

# When to Reap and When to Sow: Lowering Peak Usage With Realistic Batteries

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Technologies

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# Outline

- 1 Motivation
- 2 Offline problem
- 3 Online problem
- 4 Experiments

# High energy demand

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  - Limited supply (per unit time)
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- Extreme simultaneous usage is difficult for provider
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# Demand charges

- Response by utilities: disincentivize peak usage
- Charge large client sites based on both:
  - How much kWh electricity
  - How quickly (at peak) kWh/h = kW power
    - "demand charges"
    - 30-minute rolling averages
- Bill based on: usage charges + demand charges
  - per-kW peak charge  $\sim 100\times$  per-kWh usage charge
  - Incentive: spread out usage over time
- Extreme simultaneous usage is difficult for provider
- Places strain on grid

# Buffering with batteries

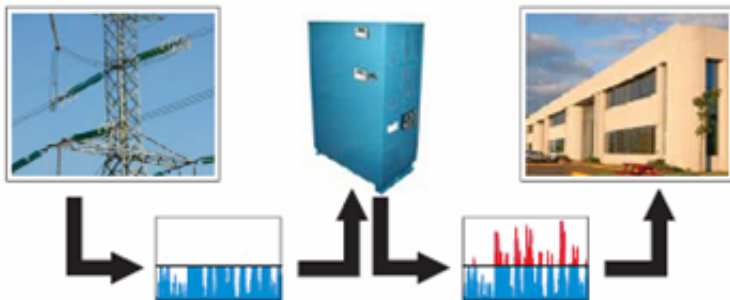


Figure: Gaia PowerTower



# Buffering with batteries

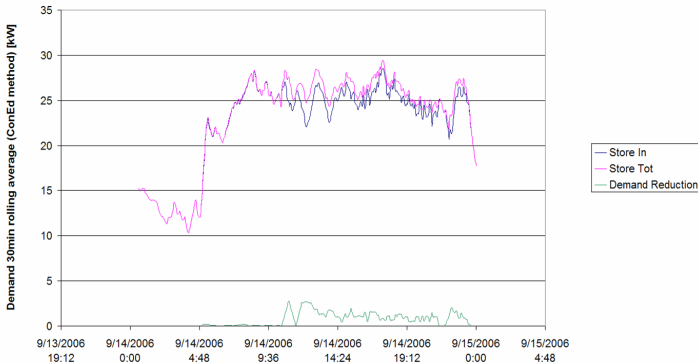


Figure: Peak reduction with Gaia's proprietary algorithms

# Other interpretations

- Resource is unsold products
  - xboxes...
- Resource is water
  - Power Tower ? water tower
- ...

# Offline problem definition)

- How much to buffer? How much extra to request?
- Goal: make request curve as smooth as possible
  - While always satisfying demand
  - Ideally without wasting any power
- An algorithmic problem:
  - Request nothing waste battery
  - Request too much introduce new peaks

# Offline problem definition

- Notation:
  - $n$  timesteps
  - $d_i$ : demand at time  $i$
  - $r_i$ : request at time  $i$
  - $D = \max_i d_i$
  - $R = \max_i r_i$
  - $b_i$ : battery level after time  $i$  ( $b_0 = B$ )
- Goal: make request curve as smooth as possible
  - choose requests  $d_i$  to minimize  $R$
  - with no underflow:  $b_i \geq 0 \forall i$
  - NB:  $b_{i+1} = b_i + r_i - d_i$  (except when underflow/overflow)

# Buffering algorithms (offline)

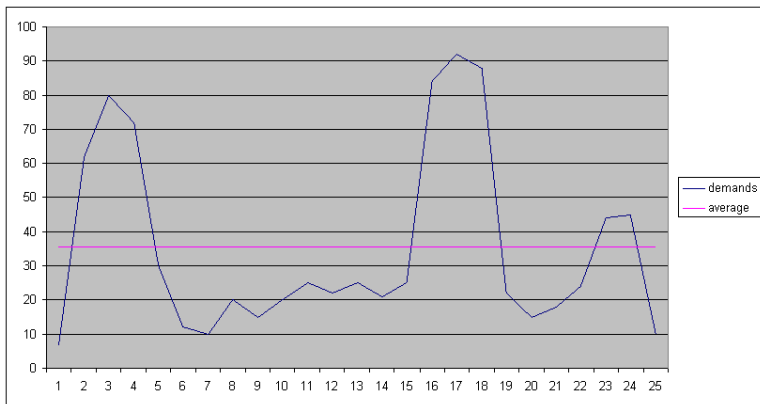


Figure: Demands and mean

# Buffering algorithms (offline)

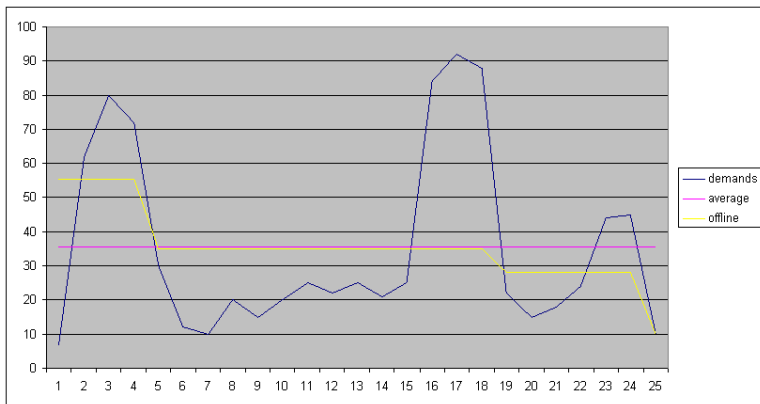


Figure: Demands, mean, and optimal

# Threshold algorithms

- All algorithms are based on thresholds
- Offline: global threshold  $T$
- Online: threshold  $T_i$  at timestep  $i$
- At each time, (try to) request  $T_i$ , and charge/discharge the rest
- Two issues:
  - Overflow: battery too full
  - Underflow: battery empty

# Threshold algorithms

```

for each timeslot  $i$ 
  if  $d_i < T_i$ 
    charge  $\min(B - b_i, T_i - d_i)$ 
  else
    discharge  $\min(d_i - T_i, b_i)$ 
    if  $d_i - T_i < b_i$ 
       $T_i \leftarrow T_i + (d_i - T_i - b_i)$ 
  
```

Figure: Threshold algorithm schema



# Offline problem

- Solvable in poly-time [Bar-Noy 07]
  - By LP
  - Efficiently:  $O(n)$  ..  $O(n^2 \log n)$ , depending on setting
- Settings:
  - Bounded battery v. unbounded
  - Initial/final conditions essential dont matter
  - With or without *entry loss*
- Settings:
  - Unbounded: find hardest (on avg) prefix
  - Bounded: find hardest (on avg) subsequence
  - Initial/final conditions: slightly preprocess input
  - Lossy battery: instead of average, "generalized average"

# Online algorithms

- Change: demands  $d_i$  now arrive online
- Goal: competitiveness
  - Constant-factor approx with offline optimal
- One idea: *alpha policy* [someone]
  - Intuition: maybe the future will be like the past
  - Don't know the future, but do know the past
- → at each moment, rerun the optimal algorithm on the full history up until now
- Then choose accordingly
  - i.e., request optimal's *max-so-far*
  - This is just maximum mean "maximean"

# Request graph

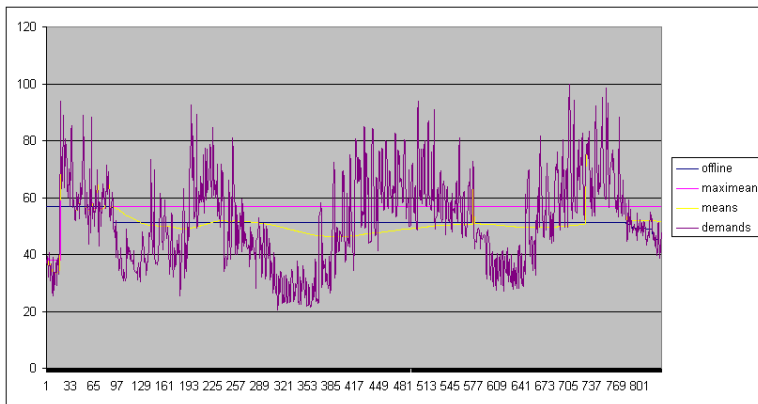


Figure: Demands, mean, and optimal

# Request graph: means

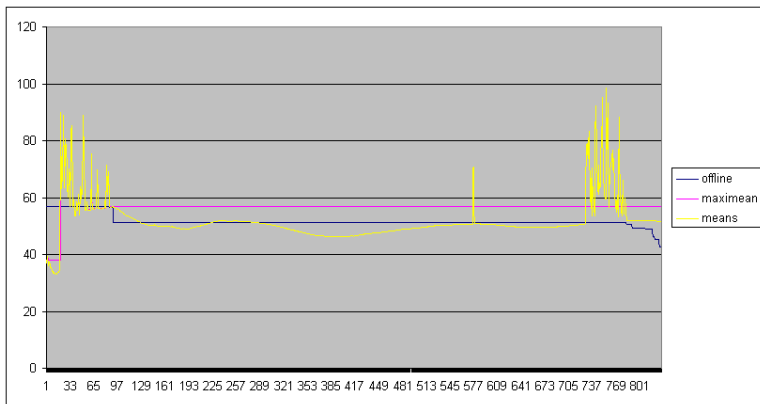


Figure: Demands, mean, and optimal

# Request graph: maximean

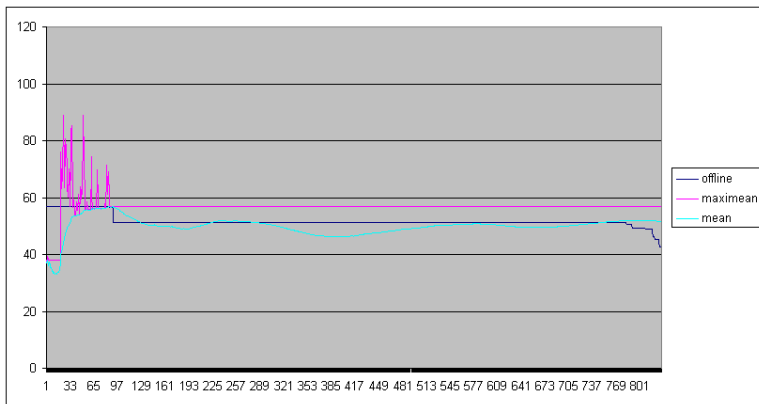


Figure: Demands, mean, and optimal

# Competitive online algorithm?

Unfortunately, there are no competitive algorithms for our problem as stated

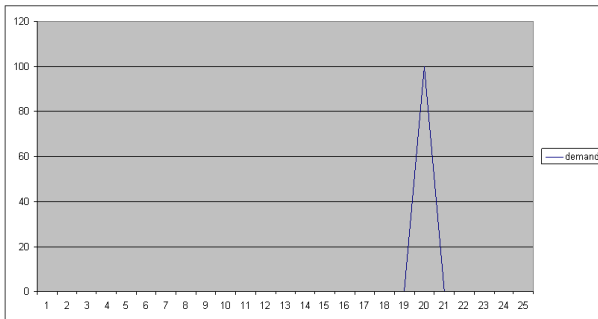


Figure: Competitiveness counterexample

# Semi-online model

- Assume we can guess peak demand  $D$ 
  - (From studying history...)
- Now do "alpha from above"
  - Always request so that savings is exactly  $1/H_n$  of optimal savings so far (compared to  $D$ )
  - This is  $H_n$ -competitive (optimal), for lossless batteries [Bar-Noy07]
  - Proof idea: we have  $H_n$ -approx, as long as no *underflow*
- We were previously unable to prove competitiveness for lossy batteries

# Factoring-revealing MPs

- Factor-revealing LP technique proves properties of an alg by optimally solving an LP (e.g. maximizing approx ratio) [Jain & Vazirani]
- Lossless setting: we provide a family LPs (indexed by length  $n$ )
  - Idea: minimize final battery level, over all instances
  - Non-negative  $\rightarrow$  never runs out
  - Reproves algorithm feasibility (up to length  $n$ )
- Lossy setting: we provide factor-revealing quadratically-constrained LPs or mixed-integer LPs
  - Idea: minimize final battery level, over all instances
  - Non-negative  $\rightarrow$  never runs out
  - We solved the QCLPs with solvers on the NEOS server and obtained 0
  - Not a proof! But some "quasi-empirical" evidence



# Factoring-revealing MPs

$$\begin{aligned}
 \text{min: } & b_{n+1} \\
 \text{s.t.: } & b_{i+1} = b_i + T_i - d_i, \text{ for all } i \\
 & b_i \leq B \\
 & T_i = D - (D - \text{opt}_i)/H_n, \text{ for all } i \\
 & \text{opt}_i \geq (1/i)(-B + \sum_{j=1}^i d_j), \text{ for all } i \\
 \\ 
 & b_1 = B \\
 & d_i \leq D, \text{ for all } i \\
 & D \geq 0, B = 1
 \end{aligned}$$

Figure: Factor-revealing linear program for lossless batteries (LP1)

# Factoring-revealing MPs

$$\begin{aligned}
 \text{min: } & b_{n+1} \\
 \text{s.t.: } & b_{i+1} = b_i + L \cdot ch_i - dis_i, \text{ for all } i \\
 & ch_i \cdot dis_i = 0 \tag{*} \\
 & b_i \leq B, \text{ for all } i; \quad ch_i, dis_i \geq 0, \text{ for all } i \\
 & b_1 = B; \quad B = 1, D \geq 0 \\
 & D \geq d_i, \text{ for all } i \\
 & T_i = D - (D - opt_i)/H_n \\
 & T_i = d_i - dis_i + ch_i \\
 & opt_i \geq ga_i, \text{ for all } i \\
 & B + L \cdot (\sum_{j=1}^i cho_{i,j}) = \sum_{j=1}^i diso_{i,j}, \text{ for all } i \tag{*} \\
 & cho_{i,j} \cdot diso_{i,j} = 0, \text{ for all } (j, i) : j \leq i \tag{*} \\
 & ga_i = d_j - diso_{i,j} + cho_{i,j}, \text{ for all } (j, i) : j \leq i \\
 & cho_{i,j}, diso_{i,j} \geq 0, \text{ for all } (j, i) : j \leq i
 \end{aligned}$$

Figure: Factor-revealing quadratically-constrained LP for lossy batteries

# Bolder algorithms

- $H_n$ -approx is  $X$  or  $Y$ , for typical settings  $(N_x, N, y)$
- Sadly, this is basically the exact performance, not just a guarantee
- Can be greedier and attempt to get better than  $1/H_n$  of the savings
  - Dangerous, but can have good performance in practice
- Perhaps we can guess not just  $D$  but all demands
  - Then can run optimal alg on predictions

# Experiment Setup

- Input
  - A starbucks store
  - A simulated residential user
  - A random user
  - Verification patterns
- Measurement
  - Peak reduction
- Variation
  - Aggressiveness
  - Energy loss factor
  - Error to predict future

# Input - Starbucks

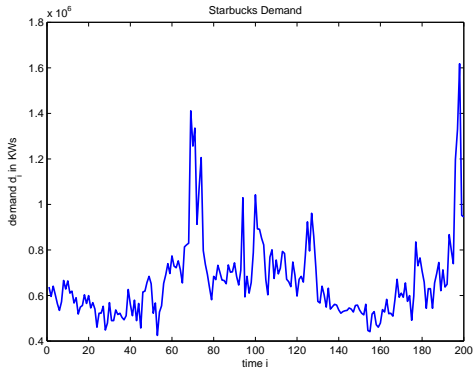


Figure: Demand v.s. time

# Input - Residential User

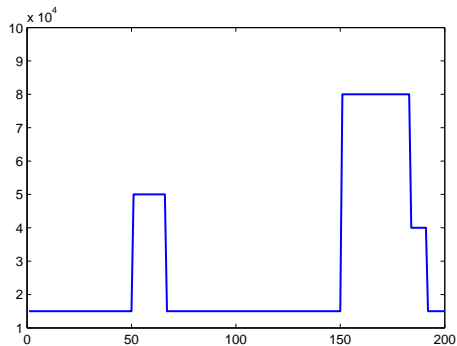


Figure: Demand v.s. time

# Peak reduction - Starbucks

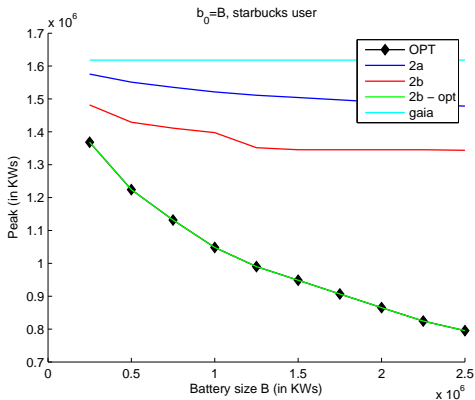


Figure: Peak v.s. B

# Peak reduction - Starbucks

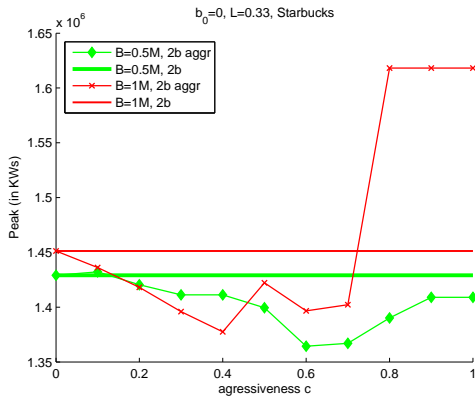


Figure: Peak v.s. Aggressiveness



# Peak reduction - Starbucks

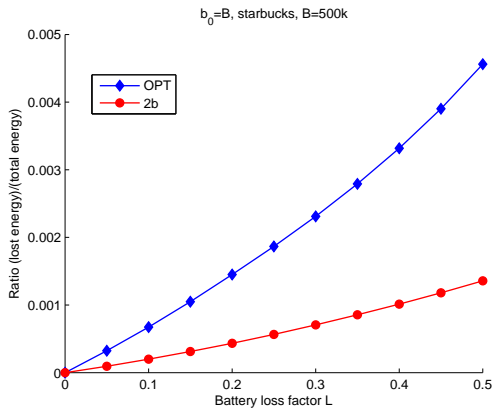


Figure: Peak v.s. Energy loss

# Peak reduction - Starbucks

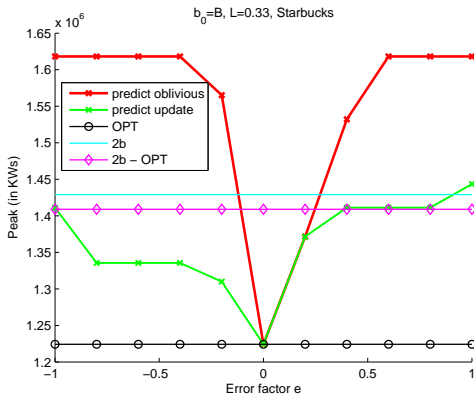


Figure: Peak v.s. Prediction error

# Other algorithm models

- Current algorithms are very austere
  - Use nothing but *local* history
- Other resources and techniques available:
  - *Predict from* local history
  - Generic predictions from history data
  - Fine-grain predictions from history data
  - Machine-learning

# Other pricing models

- Static variable rates (cell phone plans)
- Dynamic variable rates
- Consumer devices on these models:
  - "Tivo for energy"

# Thanks!

- "When to Reap and When to Sow: Lowering Peak Usage With Realistic Batteries", A. Bar-Noy, Y. Feng M.P. Johnson, O. Liu, WEA2008
- "Peak Shaving Through Resource Buffering", A. Bar-Noy, M.P. Johnson, O. Liu, CUNY GC CS TR2007018

# blocs

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