First order logic.
Efficient inferences.

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Inference with generalized resolution rule

- **Proof by refutation:**
  - Prove that $KB, \neg \alpha$ is unsatisfiable
  - resolution is **refutation-complete**

- **Main procedure (steps):**
  1. Convert $KB, \neg \alpha$ to CNF with ground terms and universal variables only
  2. Apply repeatedly the resolution rule while keeping track and consistency of substitutions
  3. Stop when empty set (contradiction) is derived or no more new resolvents (conclusions) follow
Conversion to CNF

1. Eliminate implications, equivalences
   \[(p \Rightarrow q) \rightarrow (\neg p \lor q)\]
   \[(p \Leftrightarrow q) \rightarrow (\neg p \lor q) \land (\neg q \lor p)\]

2. Move negations inside (DeMorgan’s Laws, double negation)
   \[\neg(p \land q) \rightarrow \neg p \lor \neg q\]
   \[\neg(p \lor q) \rightarrow \neg p \land \neg q\]
   \[\neg \forall x\ p \rightarrow \exists x\ \neg p\]
   \[\neg \exists x\ p \rightarrow \forall x\ \neg p\]
   \[\neg p \rightarrow p\]

3. Standardize variables (rename duplicate variables)
   \[(\forall x\ P(x)) \lor (\exists x\ Q(x)) \rightarrow (\forall x\ P(x)) \lor (\exists y\ Q(y))\]

4. Move all quantifiers left (no invalid capture possible)
   \[(\forall x\ P(x)) \lor (\exists y\ Q(y)) \rightarrow \forall x\ \exists y\ P(x) \lor Q(y)\]

5. Skolemization (removal of existential quantifiers through elimination)
   \[\exists y\ P(A) \lor Q(y) \rightarrow P(A) \lor Q(B)\]
   - If no universal quantifier occurs before the existential quantifier, replace the variable with a new constant symbol
     \[\forall x\ \exists y\ P(x) \lor Q(y) \rightarrow \forall x\ P(x) \lor Q(F(x))\]
   - If a universal quantifier precede the existential quantifier replace the variable with a function of the “universal” variable
     \[F(x) \rightarrow \text{a Skolem function}\]
Conversion to CNF

6. **Drop universal quantifiers** (all variables are universally quantified)

\[ \forall x \ P(x) \lor Q(F(x)) \rightarrow P(x) \lor Q(F(x)) \]

7. **Convert to CNF using the distributive laws**

\[ p \lor (q \land r) \rightarrow (p \lor q) \land (p \lor r) \]

The result is a CNF with variables, constants, functions

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Resolution example

\[ \neg P(w) \lor Q(w) \lor \neg Q(y) \lor S(y) \lor P(x) \lor R(x) \lor \neg R(z) \lor S(z) \lor \neg S(A) \]

\[ \{y \rightarrow w\} \]

\[ \neg P(w) \lor S(w) \quad \{x \rightarrow w\} \]

\[ S(w) \lor R(w) \]

\[ \{z \rightarrow w\} \]

\[ S(w) \quad \{w \rightarrow A\} \]

\[ \text{Contradiction} \]

\[ KB \models \alpha \]
Answer predicate

In full FOL, we have the possibility of deriving $\exists x P(x)$ without being able to derive $P(i)$ for any $i$.

e.g. the three-blocks problem

$\exists x y [\text{On}(x,y) \land \text{Green}(y) \land \neg \text{Green}(y)]$

but cannot derive which block is which

Solution: answer-extraction process

- replace query $\exists x P(x)$ by $\exists x (P(x) \land \neg \text{A}(x))$
  where $\text{A}$ is a new predicate symbol called the answer predicate
- instead of deriving [], derive any clause containing just the answer predicate
- can always convert to and from a derivation of []

KB: Student(john) Student(jane) Happy(john)

Q: $\exists x [\text{Student}(x) \land \text{Happy}(x)]$

Disjunctive answers

KB:

Student(john) Student(jane)
Happy(john) \lor Happy(jane)

Query:

$\exists x [\text{Student}(x) \land \text{Happy}(x)]$

Note:

- can have variables in answer
Efficiency of resolution

For the propositionalized KB
- worst case is exponential in the number literals

Speed ups of the resolution-refutation algorithm:

- **Clause elimination.** A pure clause contains literal \( r \) such that \( r \) does not appear in any other clause. The clause cannot lead to the contradiction \( \{\} \).
- **Tautology.** A clause with a literal and its negation. Any path to \( \{\} \) can bypass tautology
- **Subsumed clause.** A clause for which there exists another clause with only a subset of its literals. A path to \( \{\} \) need only pass through the short clause.
  - can be generalized to allow substitutions

Efficiency of resolution

Speed-ups:

- **Ordering strategies**
  - many possible ways to order search, but best and simplest is the unit preference
  - prefer to resolve unit clauses first
  - Why? Given unit clause and another clause, the resolvent is a smaller one
- **Set of support**
  - KB is usually satisfiable, so not very useful to resolve among clauses with only ancestors in KB
  - contradiction arises from interaction with the negated theorem
  - always resolve with at least one clause that has an ancestor in the negated theorem
Efficiency of resolution

Speed-ups:

- **Special treatment for equality**
  - instead of using axioms for equality
  - use new inference rule: paramodulation
- **Sorted logic**
  - terms get sorts: (types)
  - $x$: Male mother: [Person $\rightarrow$ Female]
  - keep taxonomy of sorts
  - only unify $P(s)$ with $P(t)$ when sorts are compatible assumes only “meaningful” paths will lead to {}
Sentences in Horn normal form

- **Horn normal form (HNF) in the propositional logic**
  - a special type of clause with at most one positive literal
  \[(A \lor \neg B) \land (\neg A \lor \neg C \lor D)\]
  Typically written as: \((B \Rightarrow A) \land ((A \land C) \Rightarrow D)\)

- A clause with one literal, e.g. \(A\), is also called a **fact**

- A clause representing an implication (with a conjunction of positive literals in antecedent and one positive literal in consequent), is also called a **rule**

- **Inference for definite clauses:**
  - Modus ponens inference rule

Horn normal form in FOL

**First-order logic (FOL)**
- adds variables, works with terms

**Generalized modus ponens rule:**

\[\sigma = \text{a substitution s.t. } \forall i \; \text{SUBST}(\sigma, \phi_i) = \text{SUBST}(\sigma, \phi'_i)\]

\[\phi'_1, \phi'_2, \ldots, \phi'_n, \quad \phi_1 \land \phi_2 \land \ldots \land \phi_n \Rightarrow \tau\]

\[\text{SUBST} (\sigma, \tau)\]

**Generalized modus ponens:**
- is sound and complete for **definite clauses** and no functions;
- In general it is semidecidable
- Not all first-order logic sentences can be expressed in the HNF form
Forward and backward chaining

Two inference procedures based on modus ponens for **Horn KBs:**

- **Forward chaining**
  
  **Idea:** Whenever the premises of a rule are satisfied, infer the conclusion. Continue with rules that became satisfied.
  
  **Typical usage:** If we want to infer all sentences entailed by the existing KB.

- **Backward chaining (goal reduction)**
  
  **Idea:** To prove the fact that appears in the conclusion of a rule prove the premises of the rule. Continue recursively.
  
  **Typical usage:** If we want to prove that the target (goal) sentence $\alpha$ is entailed by the existing KB.

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Forward chaining example

- **Forward chaining**
  
  **Idea:** Whenever the premises of a rule are satisfied, infer the conclusion. Continue with rules that became satisfied
  
  Assume the KB with the following rules:

  $\text{KB:}$

<table>
<thead>
<tr>
<th>Rule (R)</th>
<th>Premises</th>
<th>Conclusion</th>
</tr>
</thead>
<tbody>
<tr>
<td>R1:</td>
<td>$\text{Steamboat}(x) \land \text{Sailboat}(y) \Rightarrow \text{Faster}(x,y)$</td>
<td></td>
</tr>
<tr>
<td>R2:</td>
<td>$\text{Sailboat}(y) \land \text{RowBoat}(z) \Rightarrow \text{Faster}(y,z)$</td>
<td></td>
</tr>
<tr>
<td>R3:</td>
<td>$\text{Faster}(x,y) \land \text{Faster}(y,z) \Rightarrow \text{Faster}(x,z)$</td>
<td></td>
</tr>
</tbody>
</table>

  $\text{F1:}$ $\text{Steamboat}(\text{Titanic})$

  $\text{F2:}$ $\text{Sailboat}(\text{Mistral})$

  $\text{F3:}$ $\text{RowBoat}(\text{PondArrow})$

  **Theorem:** $\text{Faster}(\text{Titanic}, \text{PondArrow})$  ?
Forward chaining example

KB:
R1: Steamboat $x$ ∧ Sailboat $y$ ⇒ Faster $x, y$
R2: Sailboat $y$ ∧ RowBoat $z$ ⇒ Faster $y, z$
R3: Faster $x, y$ ∧ Faster $y, z$ ⇒ Faster $x, z$

F1: Steamboat (Titanic)
F2: Sailboat (Mistral)
F3: RowBoat (PondArrow)

Rule R1 is satisfied:
F4: Faster (Titanic, Mistral)
Forward chaining example

KB:  
R1:  \( \text{Steamboat} (x) \land \text{Sailboat} (y) \Rightarrow \text{Faster} (x, y) \)  
R2:  \( \text{Sailboat} (y) \land \text{RowBoat} (z) \Rightarrow \text{Faster} (y, z) \)  
R3:  \( \text{Faster} (x, y) \land \text{Faster} (y, z) \Rightarrow \text{Faster} (x, z) \)  

F1:  \( \text{Steamboat} (\text{Titanic}) \)  
F2:  \( \text{Sailboat} (\text{Mistral}) \)  
F3:  \( \text{RowBoat}(\text{PondArrow}) \)  

Rule R1 is satisfied:  
F4:  \( \text{Faster}(\text{Titanic}, \text{Mistral}) \) 

Rule R2 is satisfied:  
F5:  \( \text{Faster}(\text{Mistral}, \text{PondArrow}) \)

Rule R3 is satisfied:  
F6:  \( \text{Faster}(\text{Titanic}, \text{PondArrow}) \)

Forward chaining example

KB:  
R1:  \( \text{Steamboat} (x) \land \text{Sailboat} (y) \Rightarrow \text{Faster} (x, y) \)  
R2:  \( \text{Sailboat} (y) \land \text{RowBoat} (z) \Rightarrow \text{Faster} (y, z) \)  
R3:  \( \text{Faster} (x, y) \land \text{Faster} (y, z) \Rightarrow \text{Faster} (x, z) \)  

F1:  \( \text{Steamboat} (\text{Titanic}) \)  
F2:  \( \text{Sailboat} (\text{Mistral}) \)  
F3:  \( \text{RowBoat}(\text{PondArrow}) \)  

Rule R1 is satisfied:  
F4:  \( \text{Faster}(\text{Titanic}, \text{Mistral}) \) 

Rule R2 is satisfied:  
F5:  \( \text{Faster}(\text{Mistral}, \text{PondArrow}) \) 

Rule R3 is satisfied:  
F6:  \( \text{Faster}(\text{Titanic}, \text{PondArrow}) \)
Backward chaining example

• **Backward chaining (goal reduction)**
  
  **Idea:** To prove the fact that appears in the conclusion of a rule prove the antecedents (if part) of the rule & repeat recursively.

  **KB:**
  
  R1: Steamboat \((x) \land Sailboat \((y) \Rightarrow Faster \((x, y)\))
  
  R2: Sailboat \((y) \land RowBoat \((z) \Rightarrow Faster \((y, z)\))
  
  R3: Faster \((x, y) \land Faster \((y, z) \Rightarrow Faster \((x, z)\))

  **F1:** Steamboat \((Titanic)\)
  
  **F2:** Sailboat \((Mistral)\)
  
  **F3:** RowBoat\((PondArrow)\)

  **Theorem:** Faster \((Titanic, PondArrow)\)
Backward chaining example

\[ \text{Faster}(\text{Titanic}, \text{PondArrow}) \]

\[ \text{Steamboat}(\text{Titanic}) \]
\[ \text{Sailboat}(\text{Titanic}) \]
\[ \text{RowBoat}(\text{PondArrow}) \]

\[ \text{F1: Steamboat (Titanic)} \]
\[ \text{F2: Sailboat (Mistral)} \]
\[ \text{F3: RowBoat (PondArrow)} \]

\[ \text{Sailboat} (y) \land \text{RowBoat} (z) \Rightarrow \text{Faster} (y, z) \]
\[ \{ y / \text{Titanic}, z / \text{PondArrow} \} \]
Backward chaining example

F1: Steamboat (Titanic)
F2: Sailboat (Mistral)
F3: RowBoat (PondArrow)

\[ \begin{align*}
Faster(Titanic, PondArrow) & \quad \checkmark \\
Steamboat(Titanic) & \quad \checkmark \\
Sailboat(Titanic) & \quad \times \\
RowBoat(PondArrow) & \quad \checkmark \\
Faster(Titanic, y) & \quad \times \\
Steamboat(Titanic) & \quad \checkmark \\
Sailboat(Mistral) & \quad \checkmark \\
\{ y / Mistral \} & \quad \checkmark
\end{align*} \]

Backward chaining example

F1: Steamboat (Titanic)
F2: Sailboat (Mistral)
F3: RowBoat (PondArrow)

\[ \begin{align*}
Faster(Titanic, PondArrow) & \quad \checkmark \\
Steamboat(Titanic) & \quad \checkmark \\
Sailboat(Titanic) & \quad \times \\
RowBoat(PondArrow) & \quad \checkmark \\
Faster(Titanic, y) & \quad \checkmark \\
Steamboat(Titanic) & \quad \checkmark \\
Sailboat(Mistral) & \quad \checkmark \\
\{ y / Mistral \} & \quad \checkmark
\end{align*} \]
Backward chaining example

\[
\text{Faster}(\text{Titanic}, \text{PondArrow})
\]

\[
\text{Steamboat}(\text{Titanic}) \quad \checkmark
\]

\[
\text{Sailboat}(\text{Titanic}) \quad \times
\]

\[
\text{RowBoat}(\text{PondArrow}) \quad \checkmark
\]

\[
\text{Faster}(\text{Titanic}, y)
\]

\[
\text{Steamboat}(\text{Mistral}) \quad \checkmark
\]

\[
\text{Sailboat}(\text{Mistral}) \quad \checkmark
\]

\[
\text{RowBoat}(\text{PondArrow}) \quad \checkmark
\]

\[
\text{MistralSailboat}
\]

\[
\text{TitanicSailboat}
\]

\[
\text{F1: Steamboat (Titanic )}
\]

\[
\text{F2: Sailboat (Mistral )}
\]

\[
\text{F3: RowBoat(PondArrow)}
\]

\[
\text{y must be bound to the same term}
\]
Properties of backward chaining

• Depth-first recursive proof search:
  – space is linear in the size of proof
• Incomplete due to possible infinite loops
  – fix by checking current goal against every goal on stack
• Inefficient due to repeated subgoals (both success and failure)
  – fix using caching of previous results (extra space)
• Widely used for logic programming