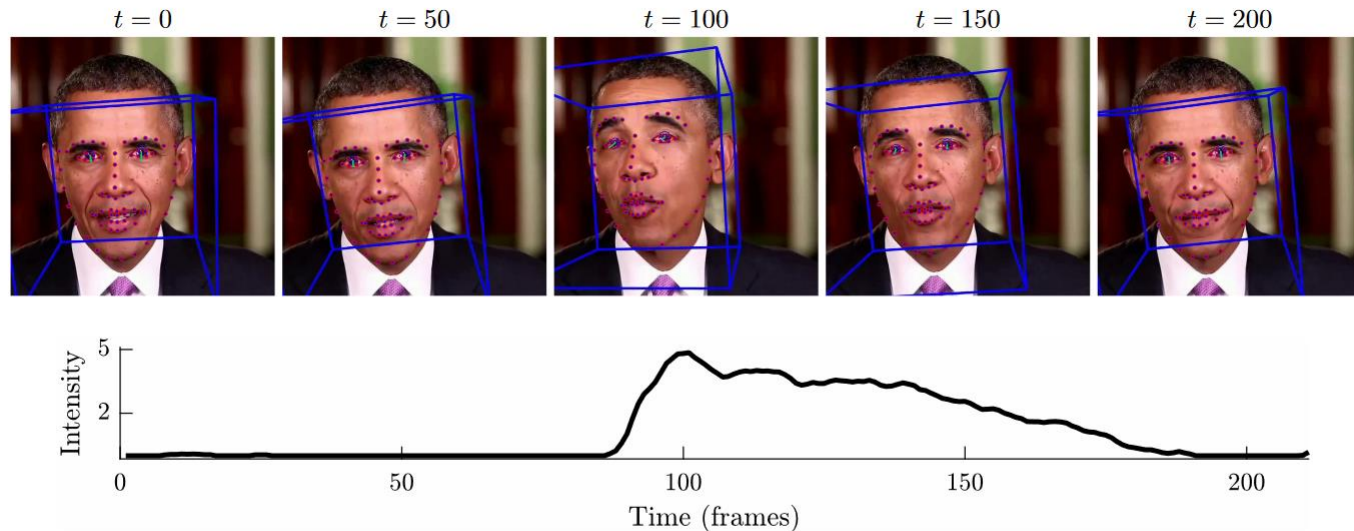
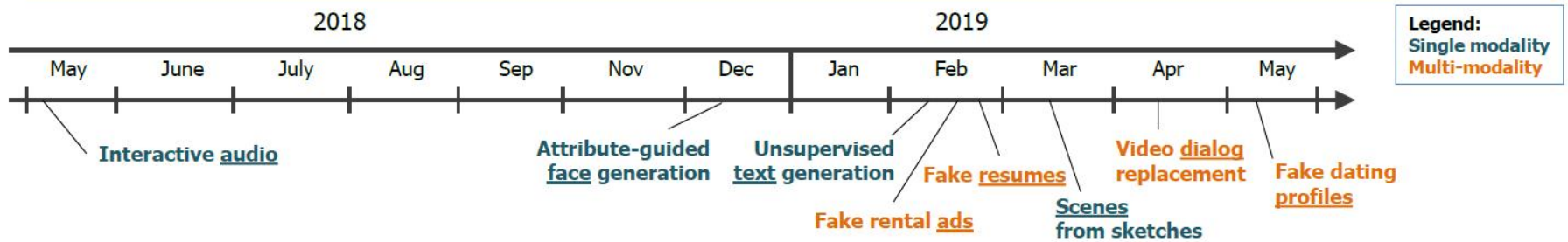


Figure 1. Shown above are five equally spaced frames from a 250-frame clip annotated with the results of OpenFace tracking. Shown below is the intensity of one action unit AU01 (eye brow lift) measured over this video clip.

“We describe a forensic technique that models facial expressions and movements that typify an individual’s speaking pattern. Although not visually apparent, these correlations are often violated by the nature of how deep-fake videos are created and can, therefore, be used for authentication.



Incredible Pace of Synthetic Media Generation



ENTIRE GUEST SUITE

Luxury Condo 3 Bed + 3 Bath Port Melbourne

○ 8 guests ○ 3 bedrooms ○ 4beds ○ 2 baths

Bathroom (with seating for 2 more people), basin and eclectic French garden and kitchen. 24/7 carpeted charc. Laundrymemberly : More balcony – Garden – Metro, Liverpool Street (15 min walk) Walking distance to Wyckofferdon

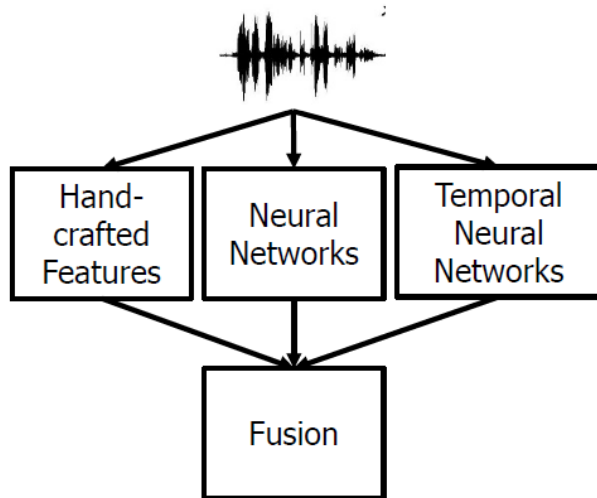


Anne



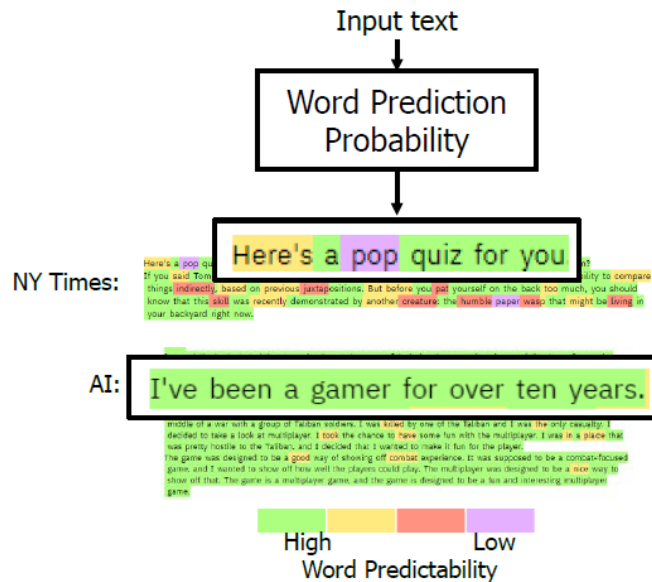
State of the Art Detection is Statistically Based, Narrow, or Both

Audio: ASVspoof



(Lavrentyeva et al. 2017)

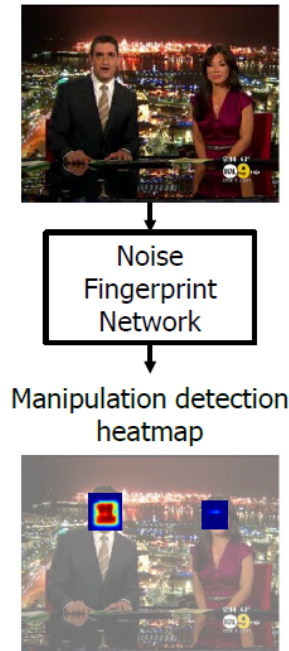
Text: GLTR



AI methods choose more predictable next-words than humans, statistically

(MIT-IBM Watson AI lab, HarvardNLP 2019)

Image/Video: DARPA MediFor



(MediFor: USC/ISI, Univ. Naples 2019)



Expected Threats

Targeted Personal Attacks

Peele 2017



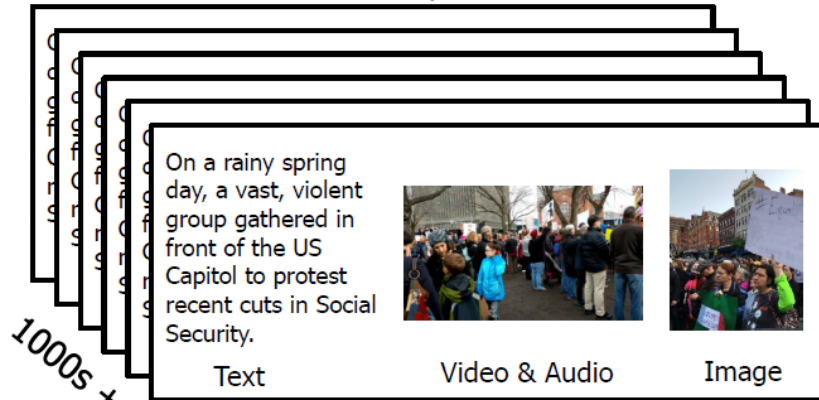
AI Multimedia Algorithms



Highly realistic video

Generated Events at Scale

AI Multimedia Algorithms



Believable fake events

Ransomfake concept: Identity Attacks as a service (IAaaS)

Bricman 2019

AI Multimedia Algorithms



Forged Evidence



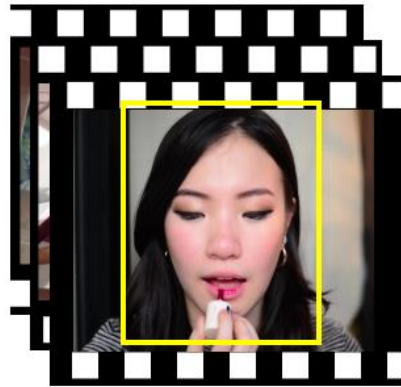
Identity Attacks

Examples of possible fakes:

- Substance abuse
- Foreign contacts
- Compromising events
- Social media postings
- Financial inconsistencies
- Forging identity

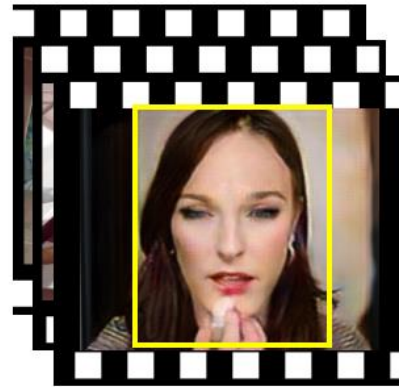
Undermines key individuals and organizations

GANs for Privacy (Action Detection)



Identity: Jessica

Action: Applying Make-up on Lips



Identity: ???

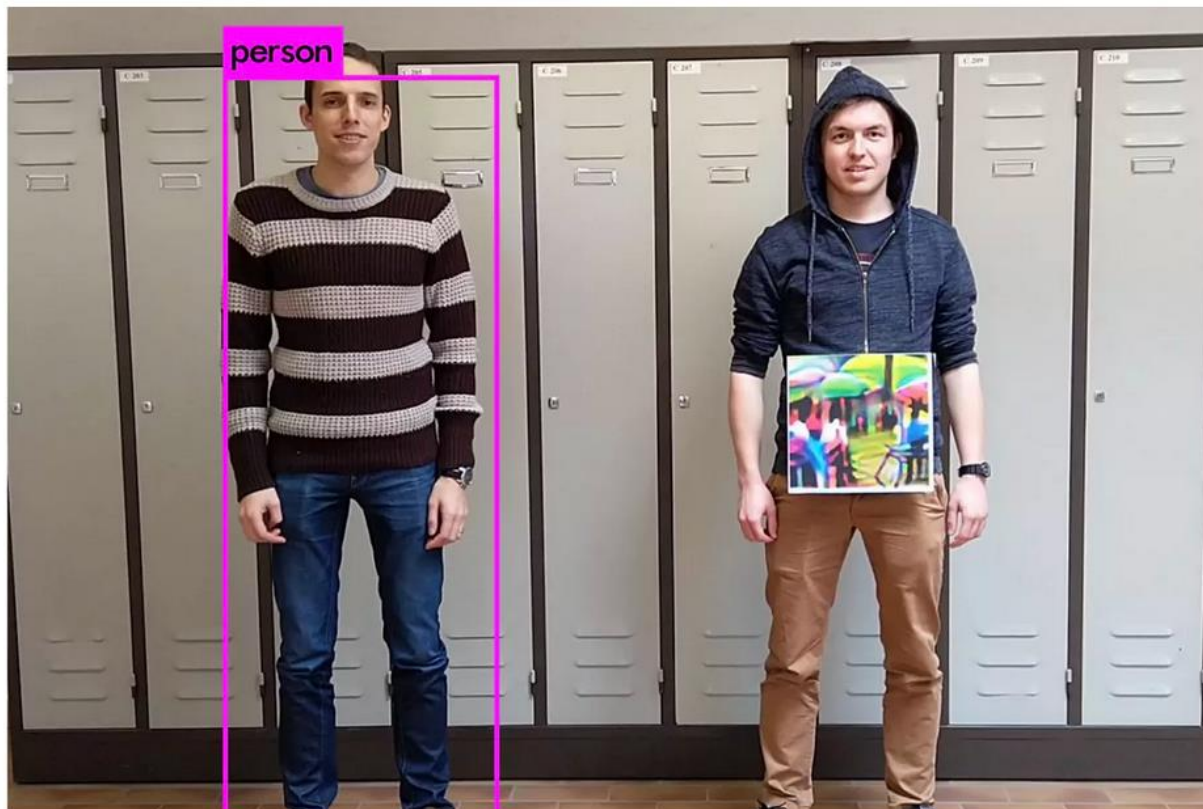
Action: Applying Make-up on Lips



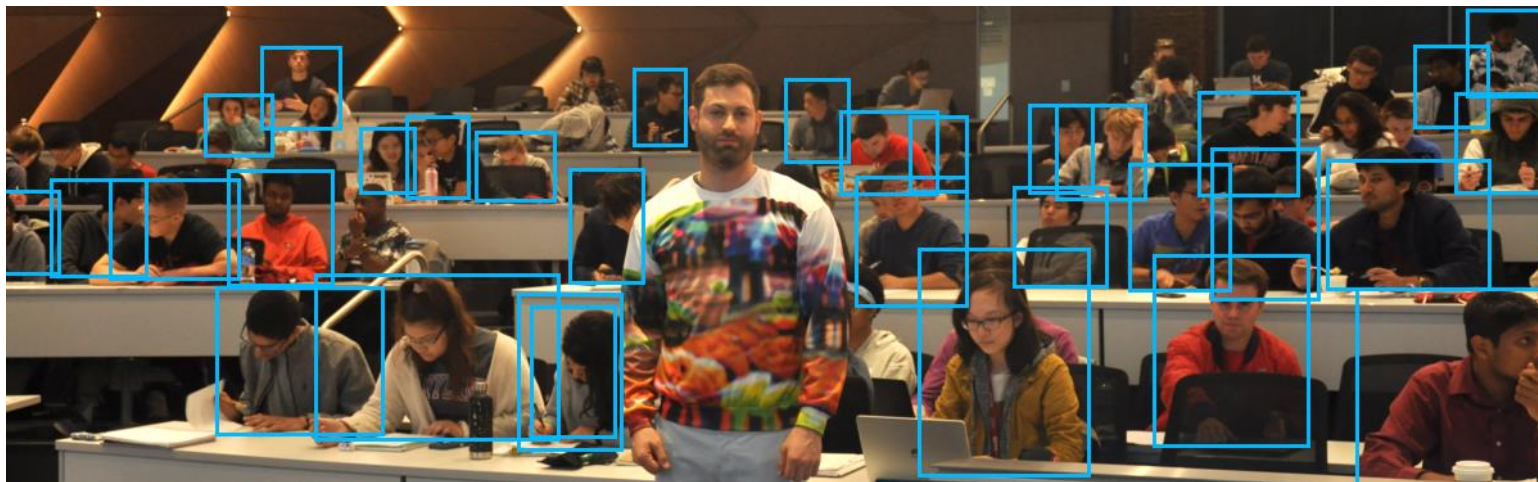
Adversarial Attacks



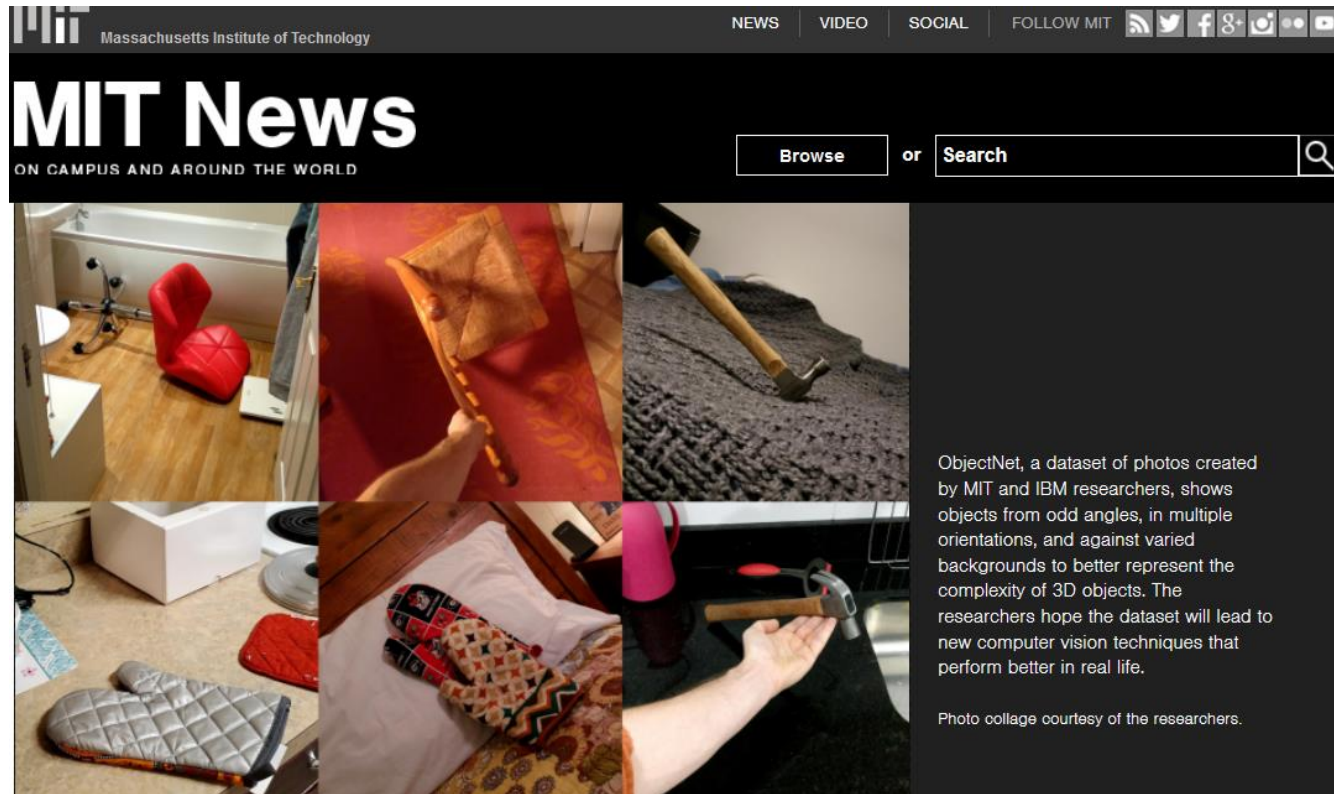
Adversarial Attacks



Adversarial Attacks



Adversarial Attacks



This object-recognition dataset stumped the world's best computer vision models

Objects are posed in varied positions and shot at odd angles to spur new AI techniques.



Conversation AI

Bias in the Vision and Language of Artificial Intelligence



Margaret Mitchell
Senior Research Scientist
Google AI



**Andrew
Zaldivar**



Me



**Simone
Wu**



**Parker
Barnes**



**Lucy
Vasserman**



**Ben
Hutchinson**



**Elena
Spitzer**



**Deb
Raji**



Timnit Gebru



**Adrian
Benton**



**Brian
Zhang**



**Dirk
Hovy**



**Josh
Lovejoy**



**Alex
Beutel**



**Blake
Lemoine**



**Hee Jung
Ryu**



**Hartwig
Adam**



**Blaise
Agüera y
Arcas**

What do you see?

- Bananas
- Stickers
- Dole Bananas
- Bananas at a store
- Bananas on shelves
- Bunches of bananas
- Bananas with stickers on them
- Bunches of bananas with stickers on them on shelves in a store

...We don't tend to say

Yellow Bananas



What do you see?

Green Bananas

Unripe Bananas



What do you see?

Ripe Bananas

Bananas with **spots**

Bananas good for **banana bread**



What do you see?

Yellow Bananas

Yellow is prototypical for
bananas



Prototype Theory

One purpose of categorization is to **reduce the infinite differences** among stimuli **to** behaviourally and **cognitively usable proportions**

There may be some central, prototypical notions of items that arise from stored typical properties for an object category (Rosch, 1975)

May also store exemplars (Wu & Barsalou, 2009)



Fruit



Bananas
“Basic Level”



**Unripe Bananas,
Cavendish Bananas**

A man and his son are in a terrible accident and are rushed to the hospital in critical care.

The doctor looks at the boy and exclaims "I can't operate on this boy, he's my son!"

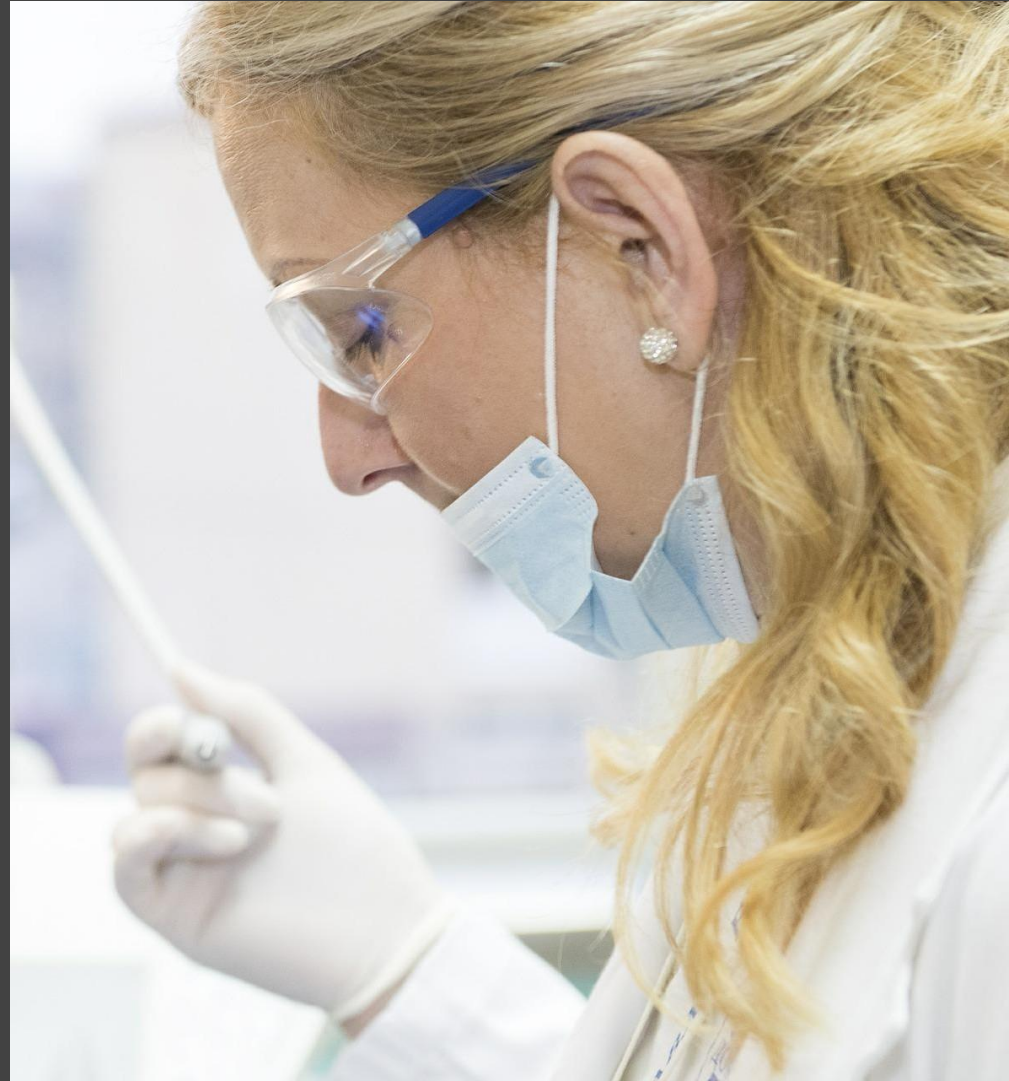
How could this be?



A man and his son are in a terrible accident and are rushed to the hospital in critical care.

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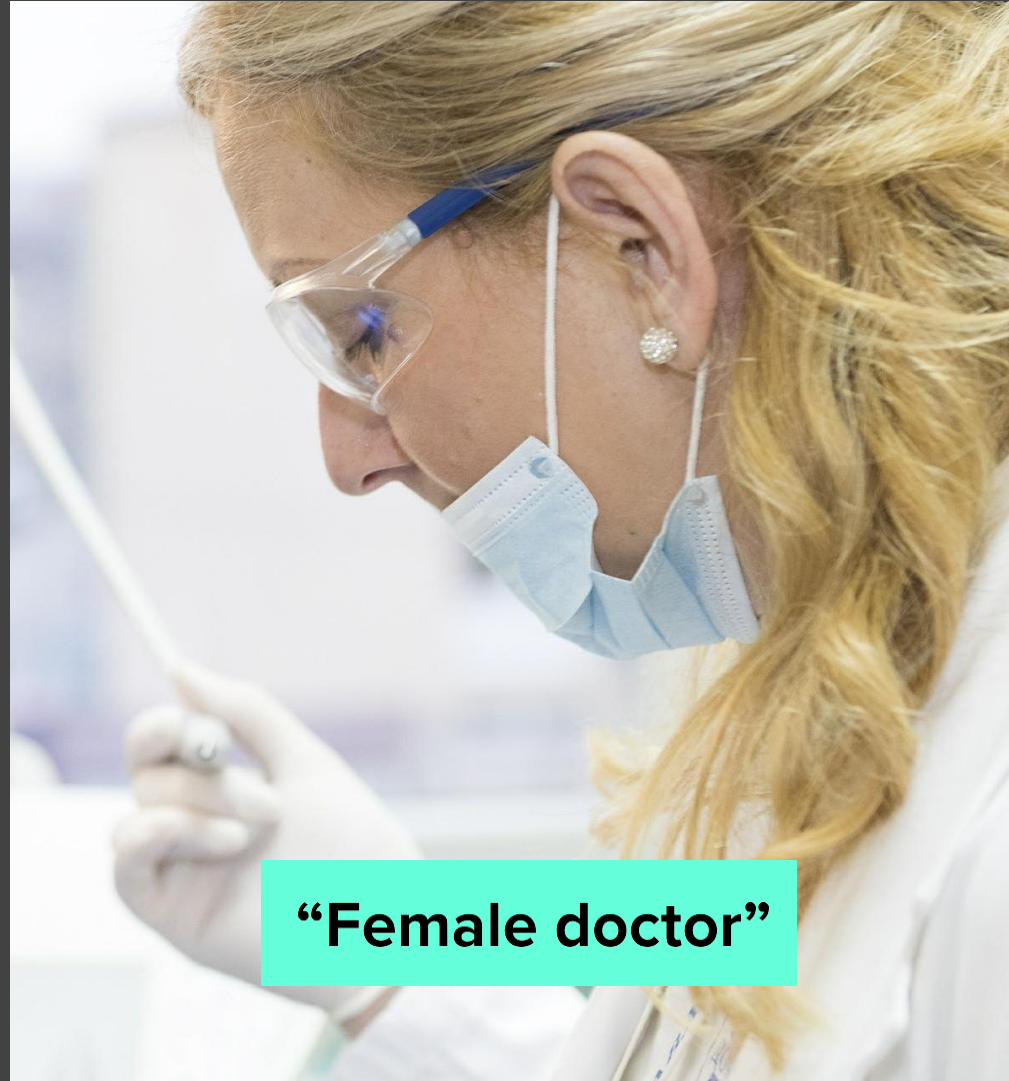
How could this be?



A man and his son are in a terrible accident and are rushed to the hospital in critical care.

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How could this be?



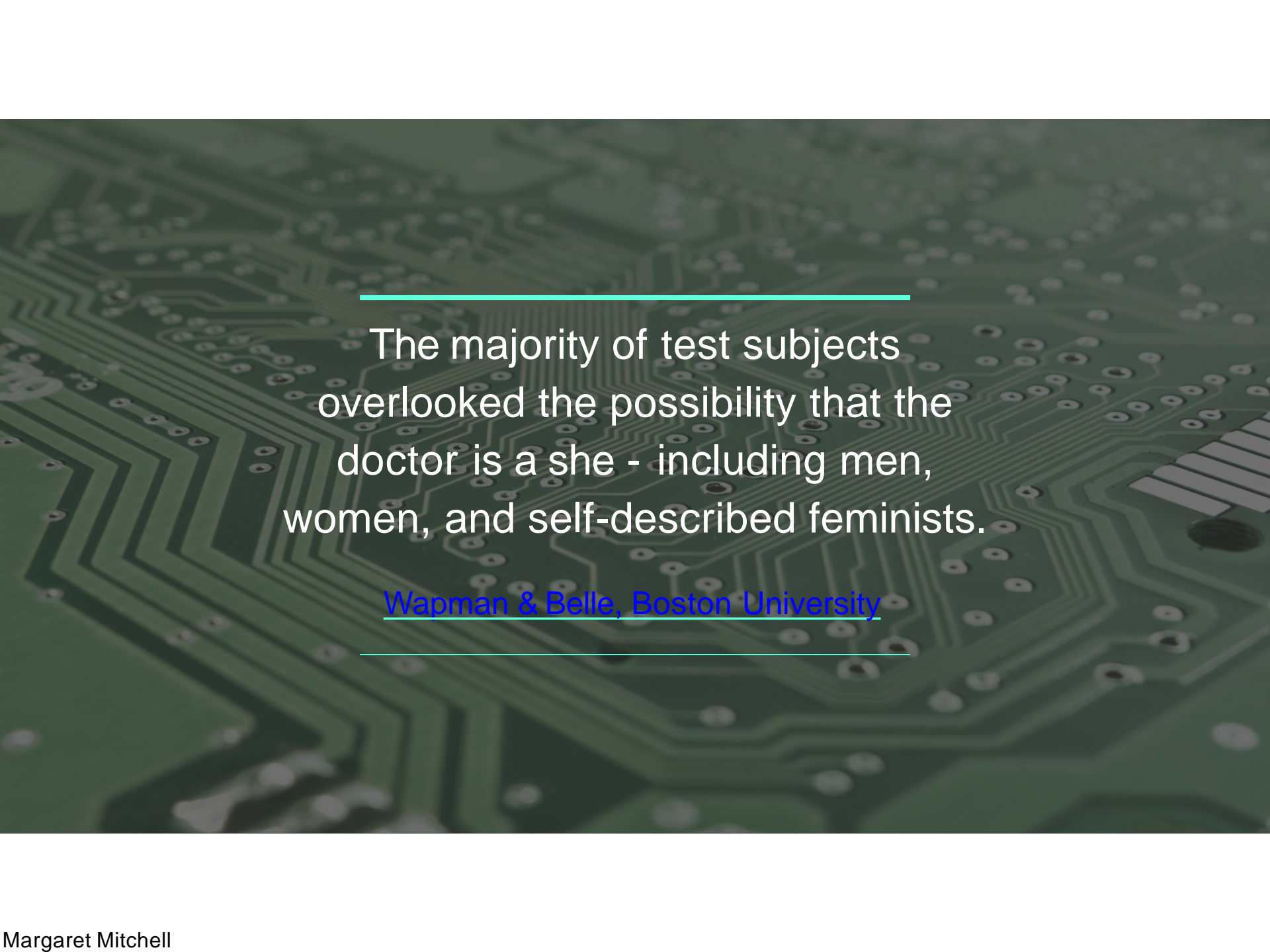
“Female doctor”



“Doctor”



“Female doctor”



The majority of test subjects
overlooked the possibility that the
doctor is a she - including men,
women, and self-described feminists.

[Wapman & Belle, Boston University](#)



Human Reporting Bias

The **frequency** with which **people write** about actions, outcomes, or properties is **not a reflection of real-world frequencies** or the degree to which a property is characteristic of a class of individuals

Bias in Language

Extreme <i>she</i> occupations		
1. homemaker	2. nurse	3. receptionist
4. librarian	5. socialite	6. hairdresser
7. nanny	8. bookkeeper	9. stylist
10. housekeeper	11. interior designer	12. guidance counselor
Extreme <i>he</i> occupations		
1. maestro	2. skipper	3. protege
4. philosopher	5. captain	6. architect
7. financier	8. warrior	9. broadcaster
10. magician	11. fighter pilot	12. boss

Figure 1: The most extreme occupations as projected on to the *she*–*he* gender direction on g2vNEWS. Occupations such as *businesswoman*, where gender is suggested by the orthography, were excluded.

Gender stereotype <i>she-he</i> analogies.		
sewing-carpentry	register-nurse-physician	housewife-shopkeeper
nurse-surgeon	interior designer-architect	softball-baseball
blond-burly	feminism-conservatism	cosmetics-pharmaceuticals
giggle-chuckle	vocalist-guitarist	petite-lanky
sassy-snappy	diva-superstar	charming-affable
volleyball-football	cupcakes-pizzas	hairdresser-barber
Gender appropriate <i>she-he</i> analogies.		
queen-king	sister-brother	mother-father
waitress-waiter	ovarian cancer-prostate cancer	convent-monastery

Figure 2: **Analogy examples.** Examples of automatically generated analogies for the pair *she-he* using the procedure described in text. For example, the first analogy is interpreted as *she:sewing :: he:carpentry* in the original w2vNEWS embedding. Each automatically generated analogy is evaluated by 10 crowd-workers as to whether or not it reflects gender stereotype. Top: illustrative gender stereotypic analogies automatically generated from w2vNEWS, as rated by at least 5 of the 10 crowd-workers. Bottom: illustrative generated gender-appropriate analogies.

Bias in Language

he (158)

she (42)



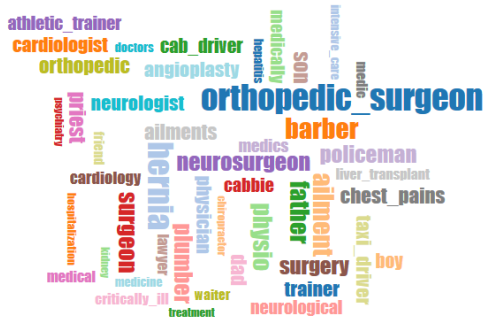
Adjectives

Or type your own words...

doctor

he (47)

she (153)



Bias in Vision



Fig. 1: Examples where our proposed model (Equalizer) corrects bias in image captions. The overlaid heatmap indicates which image regions are most important for predicting the gender word. On the left, the baseline predicts gender incorrectly, presumably because it looks at the laptop (not the person). On the right, the baseline predicts the gender correctly but it does not look at the person when predicting gender and is thus not acceptable. In contrast, our model predicts the correct gender word and correctly considers the person when predicting gender.

Bias in Vision

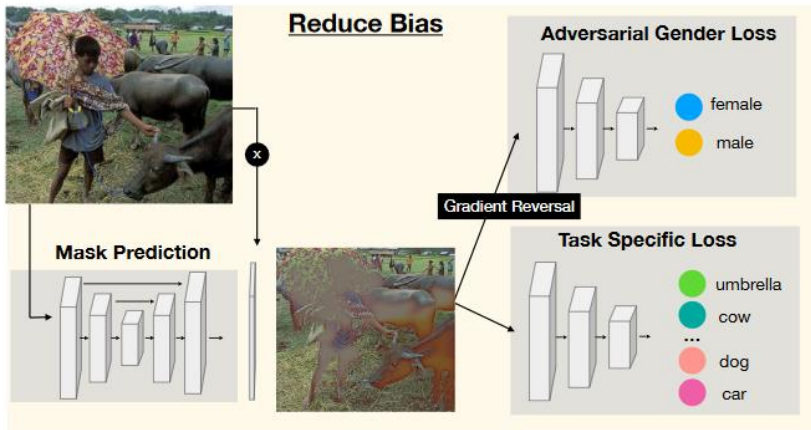
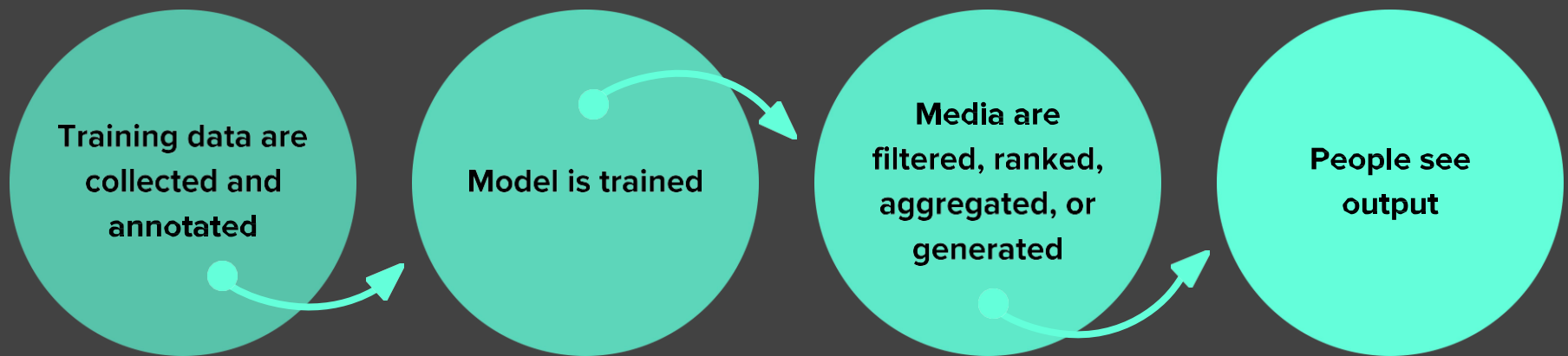


Figure 2. In our bias mitigation approach, we learn a task-specific model with an adversarial loss that removes features corresponding to a protected variable from an intermediate representation in the model – here we illustrate our pipeline to visualize the removal of features in image space through an auto-encoder network.



Figure 3. Images after adversarial removal of gender when applied to the image space. The objective was to preserve information about objects and verbs, e.g. scissors, banana (COCO) or vaulting, lifting (imSitu) while removing gender correlated features.





Biases in Data

Biases in Data

Selection Bias: Selection does not reflect a random sample



CREDIT

© 2013–2016 Michael Yoshitaka Erlewine and Hadas Kotek

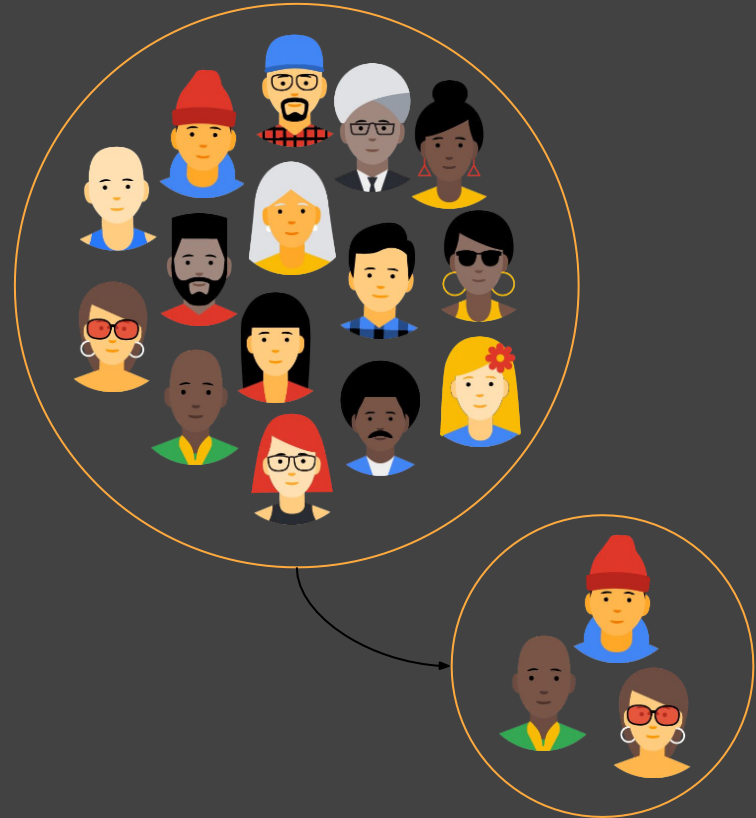
Biases in Data

Out-group homogeneity bias: Tendency to see outgroup members as more alike than ingroup members



Biases in Data → Biased Data Representation

It's possible that you have an appropriate amount of data for every group you can think of but that some groups are represented less positively than others.



Biases in Data → Biased Labels

Annotations in your dataset will reflect the worldviews of your annotators.



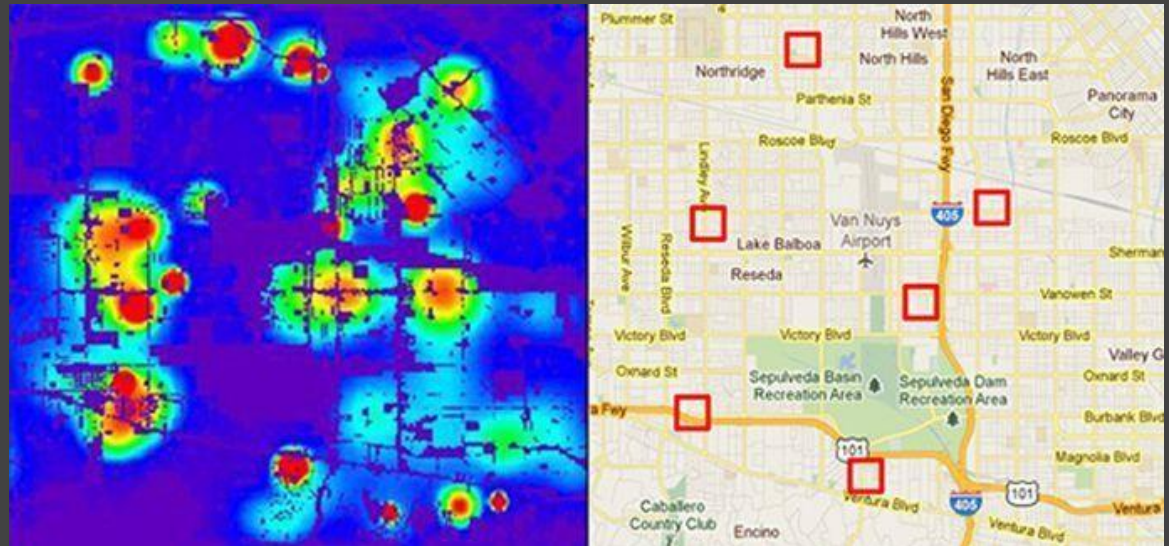
<https://ai.googleblog.com/2018/09/introducing-inclusive-images-competition.html>



Predicting Future Criminal Behavior

Predicting Policing

- Algorithms identify potential crime hot-spots
- Based on where crime is previously reported, not where it is known to have occurred
- Predicts future events from past



CREDIT

[Smithsonian. Artificial Intelligence Is Now Used to Predict Crime. But Is It Biased? 2018](#)

Predicting Sentencing

- Prater (who is white) rated **low risk** after shoplifting, despite two armed robberies; one attempted armed robbery.
- Borden (who is black) rated **high risk** after she and a friend took (but returned before police arrived) a bike and scooter sitting outside.
- Two years later, Borden has not been charged with any new crimes. Prater serving 8-year prison term for grand theft.

CREDIT

[ProPublica. Northpointe: Risk in Criminal Sentencing. 2016.](#)

Predicting Criminality

Israeli startup, [Faception](#)

*“Faception is first-to-technology and first-to-market with proprietary computer vision and machine learning technology for profiling people and **revealing their personality based only on their facial image.**”*

Offering specialized engines for recognizing “High IQ”, “White-Collar Offender”, “Pedophile”, and “Terrorist” from a face image.

Main clients are in homeland security and public safety.

Predicting Criminality

“[Automated Inference on Criminality using Face Images](#)” Wu and Zhang, 2016.
arXiv

1,856 closely cropped images of faces;
Includes “wanted suspect” ID pictures
from specific regions.

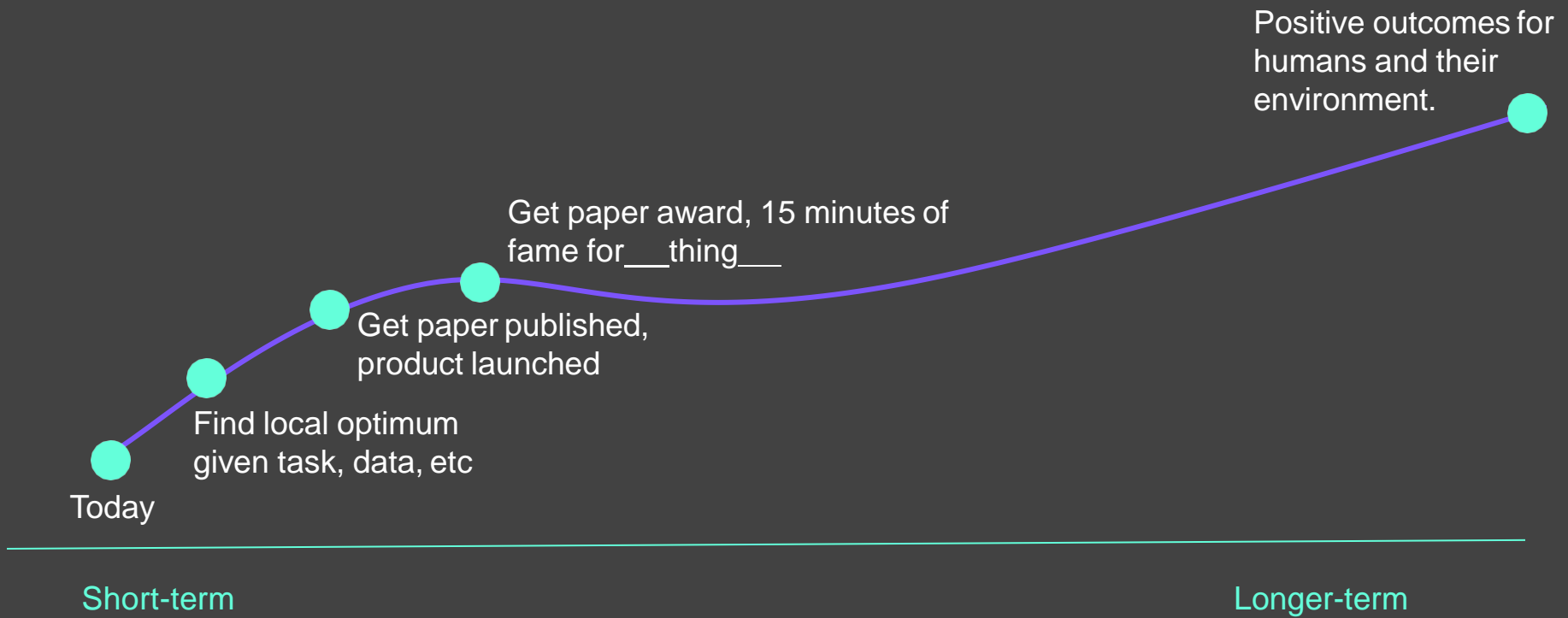
*“[...] angle θ from nose tip to two
mouth corners is on average 19.6%
smaller for criminals than for
non-criminals ...”*

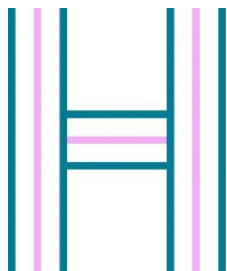


See our longer piece on Medium, “[Physiognomy's New Clothes](#)”



It's up to **us** to influence how AI
evolves.

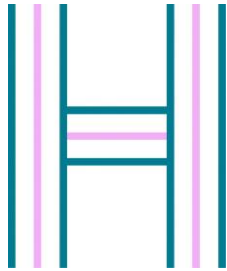




augment

human

intellect.



human

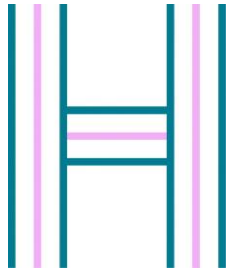


augment

.



AI must incorporate
more of the
versatility, nuance,
and depth of the
human **intellect**.



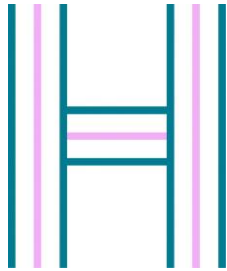
human



AI should **augment**
human skills, not
replace them.



AI must incorporate
more of the
versatility, nuance,
and depth of the
human **intellect**.



The development of AI should be guided by a concern for its impact on **human** society.



AI should **augment** human skills, not replace them.



AI must incorporate more of the versatility, nuance, and depth of the human **intellect**.



AI must incorporate more of the
versatility, nuance, and depth of the
human **intellect**.

IMAGENET

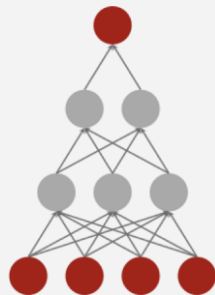
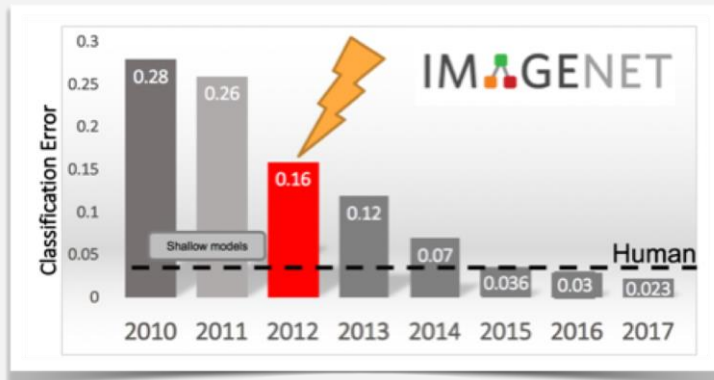
Dog



German
Shepherd

J. Deng, W. Dong, R. Socher, L.-J. Li, K. Li & L. Fei-Fei. *CVPR*, 2009.

From academic backwater to center of attention in 5 years



The Deep Learning Revolution

What happened?

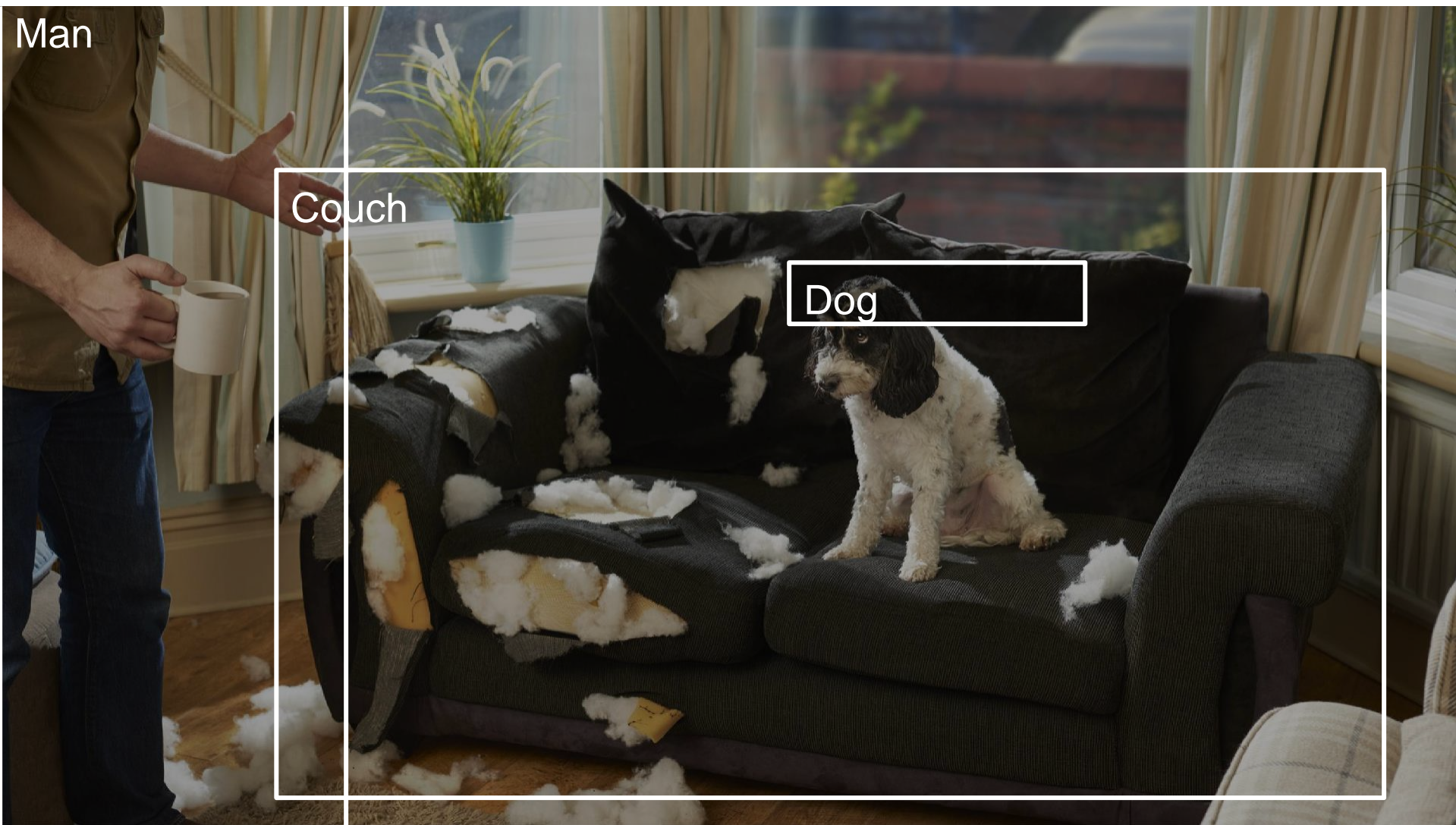


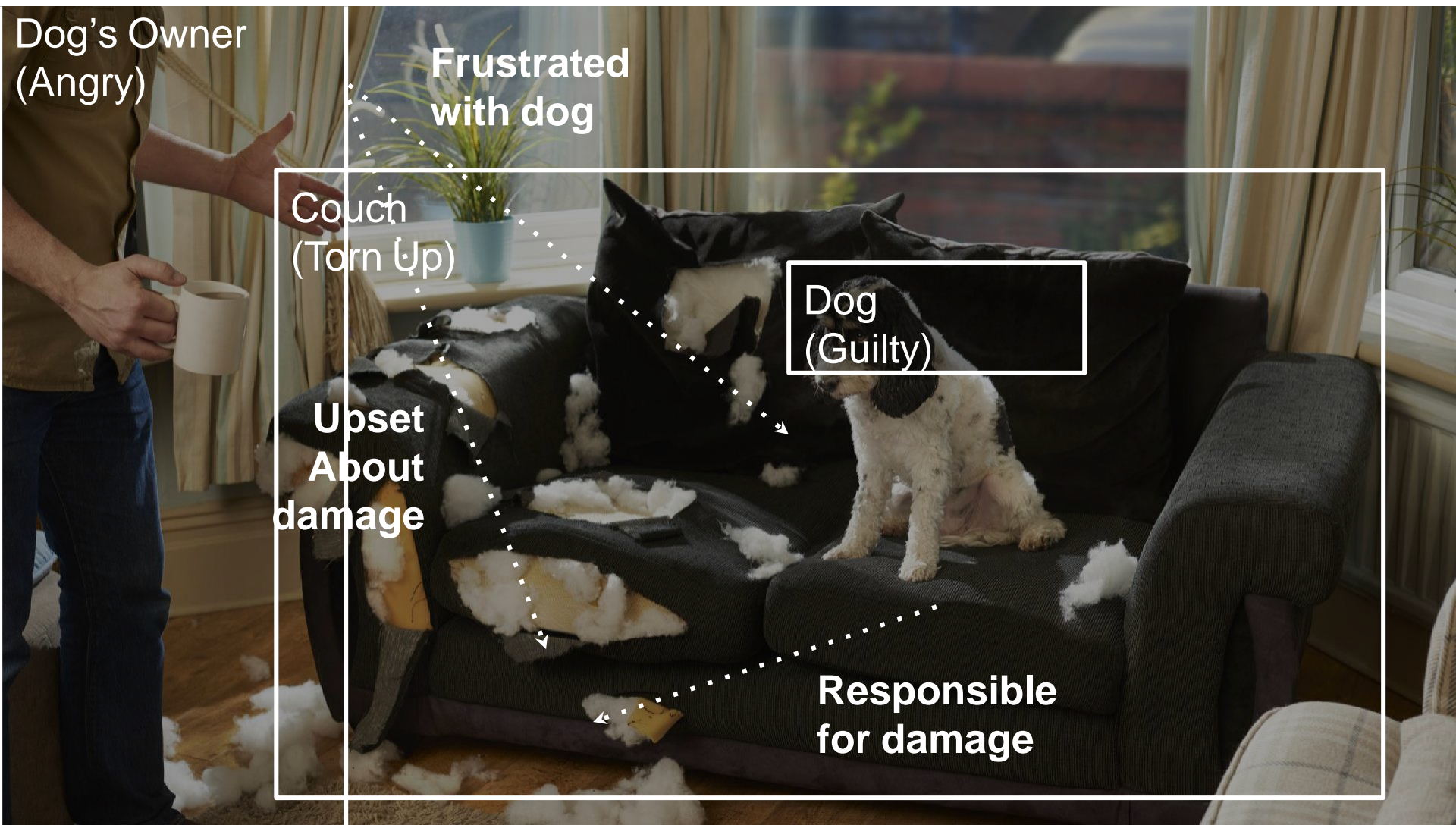
I am hurt

Hello, hurt! 😊

The limits of chatbot conversation







**Dog's Owner
(Angry)**

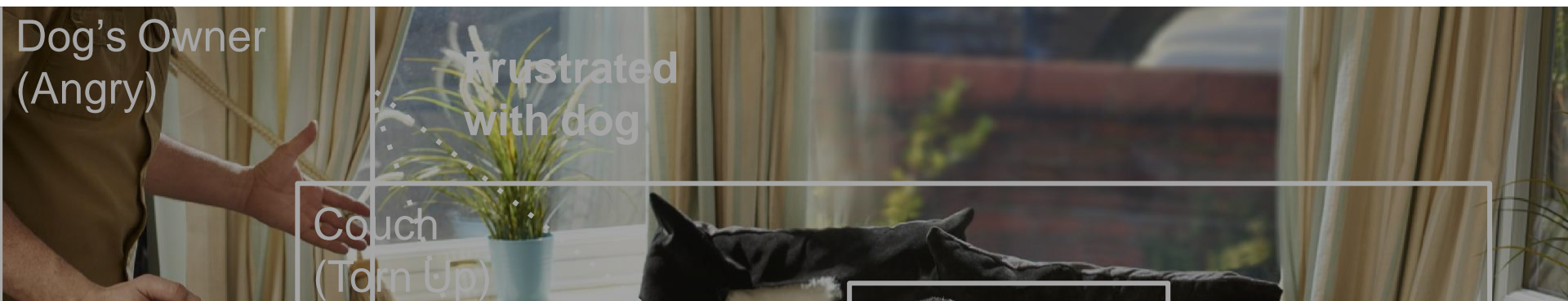
**Frustrated
with dog**

**Couch
(Torn Up)**

**Dog
(Guilty)**

**Upset
About
damage**

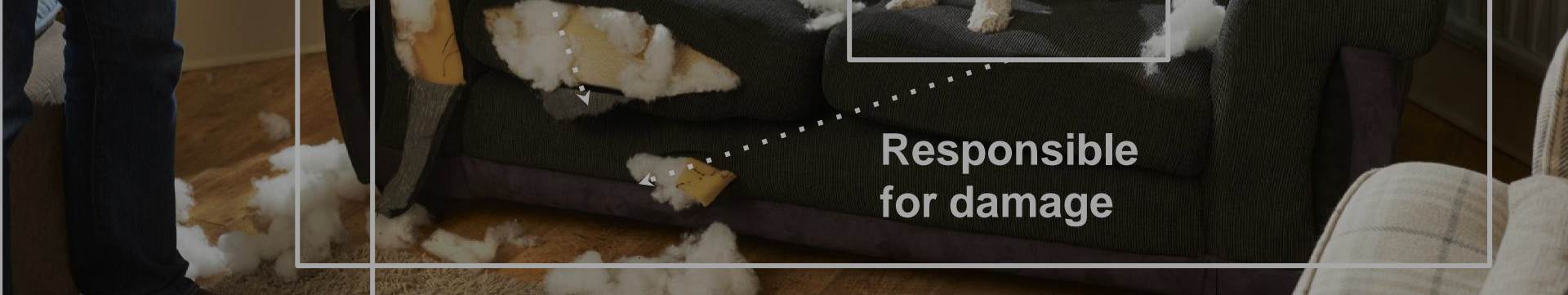
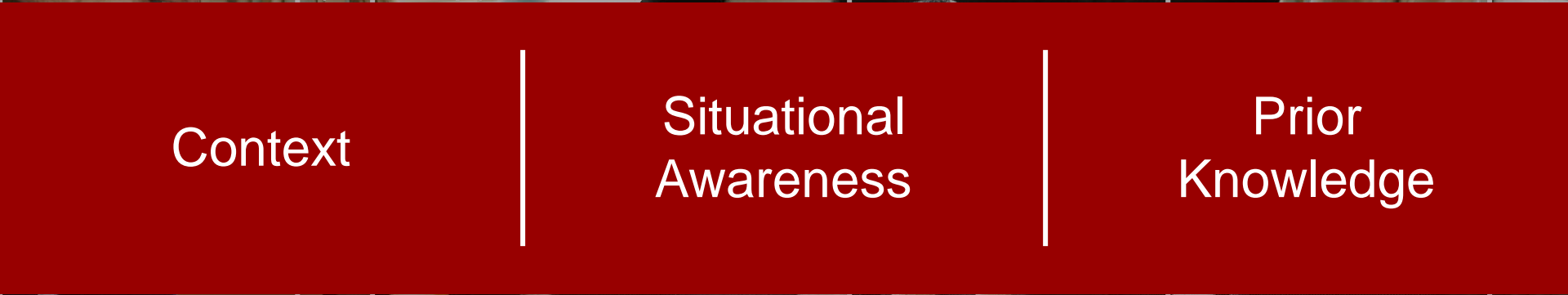
**Responsible
for damage**



Dog's Owner
(Angry)

Frustrated
with dog

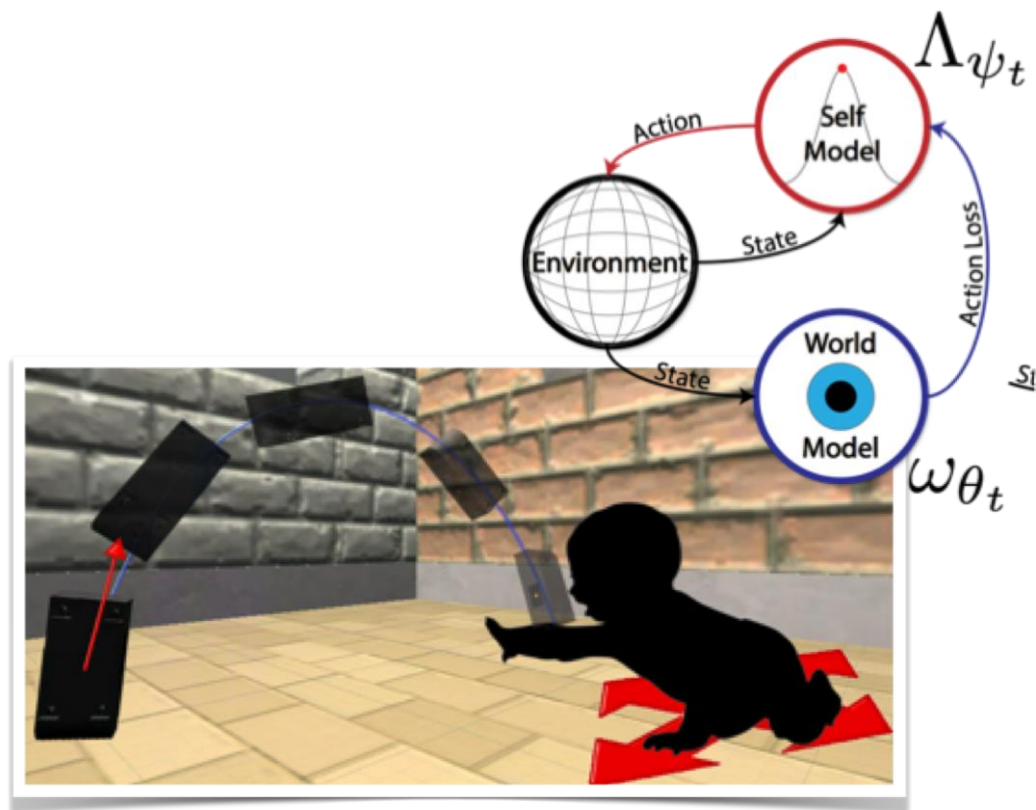
Couch
(Torn Up)



Responsible
for damage

Curiosity-based Learning

- A baby's learning is exploratory, curiosity-driven, multi-modal, active and social.
- Can we model this process and apply it in machines?



Mrowca, Haber, Fei-Fei & Yamins, *CogSci*, 2018

“Thinking slow”

Commonsense knowledge and reasoning

- Reasoning requires combining previously acquired knowledge to address new tasks
- Can a neural network reason more like a human?

He served chicken.

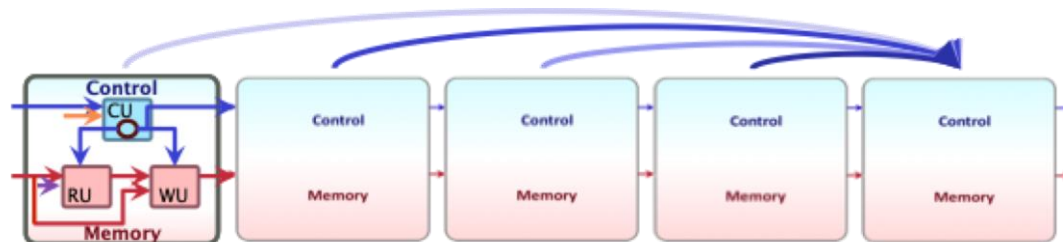
Not like serving a tennis ball

He probably cooked it first!

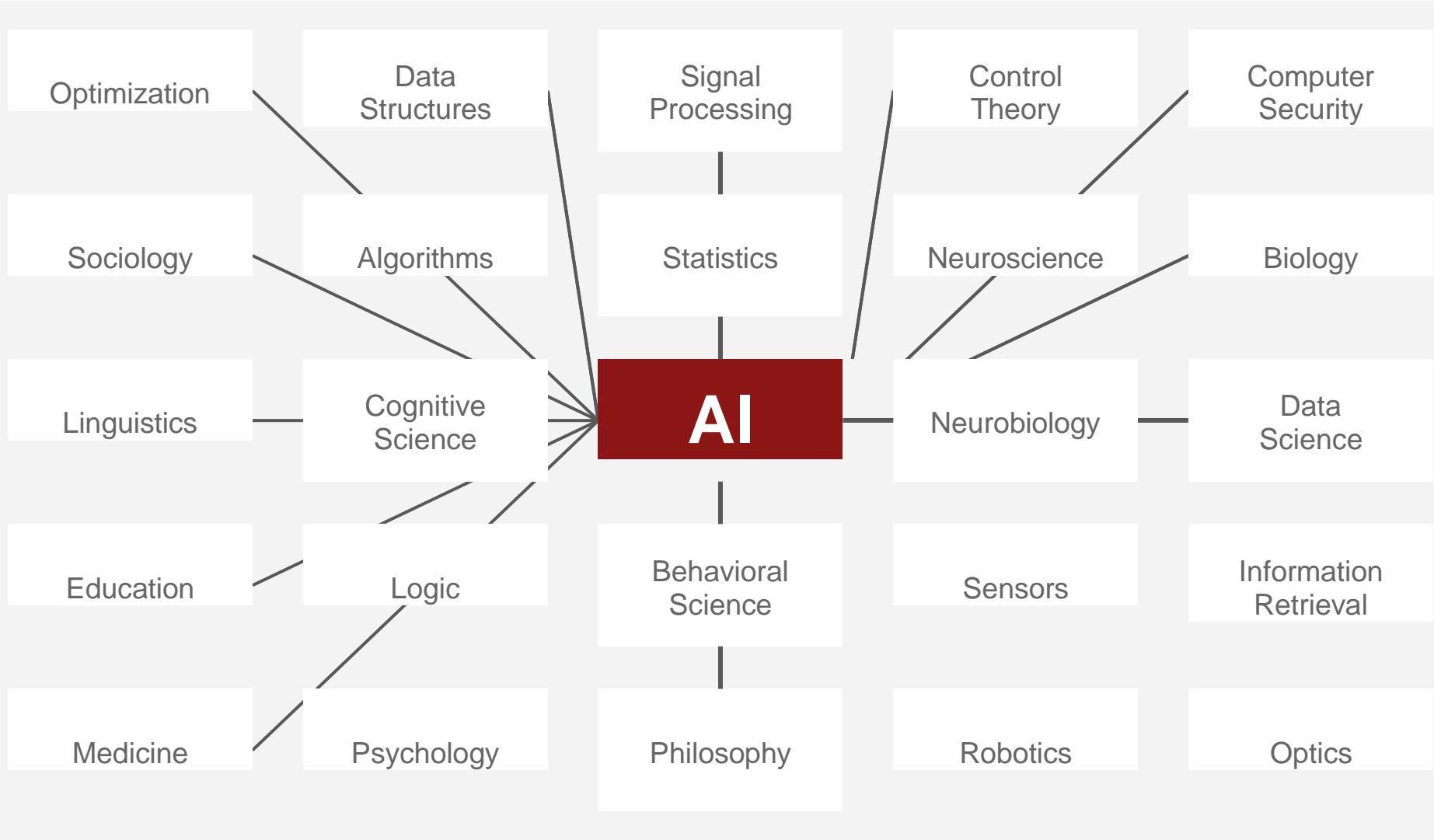
The trophy wouldn't fit in the suitcase because it was too big.

The trophy?

The suitcase?



Hudson and Manning, 2018





AI should **augment** human skills, not
replace them.

~50%

current work activities can be
theoretically automated now

100%

current work activities can be
potentially **enhanced** by intelligent
technology



Enhancing human care with intelligent systems





Hospital-Acquired Infections
99,000 Deaths
Annually

Unmonitored Elderly Fall Injuries
\$36.4 Billion
Annually



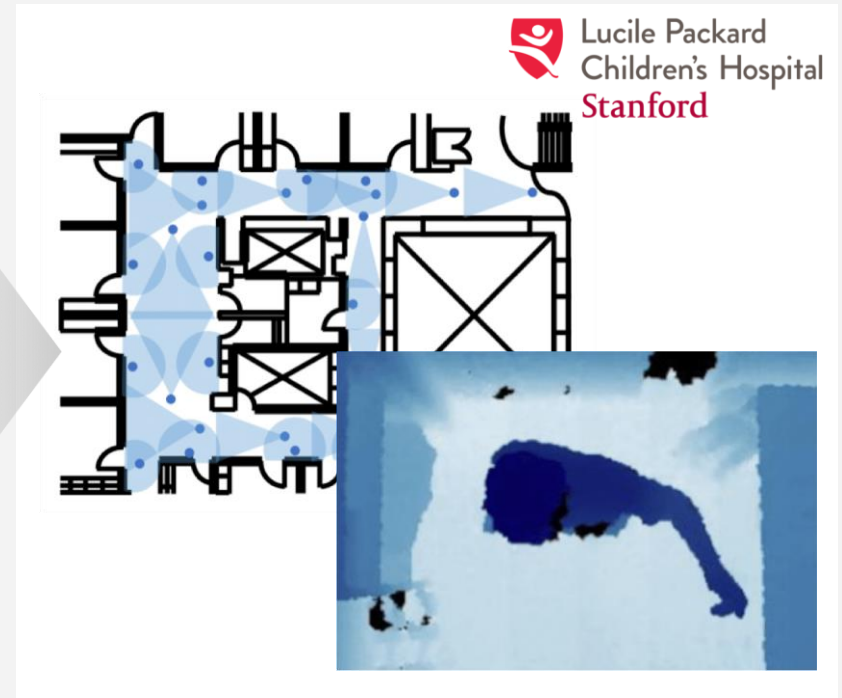
A. Houser, W. Fox-Grage & K. Ujvari, *AARP Public Policy Institute*, 2012)

Airtek Indoor Air Solutions.
2014. Calfee.

Annual Review of
Medicine 2012



From: Inconsistent hand hygiene



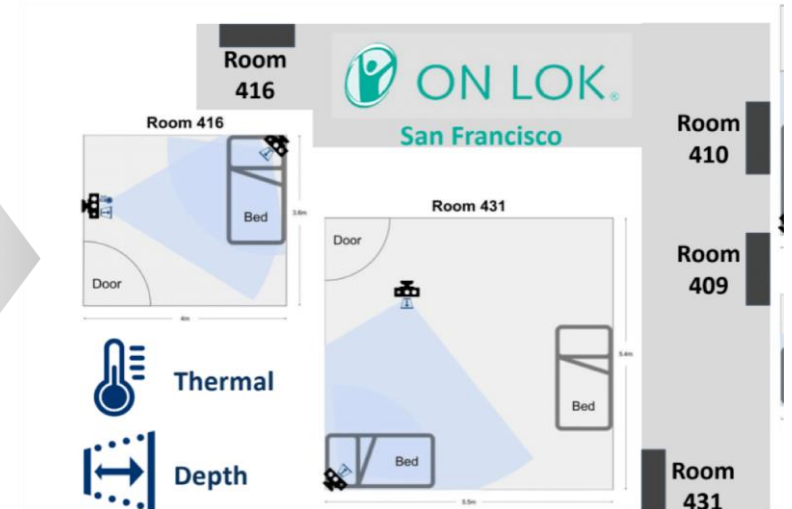
To: Intelligent monitors placed throughout hospitals

A. Haque, A. Singh, A. Alahi, S. Yeung, M. Guo, A. Luo, J. Jopling, L. Downing, W. Beninati, T. Platchek, A. Milstein & L. Fei-Fei, *Under review*

A. Haque, E. Peng, A. Luo, A. Alahi, S. Yeung & L. Fei-Fei, *ECCV*, 2016

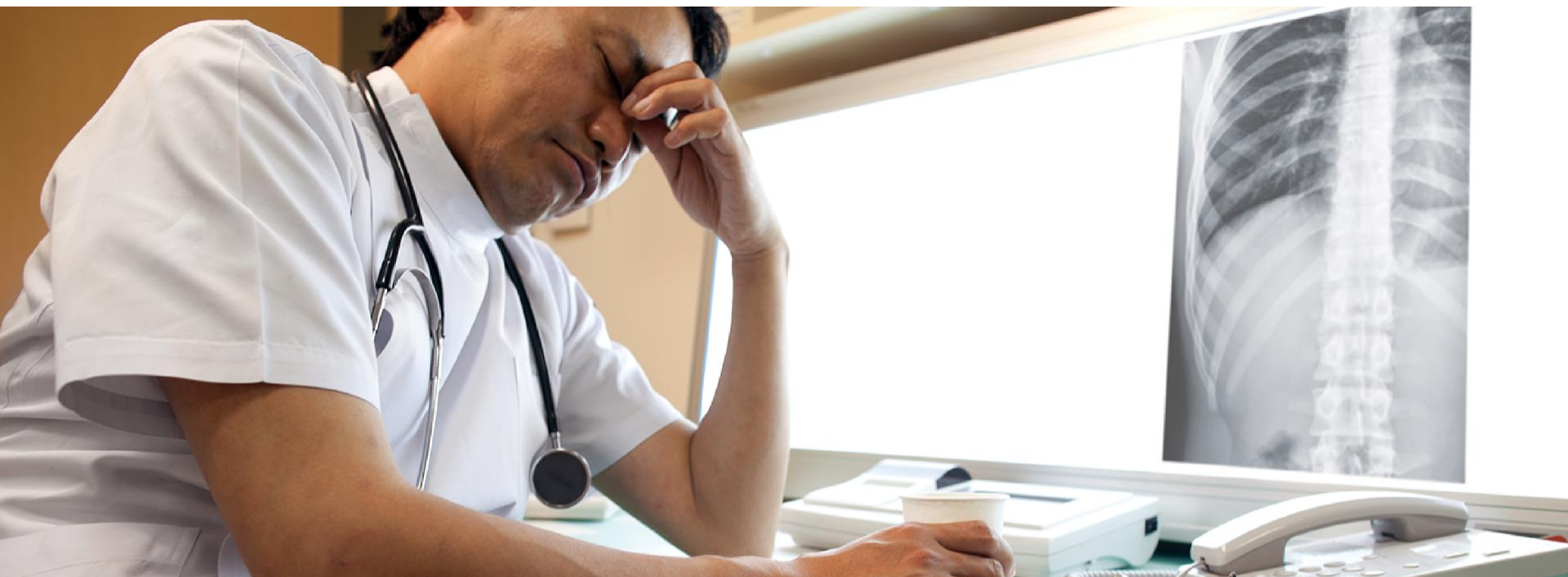


From: Ineffective wearables, lack of human caretakers



To: Intelligent monitors placed throughout senior living homes

A. Luo, T. Hsieh, R. Rege, A. Mehra, G. Pusiol, L. Downing, A. Milstein & L. Fei-Fei. *In preparation.*



Giving human specialists more time



Lowers costs



Improves safety and outcomes

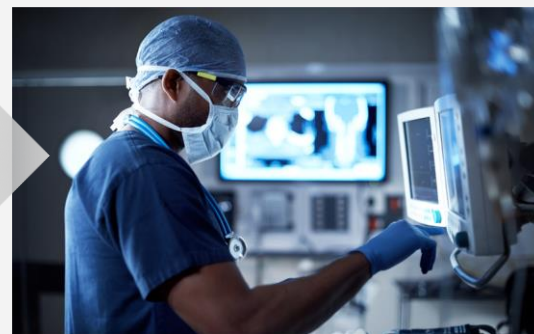
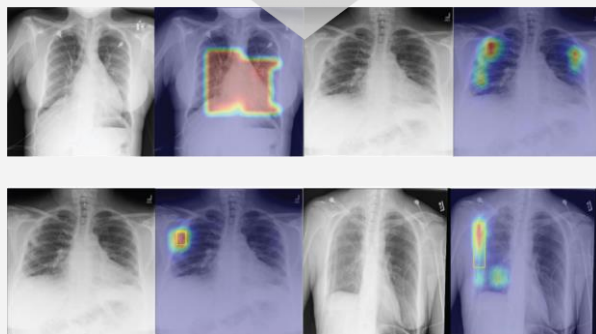
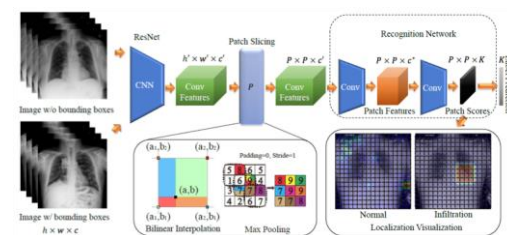


Reduces burden on human caregivers





An algorithm for automating simple radiology analysis



More time for human specialists to do what they do best

Z. Li, C. Wang, M. Han, Y. Xue, W. Wei, Li-J. Li, L. Fei-Fei, CVPR, 2018