

# Applied Cryptography and Network Security

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RSA and DSA





# Didn't we learn about RSA last time?

During the last lecture, we saw **what** RSA does and learned a little bit about how we can use those features

Our goal today will be to explore

- Why RSA actually works
- Why RSA is efficient\* to use
- Why it is reasonably safe to use RSA

In short, it's another details day...

**Note:** Efficiency is a relative term 😊



# RSA Overview / Roadmap

*How do we choose large, pseudo-random primes?!*

## Key generation:

- Choose two large prime numbers  $p$  and  $q$ , compute  $n = pq$
- Compute  $\phi(n) = (p - 1)(q - 1)$
- Choose an integer  $e$  such that  $\gcd(e, \phi(n)) = 1$
- Calculate  $d$  such that  $ed \equiv 1 \pmod{\phi(n)}$
- **Public key:**  $n, e$
- **Private key:**  $p, q, d$

*Why is  $\phi(n) = (p - 1)(q - 1)$*

*How can we do this?*

*This seems tricky, too*

## Usage:

- Encryption:  $M^e \pmod{n}$
- Decryption:  $C^d \pmod{n} = M^{ed} \pmod{n} = M^{k\phi(n)+1} \pmod{n} = M^1 \pmod{n} = M$

*Isn't this expensive?*

*Why does this work?*

# Before we can do anything, we need a few large, pseudo-random primes



If our numbers are small, primality testing is pretty easy

- Try to divide  $n$  by all numbers less than  $\sqrt{n}$
- The Sieve of Eratosthenes is a general extension of this principle

RSA requires **big** primes, so brute force testing is not an option (**Why?**)

To choose the types of numbers that RSA needs, we instead use a **probabilistic primality testing** method test :  $\mathbb{Z} \times \mathbb{Z} \rightarrow \{T, F\}$

- $\text{test}(n, a) = F$  means that  $n$  is composite based on the witness  $a$
- $\text{test}(n, a) = T$  means that  $n$  is **probably** prime based on the witness  $a$

To test a number  $n$  for primality:

1. Randomly choose a witness  $a$
2. if  $\text{test}(n, a) = F$ ,  $n$  is composite
3. if  $\text{test}(n, a) = T$ , loop until we're reasonably certain that  $n$  is prime

*Often with probability  $\approx 1/2$*

*$k$  repetitions means  $\text{Pr}(n \text{ composite}) = 2^{-k}$*



# Fermat's little theorem can help us!

**Fermat's little theorem:** Given a prime number  $p$  and a natural number  $a$  such that  $1 \leq a < p$ , then  $a^{p-1} \equiv 1 \pmod{p}$

How does this help with primality testing?

- If  $a^{p-1} \not\equiv 1 \pmod{p}$ , then  $p$  is **definitely** composite
- If  $a^{p-1} \equiv 1 \pmod{p}$ , then  $p$  is **probably** prime

**Note:** Some composite numbers will always pass this test (Yikes!)

- These are called Carmichael numbers
- Carmichael numbers are rare, but may still be found
- Other primality tests (e.g., Miller-Rabin) avoid false positives on these numbers

This helps us test whether some number is prime. But how exactly does this help us generate RSA keys?



# Putting it all together...

```
foundPrime = false
while (!foundPrime)
  let r = some large, odd, random number
  foundPrime = true
  for (iters = 0; iters < k; iters++)
    let a = random number less than r
    if ( $a^{r-1} \neq 1 \pmod{r}$ )
      foundPrime = false
      break
return r
```

The **prime number theorem** tells us that, on average, the number of primes less than  $n$  is approximately  $n/\ln(n)$

- That is,  $P(n \text{ is prime}) \approx 1/\ln(n)$
- Searching for a prime is hard, but not ridiculously so



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- Public key:**  $n, e$
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*Why is  $\phi(n) = (p - 1)(q - 1)$*

*How can we do this?*

*This seems tricky, too*

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*Isn't this expensive?*

*Why does this work?*



# $\varphi(n)$ is called Euler's totient function

**Definition:** The totient function,  $\varphi(n)$ , counts the number of elements less than  $n$  that are **relatively prime** to  $n$

For an RSA modulus  $n = pq$ , calculating  $\varphi(n)$  is actually pretty simple

Consider each of the  $pq$  numbers  $\leq n$

- All multiples of  $p$  share a common factor with  $n$ 
  - There are  $q$  such numbers  $\{p, 2p, 3p, \dots, qp\}$
- Similarly, all multiples of  $q$  share a common factor with  $n$ 
  - There are  $p$  such numbers  $\{q, 2q, 3q, \dots, pq\}$
- So, we have that  $\varphi(n) = pq - p - q + 1$  ← *The +1 controls for subtracting  $pq$  twice*
- As a result,  $\varphi(n) = pq - p - q + 1 = (p - 1)(q - 1)$

**Note:** Calculating  $\varphi(n)$  is easy because we know how to factor  $n$ !

# RSA Overview / Roadmap



## Key generation:

- ✓ Choose two large prime numbers  $p$  and  $q$ , compute  $n = pq$
- ✓ Compute  $\phi(n) = (p - 1)(q - 1)$  *Why is  $\phi(n) = (p - 1)(q - 1)$*
- Choose an integer  $e$  such that  $\gcd(e, \phi(n)) = 1$  *How can we do this?*
- Calculate  $d$  such that  $ed \equiv 1 \pmod{\phi(n)}$  *This seems tricky, too*
- Public key:**  $n, e$
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## Usage:

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*Why does this work?*



# Luckily, computing GCDs is not all that hard...

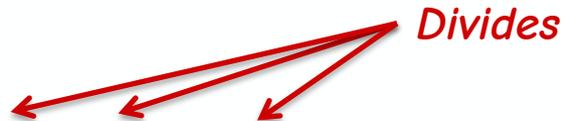
**Intuition:** Rather than computing the GCD of two big numbers, we can instead compute the GCD of smaller numbers that have the same GCD!

**Interesting observation:**  $\gcd(a, b)$  is the same as  $\gcd(a - b, b)$

Wait, what?

First, we must show that  $d|a \wedge d|b \rightarrow d|(a - b)$

- If  $d|a$  and  $d|b$ , then  $a = kd$  and  $b = jd$
- Then  $a - b = kd - jd = (k - j)d$
- So,  $d|a \wedge d|b \rightarrow d|(a - b)$



Ok, so  $d$  is a divisor of  $(a - b)$ , but is it the **greatest** divisor?

- The divisors of  $(a - b)$  are a subset of the divisors of  $a$  and the divisors of  $b$
- Since  $d = \gcd(a, b)$ , it is the greatest of the remaining divisors



# Euclid's algorithm optimizes this process!

Euclid's algorithm finds  $\gcd(a, b)$  as follows:

- Set  $r_{-1} = a$ ,  $r_{-2} = b$ ,  $n = 0$
- While  $r_{n-1} \neq 0$ 
  - divide  $r_{n-2}$  by  $r_{n-1}$  to find  $q_n$  and  $r_n$  such that  $r_{n-2} = q_n r_{n-1} + r_n$
  - $n = n + 1$
- $\gcd(a, b) = r_{n-2}$

*Example:* Computing  $\gcd(414, 662)$

$n$	$q_n$	$r_n$
-2	-	662
-1	-	414
0	1	248
1	1	166
2	1	82
3	2	2
4	41	0



# How do we use this when choosing $e$ ?



## Method 1: Use Euclid's algorithm

- Choose a random  $e$
- Use Euclid's algorithm to determine whether  $\gcd(e, \phi(n)) = 1$
- Repeat as needed

**Method 2:** We can just choose a large prime number,  $r > \max(p, q)$

Why does method 2 work?

- $r$  is a prime number, so it has no divisors other than itself and 1
- $r$  is larger than  $p$  and  $q$ , so  $r \neq p$  and  $r \neq q$

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- How can we do this?*
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- Isn't this expensive?*
- Why does this work?*

# It turns out that Euclid's algorithm can help us compute $d \equiv e^{-1}$ , too



If we maintain a little extra state, we can figure out numbers  $u_n$  and  $v_n$  such that  $r_n = u_n a + v_n b$  when calculating  $\gcd(a, b)$

If  $a$  and  $b$  are relatively prime, this will allow us to calculate  $a^{-1}$

- $1 = u_n a + v_n b$  // If  $a$  and  $b$  are relatively prime,  $r_n = 1$
- $u_n a = 1 - v_n b$  // Subtract  $v_n b$  from both sides
- $u_n a \equiv 1 \pmod{b}$  // Definition of congruence
- So  $u_n = a^{-1}$  // Definition of inverse

This makes  $r_n = u_n a + v_n b$   
for  $n = -1$  and  $n = -2$

The extended Euclid's algorithm works as follows:

- Set  $r_{-1} = b$ ,  $r_{-2} = a$ ,  $n = 0$ ,  $u_{-2} = 1$ ,  $v_{-2} = 0$ ,  $u_{-1} = 0$ ,  $v_{-1} = 1$
- While  $r_{n-1} \neq 0$ 
  - divide  $r_{n-2}$  by  $r_{n-1}$  to find  $q_n$  and  $r_n$  such that  $r_{n-2} = q_n r_{n-1} + r_n$
  - $u_n = u_{n-2} - q_n u_{n-1}$
  - $v_n = v_{n-2} - q_n v_{n-1}$
  - $n = n + 1$
- $\gcd(a, b) = r_{n-2} = u_{n-2} a + v_{n-2} b$

# How about an example?



*Example:* Find the inverse of 797 mod 1047

$n$	$q_n$	$r_n$	$u_n$	$v_n$
-2		797	1	0
-1		1047	0	1
0	0	797	1	0
1	1	250	-1	1
2	3	47	4	-3
3	5	15	-21	16
4	3	2	67	-51
5	7	1	-490	373

So,  $1 = -490 \cdot 797 + 373 \cdot 1047$

- $-490 \cdot 797 = 1 + (-373) \cdot 1047$
- $-490 \cdot 797 \equiv 1 \pmod{1047}$
- In other words, -490 is the inverse of 797 mod 1047

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*Isn't this expensive?*

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*Why does this work?*



# Isn't exponentiation really expensive?

Exponentiation can be sped up using a trick called **successive squaring**

```
int pow(int m, int e)
  if(e is even)
    return pow(m*m, e/2)
  else
    return m * pow(m, e - 1)
```

For example, consider computing  $2^{15}$

- Naive method:  $2 * 2 * 2 * \dots * 2 = 32,768$

- Fast method:  $2^{15} = 2 * 4^7$

$$= 2 * 4 * 4^6$$

$$= 2 * 4 * 16^3$$

$$= 2 * 4 * 16 * 16^2$$

$$= 2 * 4 * 16 * 256$$

$$= 32,768$$

*$O(e)$  multiplications*

*$O(\log(e))$  multiplications*

*This only gets us partway there. Various other algorithmic tricks enable modulo exponentiation to be efficient! (e.g., take the mod every time, rather than at the end)*

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*Isn't this expensive?*

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# Why *does* decryption work?



**Note:** Decryption will work if and only if  $C^d \bmod n = M$

$$\begin{aligned} C^d \bmod n &= M^{ed} \bmod n && // C = M^e \bmod n \\ &= M^{k\phi(n)+1} \bmod n && // ed \equiv 1 \bmod \phi(n), \text{ so } ed = k\phi(n) + 1 \\ &= M^1 \bmod n && // ?? \\ &= M \bmod n && // M^1 = M \end{aligned}$$

The only hitch in showing the correctness of the decryption process is proving that  $M^{k\phi(n)+1} \bmod n = M^1 \bmod n$

Fortunately, two smart guys can help us out with this...



Pierre de Fermat  
160? - 1665



Leonhard Euler  
1707 - 1783

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But why is RSA *safe* to use?

# Now, why exactly is RSA safe to use?



In the original RSA paper\*, the authors identify four avenues for attacking the mathematics behind RSA

1. Factoring  $n$  to find  $p$  and  $q$
2. Determining  $\phi(n)$  without factoring  $n$
3. Determining  $d$  without factoring  $n$  or learning  $\phi(n)$
4. Learning to take  $e^{\text{th}}$  roots modulo  $n$

As it turns out, all of these attacks are thought to be hard to do

- But you shouldn't take my word for it...
- Let's see why!

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\*R.L. Rivest, A. Shamir, and L. Adleman, A Method for Obtaining Digital Signatures and Public-Key Cryptosystems, Communications of the ACM 21(2): 120-126, Feb. 1978.

# It turns out that factoring is a hard\* problem



First of all, why is factoring an issue?

- $n$  is the public modulus of the RSA algorithm
- If we can factor  $n$  to find  $p$  and  $q$ , we can compute  $\phi(n)$
- Given  $\phi(n)$  and  $e$ , we can easily compute the decryption exponent  $d$

---

Fortunately, mathematicians believe that factoring numbers is a very difficult problem. History backs up this belief.

The fastest general-purpose algorithm for integer factorization is called the **general number field sieve**. This algorithm has running time:

$$O\left(e^{(c+o(1))}(\log n)^{\frac{1}{3}}(\log \log n)^{\frac{2}{3}}\right)$$

**Note:** This running time is **sub-exponential**

- i.e., Factoring can be done faster than brute force
- This explains why RSA keys are larger than AES keys
  - RSA: Typically  $\geq 3072$  bits
  - AES: Typically  $\geq 128$  bits
  - <https://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-57pt1r5.pdf>

*Also true for discrete  
log / Diffie-Hellman*



# What about computing $\phi(n)$ without factoring?

**Question:** Why would the ability to compute  $\phi(n)$  be a bad thing?

- It would allow us to easily compute  $d$ , since  $ed \equiv 1 \pmod{\phi(n)}$

**Good news:** If we can compute  $\phi(n)$ , it will allow us to factor  $n$

- **Note 1:**  $\phi(n) = n - p - q + 1$   
 $= n - (p + q) + 1$
- Rewriting gives us  $(p + q) = n - \phi(n) + 1$
- **Note 2:**  $(p - q) = \sqrt{(p + q)^2 - 4n}$
- **Note 3:**  $(p + q) - (p - q) = 2q$
- Finally, given  $q$  and  $n$ , we can easily compute  $p$

$$\begin{aligned}(p + q)^2 - 4n &= p^2 + 2pq + q^2 - 4n \\ &= p^2 + 2pq + q^2 - 4pq \\ &= p^2 - 2pq + q^2 \\ &= (p - q)^2\end{aligned}$$

What does this mean?

- If factoring is actually hard, then so is computing  $\phi(n)$  without factoring
- (Recall the concept of **reduction**)

# What about computing $d$ without factoring $n$ or knowing $\phi(n)$ ?



As it turns out, if we can figure out  $d$  without knowing  $\phi(n)$  and without factoring  $n$ ,  $d$  can be used to help us factor  $n$

---

Given  $d$ , we can compute  $ed - 1$ , since we know  $e$

**Note:**  $ed - 1$  is a multiple of  $\phi(n)$

- $ed \equiv 1 \pmod{\phi(n)}$
- $ed = 1 + k\phi(n)$
- $ed - 1 = k\phi(n)$  ✓

It has been shown that  $n$  can be **efficiently** factored using any multiple of  $\phi(n)$ . As such, if we know  $e$  and  $d$ , we can efficiently factor  $n$ .

# Are there any other attacks that we need to worry about?



**Recall:**  $C = M^e \bmod n$

- $e$  is part of the public key, so the adversary knows this
- If we could compute  $e^{\text{th}}$  roots mod  $n$ , we could decrypt without  $d$

It is not known whether breaking RSA yields an efficient factoring algorithm, but the inventors **conjecture** that this is the case

- This conjecture was made in 1978
- To date, it has either been proved or disproved

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**Conclusion:** *Odds are that breaking RSA efficiently implies that factoring can be done efficiently. Since factoring is hard, RSA is probably safe to use.*



# Implementation concerns, and padding

For many reasons, we very rarely encrypt or sign **raw** messages

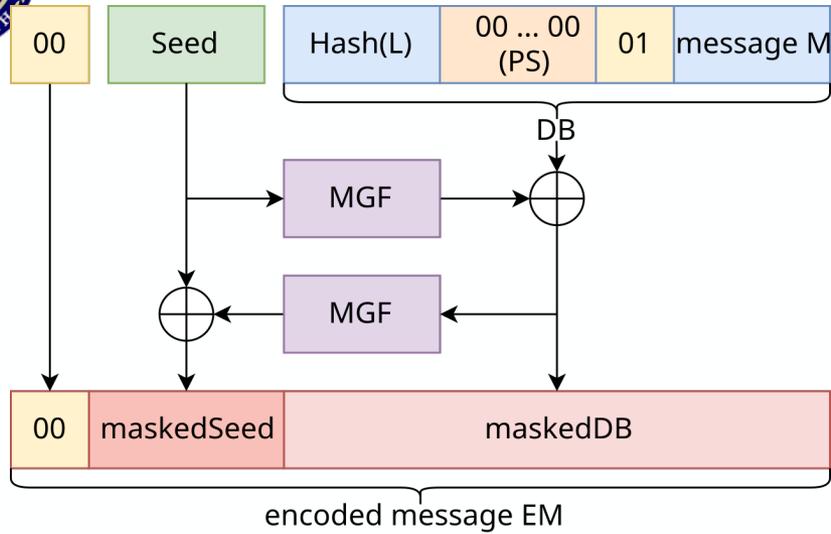
- (We discussed **hybrid cryptography** last time; even there, we don't encrypt the raw symmetric key or sign the raw hash)
- Small  $m$  and small  $e$  can combine to create **easy decryptions**
- **Multiplicative homomorphism**
  - $m_1^e * m_2^e \equiv (m_1 m_2)^e \pmod{n}$
  - We'll see issues this causes later in the term
- The same value encrypts the same every time
  - No **semantic security**!

Instead, PKCS#1 defines **padding** that is applied before encryption/signing

- OAEP for encryption: Adds **randomness**, pads to fixed length, breaks mult. homomorphism, easy to undo after decryption
- PSS for signing: One-way, adds **randomness** and padding, breaks mult. homomorphism

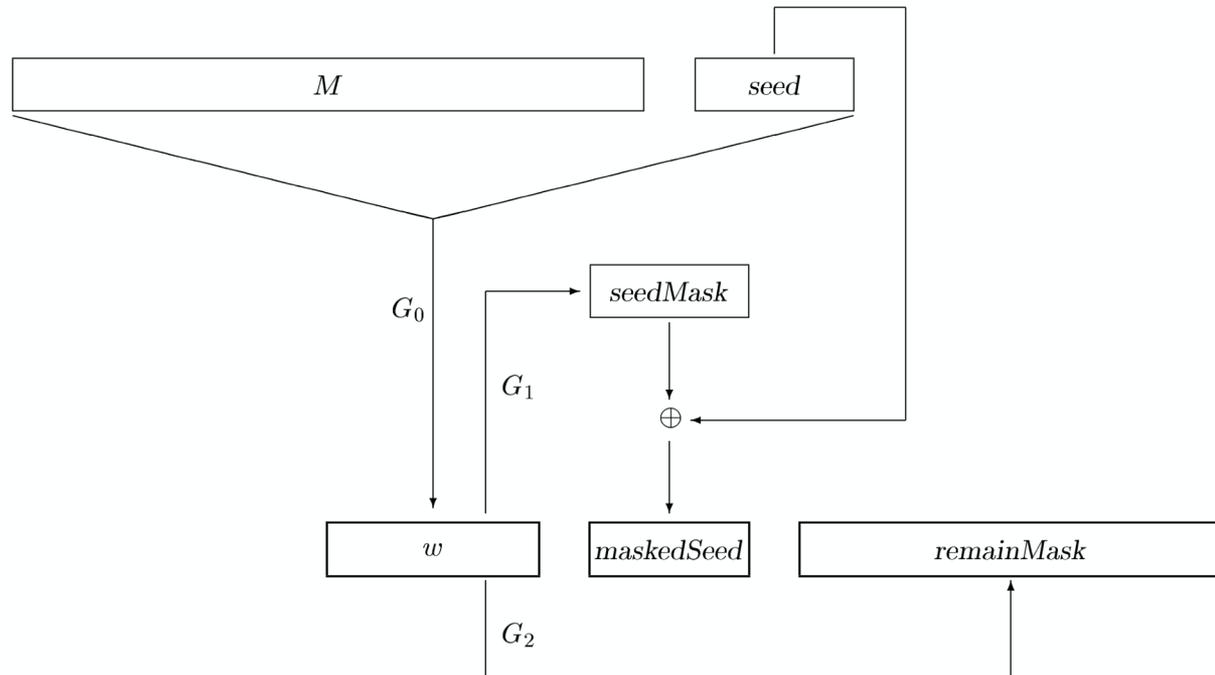


# OAEP and PSS block diagrams



← OAEP

PSS →



# ElGamal: A predecessor to DSA using Diffie-Hellman-style keys



Assume this **setup**:

- Agreed-upon  $g$  and  $p$
- Signer has generated **keypair**  $a$ ,  $A = g^a \bmod p$  and **shared**  $A$

Producing a signature:

- $M = \text{hash}(m)$ , and  $t$  is a **per-message secret**
  - $t$  must be coprime with  $p - 1$
- $T = g^t \bmod p$ ,  $S = (M - aT) * t^{-1} \bmod (p - 1)$
- The signature is  $\langle T, S \rangle$

Verifying a signature:

- (Check that  $0 < T < p - 1$  and  $0 < S < p - 1$ )
- Recompute  $M$
- **Verify** that  $g^M = A^T * T^S \bmod p$



# Why does ElGamal work?

Let's do some algebra!

- $A^T * T^S \bmod p = (g^a)^T * (g^t)^S \bmod p = g^{aT+tS} \bmod p$
- $A^T * T^S \bmod p = g^{aT+tS \bmod (p-1)} \bmod p$ , by FLT
- Notes that:  $tS = (t * (M - aT) * t^{-1}) \bmod (p - 1) = M - aT \bmod (p - 1)$  since  $t * t^{-1} \equiv 1 \bmod (p - 1)$  (mod'l'r inverses)
- So  $A^T * T^S \bmod p = g^{aT+M-aT \bmod (p-1)} \bmod p = g^{M \bmod (p-1)} \bmod p$
- Since  $M$  is a hash and  $p$  is large\*, we can assume  $M < p - 1$
- $A^T * T^S \bmod p = g^M \bmod p$  🥰🎉

But why is it secure? This is less obvious and left as an exercise; we want to be convinced that:

- If  $m$  (and thus  $M$ ) is changed, verification will fail
- Without  $a$ , one cannot compute  $T$  and  $S$
- Given  $A$  and a collection of signatures, one can't determine  $a$

# In 1991-94, NIST standardized DSA, a more efficient derivative of ElGamal



DSA: **Digital Signature Algorithm**, also based on discrete log (DH-like)

- For efficiency, some operations use a **second smaller prime**,  $q$ , which divides  $p - 1$ 
  - $|p|$  as usual for discrete log,  $|q|$  is **2× the security level**
- Keypairs are the same as ElGamal ( $A = g^a \bmod p$ )

Signing:

- $T = ((g^t \bmod p) \bmod q)$  (Note the addition of  $\bmod q$ )
- $S = (M + aT) * t^{-1} \bmod q$  (Rather than  $\bmod p - 1$ )
- The signature is, again,  $\langle T, S \rangle$

Verification:

- Compute  $x = M * S^{-1} \bmod q$ ,  $y = T * S^{-1} \bmod q$
- Verify that  $T = (g^x * A^y \bmod p) \bmod q$ 
  - **Try the algebra to prove that this works!**



# A brief note about efficiency...

Cryptosystems based on **factoring** and **discrete log** require keys much larger than security level

- (as discussed previously)
- For 128-bit security,  $|p| = 3072$  (and  $|q| = 256$  for DSA)

Can we achieve the same security with **smaller keys**?

- Yes!
- ... but it requires **different math**
- ~~Individual numbers~~ → points on an elliptic curve
- ~~Multiplication~~ → specialized formulas for “multiplying” two points

**Elliptic-curve cryptography** (ECC) is faster than RSA (etc.), especially for the private operations

- (for the same security level, thanks to smaller keys)
- We'll learn more about ECC later in the term!



# Conclusions

We've looked at the details of the “**first-generation**” public-key cryptosystems

- Diffie-Hellman (key exchange)
- RSA (encryption/decryption, signing/verifying)
- DSA (signing/verifying, based on ElGamal)

We'll see these, and other public-key systems, again later

- DSA is better, but all are slow (see **Elliptic-curve cryptography**)
- Although security is based on conditional proofs, primitives can be misused, and implementations can be broken (see **Subverting cryptography**)
  - And today's hard problems might not be hard forever! (see **Post-quantum cryptography**)

**We're done with the main cryptographic primitives!**

Next: Authentication and password storage