Discrete Structures for Computer Science

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Lecture #22: Generalized Permutations and Combinations
Counting problems can often be harder than those from the last few lectures...

For example...

Repeated choice

Combinations with repetition

Permuting indistinguishable items
Permutations with repetition

Recall: r-permutations are ordered collections of r elements drawn from some set

If an r-permutation is drawn from a set of size n without replacement, then there are \( P(n,r) = \frac{n!}{(n-r)!} \) possible r-permutations

If we select the elements of a permutation with replacement, then we can use the product rule to count the number of possible r-permutations
How many strings of length $r$ can be created using the 26 English letters?

Let our set $S = \{A, B, C, \ldots, Z\}$, with $|S| = 26$

To count the number of $r$-length strings, note that:

- 26 ways to choose 1$\text{st}$ letter
- 26 ways to choose 2$\text{nd}$ letter (not 25)
- 26 ways to choose 3$\text{rd}$ letter (not 24)
- ...
- 26 ways to choose $r$th letter (not 26-$r$+1)

So, there are $26^r$ possible ways to choose an $r$-length string from the set $S$ with replacement.

In general: There are $n^r$ possible ways to permute a set of size $n$ if repetition of elements is allowed.
Many times, we want to examine combinations of objects in which repeated choices are allowed.

**Example:** How many ways can four pieces of fruit be chosen from a bowl containing at least four apples, four oranges, and four pears? Assume that only the type of fruit chosen matters, not the individual piece.

**Solution #1: Explicit enumeration**

<table>
<thead>
<tr>
<th>4 apples</th>
<th>4 oranges</th>
<th>4 pears</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 apples, 1 orange</td>
<td>3 apples, 1 pear</td>
<td>3 oranges, 1 apple</td>
</tr>
<tr>
<td>3 oranges, 1 pear</td>
<td>3 pears, 1 apple</td>
<td>3 pears, 1 orange</td>
</tr>
<tr>
<td>2 apples, 2 oranges</td>
<td>2 apples, 2 pears</td>
<td>2 oranges, 2 pears</td>
</tr>
<tr>
<td>2 apples, 1 orange, 1 pear</td>
<td>2 oranges, 1 apple, 1 pear</td>
<td>2 pears, 1 apple, 1 orange</td>
</tr>
</tbody>
</table>

This is TEDIOUS!!!

So, there are **15** possible 4-combinations of a set containing 3 items if repetition is allowed.
Let’s find a nice closed-form expression for counting \( r \)-combinations with repetition

**Example:** Consider a cash box containing $1 bills, $2 bill, $5 bills, $10 bill, $20 bills, $50 bills, and $100 bills. How many ways are there to choose 5 bills if order does not matter and bills within a single denomination are indistinguishable from one another? Assume that there are at least 5 bills of each denomination.

**Observations:**
- 7 denominations of bills
- The order that bills are drawn does not matter
- At least 5 bills of each denomination

**Implication:** We are counting \( 5 \)-combinations with repetition from a set of 7 items.
An interesting insight...

**Note:**
- The cash box has 7 compartments
- These compartments are separated by 6 dividers
- Choosing 5 bills is the same as arranging 5 placeholders (*) and 6 dividers (||)

**Examples:**
1. ||***
   ![Example 1](image1.png)
   - $100
   - $50
   - $20
   - $5

2. *||**||*
   ![Example 2](image2.png)
   - $100
   - $50
   - $20
   - $5
This leads us to a nice formula...

**Observation:** Arranging 5 stars and 6 bars is the same as choosing 5 “places” for the stars out of 11 total “places.”

This can be done in \( C(11, 5) = 462 \) ways.

**General Theorem:** There are \( C(n+r-1, r) \) \( r \)-combinations from a set with \( n \) elements when repetition of elements is allowed.
Example: How many ways can we choose six cookies at a cookie shop that makes 4 types of cookie? Assume that only the type of cookies chosen matters (not the order in which they are chosen or the individual cookies within a given type).

Solution #1:
- Need six “stars” since we are choosing six cookies
- Need 3 “bars” to separate the cookies by type
- So, $C(9, 6) = 84$ ways to choose places to put stars.

Solution #2:
- Since we choose six cookies, $r = 6$
- Four possible cookie types means $n = 4$
- So, $C(6+4-1, 6) = C(9,6) = 84$ ways to choose cookies!
Example: How many solutions does the equation $x_1 + x_2 + x_3 = 11$ have if $x_1$, $x_2$, and $x_3$ are non-negative integers?

Observation: Solving this problem is the same as choosing 11 objects from a set of 3 objects such that $x_1$ objects of type one are chosen, $x_2$ objects of type two are chosen, and $x_3$ objects of type three are chosen.

Solution:
- $n = 3$
- $r = 11$
- So, there are $\binom{3+11-1}{11} = \binom{13}{11} = 78$ ways to solve this equation.
## Formula Summary

<table>
<thead>
<tr>
<th>Type</th>
<th>Repetition?</th>
<th>Formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>r-permutation</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>r-combination</td>
<td>No</td>
<td></td>
</tr>
<tr>
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<td></td>
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<td></td>
</tr>
</tbody>
</table>
How do we deal with indistinguishable items?

**Example:** How many strings can be formed by permuting the letters of the word **MOM**?

**Observation:** We can’t simply count permutations of the letters in MOM. (Why not?)

Counting permutations leads to an overcount!

- Rewrite MOM as $M_1OM_2$
- Possible permutations are:
  - $M_1OM_2$
  - $M_1M_2O$
  - $OM_1M_2$
  - $M_2OM_1$
  - $M_2M_1O$
  - $OM_2M_1$

These are really the same!

How do we fix this?
Rather than permuting all letters as a group, arrange identical letters separately.

Note: The string MOM contains two Ms and one O.

We can count the distinct strings formed by permuting MOM as follows:

- Set up 3 “slots” for letters
- Count the ways that the 2 Ms can be assigned to the these slots
- Count the ways that the O can be assigned to the remaining slots
- Use the product rule!

\[ C(3,2) \times C(1,1) = 3 \]
This tactic can be stated more generally

**Theorem:** The number of different permutations of \( n \) objects where there are \( n_1 \) indistinguishable objects of type 1, \( n_2 \) indistinguishable objects of type 2, ..., and \( n_k \) indistinguishable objects of type \( k \) is:

\[
\frac{n!}{n_1! n_2! \ldots n_k!}
\]

Ways to place objects of type 1

Ways to place objects of type 2

There is always only one way to place objects of type \( k \)!
How many strings can be formed by permuting the letters in SUCCESS?

Note: SUCCESS contains

- S \times 3
- U \times 1
- C \times 2
- E \times 1

Ways to assign each letter group:

- S: C(7,3)
- U: C(4,1)
- C: C(3,2)
- E: C(1,1)

So, we can form $C(7,3) \times C(4,1) \times C(3,2) \times C(1,1) = \frac{7!}{(3!2!)} = 420$ distinct strings using letters from the word SUCCESS.
Problem 1: How many ways can we choose 6 donuts from a donut shop that sells three types of donut?

Problem 2: How many distinct strings can be formed by permuting the letters of the word RADAR?
Many counting problems can be solved by “placing items in boxes”

We can consider two types of objects:

1. Distinguishable objects (e.g., “Billy, Chrissy, and Dan”)

![Images of three people with different colors]

2. Indistinguishable objects (e.g., “three students”)

![Images of three yellow circles]

We can also consider two types of “boxes”:

1. Distinguishable boxes (e.g., “room 123 and room 111”)

![Images of two distinct boxes, 123 and 111]

2. Indistinguishable boxes (e.g., “two homerooms”)

![Images of two identical boxes]
This leads to four classes of problems...

- Distinguishable objects / distinguishable boxes
  - E.g., How many ways can Billy, Chrissy, and Dan be assigned to the homeroom 123 and homeroom 111?

- Indistinguishable objects / distinguishable boxes
  - E.g., How many ways can three students be assigned to the homeroom 123 and homeroom 111?

- Distinguishable objects / indistinguishable boxes
  - E.g., How many ways can Billy, Chrissy, and Dan be assigned to two different homerooms?

- Indistinguishable objects / indistinguishable boxes
  - E.g., How many ways can three students be assigned to two different homerooms?
Counting assignments of distinguishable items to distinguishable boxes

**Example:** How many ways are there to deal 5-card poker hands from a 52-card deck to each of four players?

**Solution:**
- Player 1: \( \binom{52}{5} \) ways to deal
- Player 2: \( \binom{47}{5} \) ways to deal
- Player 3: \( \binom{42}{5} \) ways to deal
- Player 4: \( \binom{37}{5} \) ways to deal

\[
\binom{52}{5} \binom{47}{5} \binom{42}{5} \binom{37}{5} = \frac{52!}{47!5!} \cdot \frac{47!}{42!5!} \cdot \frac{42!}{37!5!} \cdot \frac{37!}{32!5!} = \frac{52!}{5!5!5!5!32!}
\]

**Theorem:** The number of ways that \( n \) distinguishable items can be placed into \( k \) distinguishable boxes so that \( n_i \) objects are placed into box \( i \) \((1 \leq i \leq k)\) is:

\[
\frac{n!}{n_1!n_2! \cdots n_k!}
\]

We can prove this using the product rule!
How can we place n indistinguishable items into k distinguishable boxes?

This turns out to be the same as counting the n-combinations for a set with k elements when repetition is allowed!

Recall: We solved the above problem by arranging placeholders (*) and dividers (|).

To place n indistinguishable items into k distinguishable bins:

1. Treat our indistinguishable items as *s
2. Use | to divide our distinguishable bins
3. Count the ways to arrange n placeholders and k-1 dividers

Result: There are $\binom{n + k - 1}{n}$ ways to place n indistinguishable objects into k distinguishable boxes.
Let’s see how this works…

**Example:** How many ways are there to place 10 indistinguishable balls into 8 distinguishable bins?

**Observation:**
1. Treat balls as *s
2. Use 8-1 = 7 dividers to separate bins
3. Pick 10 positions out of a total 17 to place balls (all remaining positions will be bin dividers)

**Solution:** We have $\binom{10 + 8 - 1}{10} = \binom{17}{10} = 19,448$ ways to arrange 10 indistinguishable balls into 8 distinguishable bins.
Example: How many ways can Anna, Billy, Caitlin, and Danny be placed into three indistinguishable homerooms?

Solution:
- Let’s call our students A, B, C, and D
- **Goal:** Partition A, B, C, and D into at most 3 disjoint subsets
- One way to put everyone in the same homeroom
  - \{A, B, C, D\}
- Seven ways to put everyone in two homerooms
  - \{\{A, B, C\}, \{D\}\}, \{\{A, B, D\}, \{C\}\}, \{\{A, C, D\}, \{B\}\}, \{\{B, C, D\}, \{A\}\}
  - \{\{A, B\}, \{C, D\}\}, \{\{A, C\}, \{B, D\}\}, \{\{A, D\}, \{B, C\}\}
- Six ways to put everyone into three homerooms
  - \{\{A, B\}, \{C\}, \{D\}\}, \{\{A, C\}, \{B\}, \{D\}\}, \{\{A, D\}, \{B\}, \{C\}\}
  - \{\{B, C\}, \{A\}, \{D\}\}, \{\{B, D\}, \{A\}, \{C\}\}, \{\{C, D\}, \{A\}, \{B\}\}
- **Total:** 14 ways to assign Anna, Billy, Caitlin, and Danny to three indistinguishable homerooms
Is there some **simple** closed form that we can use to solve this type of problem?

No, but there is a **complicated** one 😊

$S(n, j)$ is a **Stirling number of the second kind** that tells us the number of ways that a set of $n$ items can be partitioned into $j$ non-empty subsets.

$S(n, j)$ is defined as follows:

$$S(n, j) = \frac{1}{j!} \sum_{i=0}^{j-1} (-1)^i \binom{j}{i} (j - i)^n$$

**Result:** The number of ways to distribute $n$ distinguishable objects into $k$ indistinguishable boxes is:

$$\sum_{j=1}^{k} S(n, j) = \sum_{j=1}^{k} \frac{1}{j!} \sum_{i=0}^{j-1} (-1)^i \binom{j}{i} (j - i)^n$$
What about distributing indistinguishable objects into indistinguishable boxes?

**Example:** How many ways can six copies of the same book be packed in at most four boxes, if each box can hold up to six books?

**Solution:**

Total: There are 9 ways to pack 6 identical books into at most 4 indistinguishable boxes.
That was ugly...

Is there a better way to do this?

Unfortunately, no.

Here’s why: Placing \( n \) indistinguishable objects into \( k \) indistinguishable boxes is the same as writing \( n \) as the sum of at most \( k \) positive integers arranged in non-increasing order.

- i.e., \( n = a_1 + a_2 + \ldots + a_j \), where \( a_1 \geq a_2 \geq \ldots \geq a_j \) and \( j \leq k \)
- We say that \( a_1, a_2, \ldots, a_j \) is a partition of \( n \) into \( j \) integers

There is no simple closed formula for counting the partitions of an integer, thus there is no solution for placing \( n \) indistinguishable items into \( k \) indistinguishable boxes.
In-class exercises

Problem 3: Joe, Karen, and Liz need to get their cars fixed. If Bob’s Body Shop has two branches in town, how many ways can cars be partitioned between these (indistinguishable) branches?

Problem 4: Animal control picks up 7 stray dogs. How many ways can animals be turned over to the Humane Society, the SPCA, and the Springfield Animal Shelter, provided that each organization can accept at least 7 dogs?
Final Thoughts

- Many counting problems require us to generalize the simple permutation and combination formulas from last time.

- Other problems can be cast as counting the ways to arrange (in)distinguishable objects into (in)distinguishable boxes.
  - Problems with indistinguishable boxes are generally more difficult.